

Statistical Data, September 2017

Major data

	Assets under management	Change from June 2017
Total	Yen 288 trillion	+Yen 8 trillion (+3.2%)
Discretionary Accounts	Yen 273 trillion	+Yen 8 trillion (+3.2%)
Discretionary assets	Yen 228 trillion	+Yen 7 trillion (+3.4%)
Assets under advice	Yen 44 trillion	+Yen 1 trillion (+2.6%)
Real Estate Private Funds	Yen 7,455 billion	-Yen 51 billion (-0.7%)
Discretionary assets	Yen 3,596 billion	+Yen 126 billion (+3.6%)
Assets under advice	Yen 3,859 billion	-Yen 177 billion (-4.4%)
Wrap Accounts	Yen 7,318 billion	+Yen 391 billion (+5.6%)
Discretionary assets	Yen 7,318 billion	+Yen 390 billion (+5.6%)
Assets under advice	Yen 400 million	+Yen 400 million (—)
Collective Investment Scheme(excluding mutual Fund)	Yen 164 billion	+Yen 26 billion (+19.0%)

Highlights

- ✓ The total amount of assets under management (AUM) of all member firms was Yen 288 trillion as of September 2017. It increased by Yen 8 trillion from June 2017.
- ✓ The amount of AUM of discretionary accounts (including accounts under advice) was Yen 273 trillion and it increased by Yen 8 trillion from June 2017.
- ✓ The amount of AUM of real estate private funds, both discretionary investment management and investment advice, was Yen 7,455 billion and it decreased by Yen 51 billion from June 2017.

- ✓ The amount of AUM of wrap accounts was Yen 7,318 billion and it increased by Yen 390 billion from June 2017. Number of contracts was 625,925 and it increased by 35,090.
- ✓ The amount of AUM of collective investment schemes (excluding mutual funds) was Yen 164 billion and it increased by Yen 26 million from June 2017.
- ✓ The amount of AUM of total discretionary assets increased by Yen 7 trillion, and total assets under advice increased by Yen 1 trillion from June 2017.

(Summary Table)

1. Assets Under Management by Investment Management Members
 (Discretionary Accounts, Real Estate Private Funds, Wrap Accounts, Collective Investment Schemes(excluding mutual funds))

(Unit : ¥ 100million)

	Discretionary Accounts						Real Estate Private Funds						Wrap Accounts						Total						
	Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	
2011/3	50,945	1,259,983	697	205,334	51,642	1,465,318	521	43,729	464	46,150	985	89,879								51,466	1,303,713	1,161	251,484	52,627	1,555,197
2012/3	49,676	1,260,380	465	214,407	50,141	1,474,787	595	47,344	396	42,503	991	89,847								50,271	1,307,724	861	256,910	51,132	1,564,634
2013/3	58,990	1,500,028	495	252,331	59,485	1,752,360	597	45,187	331	39,402	928	84,589								59,587	1,545,215	826	291,733	60,413	1,836,948
2014/3	113,031	1,645,382	514	264,970	113,545	1,910,351	507	37,108	238	29,892	745	67,000								113,538	1,682,490	752	294,861	114,290	1,977,351
2015/3	314,545	1,954,263	521	298,807	315,066	2,253,070	478	37,506	240	31,020	718	68,526								315,023	1,991,769	761	329,826	315,784	2,321,596
2016/3	490,004	1,956,587	558	385,896	490,562	2,342,483	503	36,234	299	40,849	802	77,084								490,507	1,992,821	857	426,745	491,364	2,419,566
2017/3	7,137	2,120,997	656	353,527	7,793	2,474,524	478	34,074	303	40,938	781	75,013	564,622	65,702	0	0	564,622	65,702	572,237	2,220,774	959	394,465	573,196	2,615,239	
2017/6	7,225	2,210,766	667	438,109	7,892	2,648,875	520	34,699	290	40,363	810	75,062	590,835	69,272	0	0	590,835	69,272	598,580	2,314,736	957	478,472	599,537	2,793,209	
2017/9	7,319	2,285,192	665	449,453	7,984	2,734,644	536	35,962	291	38,591	827	74,552	625,924	73,180	1	4	625,925	73,184	633,779	2,394,333	957	488,048	634,736	2,882,381	

	Collective Investment Schemes(excluding mutual funds)		Total	
	Number of Contract	Amount	Number of Contract	Amount
2011/3	104	1,774	52,731	1,556,971
2012/3	93	1,663	51,225	1,566,297
2013/3	83	1,791	60,496	1,838,739
2014/3	78	1,962	114,368	1,979,313
2015/3	64	1,537	315,848	2,323,133
2016/3	53	1,058	491,417	2,420,624
2017/3	57	1,395	573,253	2,616,633
2017/6	57	1,386	599,594	2,794,594
2017/9	58	1,649	634,794	2,884,030

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

	Discretionary Accounts						Real Estate Private Funds						Wrap Accounts						Total							
	Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total			
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2012/3	-2.5%	0.0%	-33.3%	4.4%	-2.9%	0.6%	14.2%	8.3%	-14.7%	-7.9%	0.6%	0.0%								-2.3%	0.3%	-25.8%	2.2%	-2.8%	0.6%	
2013/3	18.7%	19.0%	6.5%	17.7%	18.6%	18.8%	0.3%	-4.6%	-16.4%	-7.3%	-6.4%	-5.9%								18.5%	18.2%	-4.1%	13.6%	18.2%	17.4%	
2014/3	91.6%	9.7%	3.8%	5.0%	90.9%	9.0%	-15.1%	-17.9%	-28.1%	-24.1%	-19.7%	-20.8%								90.5%	8.9%	-9.0%	1.1%	89.2%	7.6%	
2015/3	178.3%	18.8%	1.4%	12.8%	177.5%	17.9%	-5.7%	1.1%	0.8%	3.8%	-3.6%	2.3%								177.5%	18.4%	1.2%	11.9%	176.3%	17.4%	
2016/3	55.8%	0.1%	7.0%	29.4%	55.7%	4.0%	5.8%	-3.5%	25.2%	29.4%	12.2%	11.2%								55.7%	0.1%	12.6%	29.4%	55.6%	4.2%	
2017/3	-98.5%	8.4%	17.6%	-8.4%	-98.4%	5.6%	-5.0%	-6.0%	1.3%	0.2%	-2.6%	-2.7%									16.7%	11.4%	11.9%	-7.6%	16.7%	8.1%
2017/6	1.2%	4.2%	1.7%	23.9%	1.3%	7.0%	8.8%	1.8%	-4.3%	-1.4%	3.7%	0.1%	4.6%	5.4%	0.0%	0.0%	4.6%	5.4%	4.6%	4.2%	-0.2%	21.3%	4.6%	6.8%		
2017/9	1.3%	3.4%	-0.3%	2.6%	1.2%	3.2%	3.1%	3.6%	0.3%	-4.4%	2.1%	-0.7%	5.9%	5.6%	0.0%	0.0%	5.9%	5.6%	5.9%	3.4%	0.0%	2.0%	5.9%	3.2%		

	Collective Investment Schemes(excluding mutual funds)		Total	
	Number of Contract	Amount	Number of Contract	Amount
2012/3	-10.6%	-6.3%	-2.9%	0.6%
2013/3	-10.8%	7.7%	18.1%	17.4%
2014/3	-6.0%	9.5%	89.1%	7.6%
2015/3	-17.9%	-21.6%	176.2%	17.4%
2016/3	-17.2%	-31.2%	55.6%	4.2%
2017/3	7.5%	31.8%	16.7%	8.1%
2017/6	0.0%	-0.6%	4.6%	6.8%
2017/9	1.8%	19.0%	5.9%	3.2%

(Appendix)

Table showing Assets Under Management of "Discretionary Accounts" and "Wrap Accounts" separately.

(Unit : ¥ 100million)

	Discretionary Accounts						Real Estate Private Funds						Wrap Accounts						Total					
	Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total	
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount
2011/3	7,436	1,254,094	437	205,317	7,873	1,459,411	521	43,729	464	46,150	985	89,879	43,509	5,890	260	17	43,769	5,907	51,466	1,303,713	1,161	251,484	52,627	1,555,197
2012/3	7,209	1,254,581	460	214,401	7,669	1,468,983	595	47,344	396	42,503	991	89,847	42,467	5,799	5	6	42,472	5,805	50,271	1,307,724	861	256,910	51,132	1,564,634
2013/3	7,232	1,492,339	495	252,331	7,727	1,744,671	597	45,187	331	39,402	928	84,589	51,758	7,689	0	0	51,758	7,689	59,587	1,545,215	826	291,733	60,413	1,836,948
2014/3	7,325	1,631,622	514	264,970	7,839	1,896,591	507	37,108	238	29,892	745	67,000	105,706	13,760	0	0	105,706	13,760	113,538	1,682,490	752	294,861	114,290	1,977,351
2015/3	7,199	1,915,291	521	298,807	7,720	2,214,097	478	37,506	240	31,020	718	68,526	307,346	38,973	0	0	307,346	38,973	315,023	1,991,769	761	329,826	315,784	2,321,596
2016/3	7,787	1,898,811	554	385,896	8,341	2,284,707	503	36,234	299	40,849	802	77,084	482,217	57,776	4	0	482,221	57,776	490,507	1,992,821	857	426,745	491,364	2,419,566
2017/3	7,137	2,120,997	656	353,527	7,793	2,474,524	478	34,074	303	40,938	781	75,013	564,622	65,702	0	0	564,622	65,702	572,237	2,220,774	959	394,465	573,196	2,615,239
2017/6	7,225	2,210,766	667	438,109	7,892	2,648,875	520	34,699	290	40,363	810	75,062	590,835	69,272	0	0	590,835	69,272	598,580	2,314,736	957	478,472	599,537	2,793,209
2017/9	7,319	2,285,192	665	449,453	7,984	2,734,644	536	35,962	291	38,591	827	74,552	625,924	73,180	1	4	625,925	73,184	633,779	2,394,333	957	488,048	634,736	2,882,381

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2017/6	57	1,386	599,594	2,794,594
2017/9	58	1,649	634,794	2,884,030

Note:"Discretionary Accounts"includes accounts under advice.

Note:This table shows the figures of "Wrap Accounts" independently which is involved in those of "Discretionary Accounts" of previous page until 2016/3.

Percentage changes from the previous year (or term)

	Discretionary and Advisory Accounts						Real Estate Private Funds						Wrap Accounts						Total					
	Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total		Discretionary Assets		Assets Under Advice		Total	
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount
2012/3	-3.1%	0.0%	5.3%	4.4%	-2.6%	0.7%	14.2%	8.3%	-14.7%	-7.9%	0.6%	0.0%	-2.4%	-1.5%	-98.1%	-64.2%	-3.0%	-1.7%	-2.3%	0.3%	-25.8%	2.2%	-2.8%	0.6%
2013/3	0.3%	19.0%	7.6%	17.7%	0.8%	18.8%	0.3%	-4.6%	-16.4%	-7.3%	-6.4%	-5.9%	21.9%	32.6%	-100.0%	-100.0%	21.9%	32.5%	18.5%	18.2%	-4.1%	13.6%	18.2%	17.4%
2014/3	1.3%	9.3%	3.8%	5.0%	1.4%	8.7%	-15.1%	-17.9%	-28.1%	-24.1%	-19.7%	-20.8%	104.2%	79.0%	-	-	104.2%	79.0%	90.5%	8.9%	-9.0%	1.1%	89.2%	7.6%
2015/3	-1.7%	17.4%	1.4%	12.8%	-1.5%	16.7%	-5.7%	1.1%	0.8%	3.8%	-3.6%	2.3%	190.8%	183.2%	-	-	190.8%	183.2%	177.5%	18.4%	1.2%	11.9%	176.3%	17.4%
2016/3	8.2%	-0.9%	6.3%	29.1%	8.0%	3.2%	5.2%	-3.4%	24.6%	31.7%	11.7%	12.5%	56.9%	48.2%	-	-	56.9%	48.2%	55.7%	0.1%	12.6%	29.4%	55.6%	4.2%
2017/3	-8.3%	11.7%	18.4%	-8.4%	-6.6%	8.3%	-5.0%	-6.0%	1.3%	0.2%	-2.6%	-2.7%	17.1%	13.7%	-100.0%	-	17.1%	13.7%	16.7%	11.4%	11.9%	-7.6%	16.7%	8.1%
2017/6	1.2%	4.2%	1.7%	23.9%	1.3%	7.0%	8.8%	1.8%	-4.3%	-1.4%	3.7%	0.1%	4.6%	5.4%	0.0%	0.0%	4.6%	5.4%	4.6%	4.2%	-0.2%	21.3%	4.6%	6.8%
2017/9	1.3%	3.4%	-0.3%	2.6%	1.2%	3.2%	3.1%	3.6%	0.3%	-4.4%	2.1%	-0.7%	5.9%	5.6%	0.0%	0.0%	5.9%	5.6%	5.9%	3.4%	0.0%	2.0%	5.9%	3.2%

	Collective Investment Schemes(excluding mutual funds)		Total	
	Number of Contract	Amount	Number of Contract	Amount
2012/3	-10.6%	-6.3%	-2.9%	0.6%
2013/3	-10.8%	7.7%	18.1%	17.4%
2014/3	-6.0%	9.5%	89.1%	7.6%
2015/3	-17.9%	-21.6%	176.2%	17.4%
2016/3	-17.2%	-31.2%	55.6%	4.2%
2017/3	7.5%	31.8%	16.7%	8.1%
2017/6	0.0%	-0.6%	4.6%	6.8%
2017/9	1.8%	19.0%	5.9%	3.2%

2.Discretionary Accounts

(1)Assets Under Management (Discretionary Assets)

(Unit : ¥ 100million)

	Domestic Clients												Overseas Clients										Total (Discretionary Assets)			
	Corporations								Individuals				Corporations				Individuals		Total							
	Public Pension Funds		Private Pension Funds		Others		Sub-total						Pension Funds		Others		Sub-total									
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount			Number of Contract	Amount
2011/3	249	591,973	5,028	236,603	1,554	233,522	6,831	1,062,098	43,123	5,184	49,954	1,067,282	136	14,868	772	177,619	908	192,487	83	215	991	192,702	50,945	1,259,983		
2012/3	249	564,303	4,990	226,744	1,403	265,712	6,642	1,056,759	42,098	5,136	48,740	1,061,895	116	13,799	737	184,475	853	198,274	83	211	936	198,485	49,676	1,260,380		
2013/3	234	670,079	4,960	252,753	1,435	372,535	6,629	1,295,367	51,442	6,740	58,071	1,302,107	123	16,490	760	181,332	883	197,822	36	99	919	197,921	58,990	1,500,028		
2014/3	220	783,901	4,822	264,224	1,786	346,258	6,828	1,394,382	105,173	12,373	112,001	1,406,755	125	21,209	867	217,278	992	238,487	38	139	1,030	238,626	113,031	1,645,382		
2015/3	260	877,976	4,537	283,596	4,687	433,066	9,484	1,594,638	303,967	35,264	313,451	1,629,902	126	26,572	938	297,661	1,064	324,233	30	128	1,094	324,361	314,545	1,954,263		
2016/3	482	938,304	4,256	250,863	6,959	433,194	11,697	1,622,361	477,165	52,644	488,862	1,675,006	127	19,955	987	261,503	1,114	281,458	28	123	1,142	281,581	490,004	1,956,587		
2017/3	540	1,011,586	4,222	250,899	1,222	551,284	5,984	1,813,768	122	179	6,106	1,813,947	78	19,543	929	287,404	1,007	306,946	24	103	1,031	307,050	7,137	2,120,997		
2017/6	552	1,056,107	4,201	267,045	1,265	558,999	6,018	1,882,151	156	166	6,174	1,882,316	78	20,652	949	307,694	1,027	328,346	24	103	1,051	328,449	7,225	2,210,766		
2017/9	548	1,106,172	4,285	266,766	1,282	573,795	6,115	1,946,733	164	169	6,279	1,946,902	79	21,065	938	317,119	1,017	338,184	23	106	1,040	338,289	7,319	2,285,192		

Note:Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

	Domestic Clients												Overseas Clients										Total (Discretionary Assets)			
	Corporations								Individuals				Corporations				Individuals		Total							
	Public Pension Funds		Private Pension Funds		Others		Sub-total						Pension Funds		Others		Sub-total									
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount			Number of Contract	Amount
2012/3	0.0%	-4.7%	-0.8%	-4.2%	-9.7%	13.8%	-2.8%	-0.5%	-2.4%	-0.9%	-2.4%	-0.5%	-14.7%	-7.2%	-4.5%	3.9%	-6.1%	3.0%	0.0%	-1.8%	-5.5%	3.0%	-2.5%	0.0%		
2013/3	-6.0%	18.7%	-0.6%	11.5%	2.3%	40.2%	-0.2%	22.6%	22.2%	31.2%	19.1%	22.6%	6.0%	19.5%	3.1%	-1.7%	3.5%	-0.2%	-56.6%	-52.8%	-1.8%	-0.3%	18.7%	19.0%		
2014/3	-6.0%	17.0%	-2.8%	4.5%	24.5%	-7.1%	3.0%	7.6%	104.4%	83.6%	92.9%	8.0%	1.6%	28.6%	14.1%	19.8%	12.3%	20.6%	5.6%	39.7%	12.1%	20.6%	91.6%	9.7%		
2015/3	18.2%	12.0%	-5.9%	7.3%	162.4%	25.1%	38.9%	14.4%	189.0%	185.0%	179.9%	15.9%	0.8%	25.3%	8.2%	37.0%	7.3%	36.0%	-21.1%	-7.8%	6.2%	35.9%	178.3%	18.8%		
2016/3	85.4%	6.9%	-6.2%	-11.5%	48.5%	0.0%	23.3%	1.7%	57.0%	49.3%	56.0%	2.8%	0.8%	-24.9%	5.2%	-12.1%	4.7%	-13.2%	-6.7%	-3.8%	4.4%	-13.2%	55.8%	0.1%		
2017/3	12.0%	7.8%	-0.8%	0.0%	-82.4%	27.3%	-48.8%	11.8%	-100.0%	-99.7%	-98.8%	8.3%	-38.6%	-2.1%	-5.9%	9.9%	-9.6%	9.1%	-14.3%	-16.1%	-9.7%	9.0%	-98.5%	8.4%		
2017/6	2.2%	4.4%	-0.5%	6.4%	3.5%	1.4%	0.6%	3.8%	27.9%	-7.6%	1.1%	3.8%	0.0%	5.7%	2.2%	7.1%	2.0%	7.0%	0.0%	-0.4%	1.9%	7.0%	1.2%	4.2%		
2017/9	-0.7%	4.7%	2.0%	-0.1%	1.3%	2.6%	1.6%	3.4%	5.1%	2.0%	1.7%	3.4%	1.3%	2.0%	-1.2%	3.1%	-1.0%	3.0%	-4.2%	2.5%	-1.0%	3.0%	1.3%	3.4%		

2. Discretionary Accounts

(2) Assets Under Management (Assets Under Advice)

(Unit : ¥ 100million)

	Domestic Clients												Overseas Clients										Total (Discretionary Assets)	
	Corporations								Individuals		Total		Corporations						Individuals		Total			
	Public Pension Funds		Private Pension Funds		Others		Sub-total						Pension Funds		Others		Sub-total							
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2011/3	0	0	5	14,743	210	158,069	215	172,812	257	15	472	172,827	13	6,348	212	26,159	225	32,507	0	0	225	32,507	697	205,334
2012/3	0	0	7	17,311	213	163,570	220	180,881	8	10	228	180,891	10	5,799	227	27,718	237	33,517	0	0	237	33,517	465	214,407
2013/3	0	0	7	19,290	258	199,177	265	218,468	7	28	272	218,495	14	7,331	209	26,505	223	33,836	0	0	223	33,836	495	252,331
2014/3	0	0	7	21,782	274	209,205	281	230,986	10	147	291	231,133	20	8,229	203	25,608	223	33,837	0	0	223	33,837	514	264,970
2015/3	0	0	5	22,422	291	237,849	296	260,270	9	112	305	260,382	16	8,566	200	29,859	216	38,425	0	0	216	38,425	521	298,807
2016/3	0	0	5	22,449	321	324,061	326	346,510	15	47	341	346,557	14	3,504	203	35,835	217	39,339	0	0	217	39,339	558	385,896
2017/3	0	0	5	22,336	334	293,809	339	316,145	103	76	442	316,220	11	4,409	202	32,875	213	37,284	1	22	214	37,307	656	353,527
2017/6	0	0	6	22,863	347	375,720	353	398,582	102	75	455	398,657	11	4,884	200	34,546	211	39,430	1	22	212	39,452	667	438,109
2017/9	0	0	6	23,749	353	383,519	359	407,268	97	74	456	407,343	10	5,117	198	36,971	208	42,087	1	22	209	42,110	665	449,453

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

	Domestic Clients												Overseas Clients										Total (Discretionary Assets)			
	Corporations								Individuals		Total		Corporations						Individuals		Total					
	Public Pension Funds		Private Pension Funds		Others		Sub-total						Pension Funds		Others		Sub-total									
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount			Number of Contract	Amount
2012/3	-	-	40.0%	17.4%	1.4%	3.5%	2.3%	4.7%	-96.9%	-37.3%	-51.7%	4.7%	-23.1%	-8.7%	7.1%	6.0%	5.3%	3.1%	-	-	-	-	5.3%	3.1%	-33.3%	4.4%
2013/3	-	-	0.0%	11.4%	21.1%	21.8%	20.4%	20.8%	-12.5%	186.6%	19.3%	20.8%	40.0%	26.4%	-7.9%	-4.4%	-5.9%	1.0%	-	-	-	-	-5.9%	1.0%	6.4%	17.7%
2014/3	-	-	0.0%	12.9%	6.2%	5.0%	6.0%	5.7%	42.9%	432.9%	7.0%	5.8%	42.9%	12.2%	-2.9%	-3.4%	0.0%	0.0%	-	-	-	-	0.0%	0.0%	3.8%	5.0%
2015/3	-	-	-28.6%	2.9%	6.2%	13.7%	5.3%	12.7%	-10.0%	-23.9%	4.8%	12.7%	-20.0%	4.1%	-1.5%	16.6%	-3.1%	13.6%	-	-	-	-	-3.1%	13.6%	1.4%	12.8%
2016/3	-	-	0.0%	0.1%	10.3%	36.2%	10.1%	33.1%	66.7%	-58.0%	11.8%	33.1%	-12.5%	-59.1%	1.5%	20.0%	0.5%	2.4%	-	-	-	-	0.5%	2.4%	7.1%	29.1%
2017/3	-	-	0.0%	-0.5%	4.0%	-9.3%	4.0%	-8.8%	586.7%	61.3%	29.6%	-8.8%	-21.4%	25.8%	-0.5%	-8.3%	-1.8%	-5.2%	-	-	-	-	-1.4%	-5.2%	17.6%	-8.4%
2017/6	-	-	20.0%	2.4%	3.9%	27.9%	4.1%	26.1%	-1.0%	-1.2%	2.9%	26.1%	0.0%	10.8%	-1.0%	5.1%	-0.9%	5.8%	0.0%	-0.2%	-0.9%	5.8%	0.0%	-0.2%	1.7%	23.9%
2017/9	-	-	0.0%	3.9%	1.7%	2.1%	1.7%	2.2%	-4.9%	-0.5%	0.2%	2.2%	-9.1%	4.8%	-1.0%	7.0%	-1.4%	6.7%	0.0%	0.7%	-1.4%	6.7%	0.0%	0.7%	-0.3%	2.6%

2. Discretionary Accounts

(3) Total Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit : ¥ 100million)

	Domestic Clients												Overseas Clients										Total (Discretionary Assets)	
	Corporations								Individuals		Total		Corporations						Individuals		Total			
	Public Pension Funds		Private Pension Funds		Others		Sub-total						Pension Funds		Others		Sub-total							
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2011/3	249	591,973	5,033	251,345	1,764	391,591	7,046	1,234,910	43,380	5,199	50,426	1,240,109	149	21,216	984	203,779	1,133	224,994	83	215	1,216	225,209	51,642	1,465,318
2012/3	249	564,303	4,997	244,055	1,616	429,282	6,862	1,237,640	42,106	5,146	48,968	1,242,786	126	19,598	964	212,193	1,090	231,791	83	211	1,173	232,001	50,141	1,474,787
2013/3	234	670,079	4,967	272,044	1,693	571,712	6,894	1,513,835	51,449	6,767	58,343	1,520,602	137	23,821	969	207,837	1,106	231,658	36	99	1,142	231,757	59,485	1,752,360
2014/3	220	783,901	4,829	286,005	2,060	555,462	7,109	1,625,368	105,183	12,520	112,292	1,637,888	145	29,438	1,070	242,886	1,215	272,324	38	139	1,253	272,463	113,545	1,910,351
2015/3	260	877,976	4,542	306,017	4,978	670,915	9,780	1,854,908	303,976	35,376	313,756	1,890,284	142	35,137	1,138	327,520	1,280	362,658	30	128	1,310	362,786	315,066	2,253,070
2016/3	482	938,304	4,261	273,312	7,280	757,255	12,023	1,968,872	477,180	52,691	489,203	2,021,563	141	23,459	1,190	297,338	1,331	320,797	28	123	1,359	320,920	490,562	2,342,483
2017/3	540	1,011,586	4,227	273,234	1,556	845,093	6,323	2,129,913	225	255	6,548	2,130,168	89	23,952	1,131	320,279	1,220	344,231	25	126	1,245	344,356	7,793	2,474,524
2017/6	552	1,056,107	4,207	289,908	1,612	934,718	6,371	2,280,733	258	240	6,629	2,280,973	89	25,536	1,149	342,240	1,238	367,776	25	125	1,263	367,901	7,892	2,648,875
2017/9	548	1,106,172	4,291	290,516	1,635	957,315	6,474	2,354,002	261	243	6,735	2,354,245	89	26,182	1,136	354,090	1,225	380,271	24	128	1,249	380,399	7,984	2,734,644

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

	Domestic Clients												Overseas Clients										Total (Discretionary Assets)	
	Corporations								Individuals		Total		Corporations						Individuals		Total			
	Public Pension Funds		Private Pension Funds		Others		Sub-total						Pension Funds		Others		Sub-total							
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2012/3	0.0%	-4.7%	-0.7%	-2.9%	-8.4%	9.6%	-2.6%	0.2%	-2.9%	-1.0%	-2.9%	0.2%	-15.4%	-7.6%	-2.0%	4.1%	-3.8%	3.0%	0.0%	-1.8%	-3.5%	3.0%	-2.9%	0.6%
2013/3	-6.0%	18.7%	-0.6%	11.5%	4.8%	33.2%	0.5%	22.3%	22.2%	31.5%	19.1%	22.4%	8.7%	21.6%	0.5%	-2.1%	1.5%	-0.1%	-56.6%	-52.8%	-2.6%	-0.1%	18.6%	18.8%
2014/3	-6.0%	17.0%	-2.8%	5.1%	21.7%	-2.8%	3.1%	7.4%	104.4%	85.0%	92.5%	7.7%	5.8%	23.6%	10.4%	16.9%	9.9%	17.6%	5.6%	39.7%	9.7%	17.6%	90.9%	9.0%
2015/3	18.2%	12.0%	-5.9%	7.0%	141.7%	20.8%	37.6%	14.1%	189.0%	182.6%	179.4%	15.4%	-2.1%	19.4%	6.4%	34.8%	5.3%	33.2%	-21.1%	-7.8%	4.5%	33.2%	177.5%	17.9%
2016/3	85.4%	6.9%	-6.2%	-10.7%	46.2%	12.9%	22.9%	6.1%	57.0%	48.9%	55.9%	6.9%	-0.7%	-33.2%	4.6%	-9.2%	4.0%	-11.5%	-6.7%	-3.8%	3.7%	-11.5%	55.7%	4.0%
2017/3	12.0%	7.8%	-0.8%	0.0%	-78.6%	11.6%	-47.4%	8.2%	-100.0%	-99.5%	-98.7%	5.4%	-36.9%	2.1%	-5.0%	7.7%	-8.3%	7.3%	-10.7%	1.9%	-8.4%	7.3%	-98.4%	5.6%
2017/6	2.2%	4.4%	-0.5%	6.1%	3.6%	10.6%	0.8%	7.1%	14.7%	-5.7%	1.2%	7.1%	0.0%	6.6%	1.6%	6.9%	1.5%	6.8%	0.0%	-0.4%	1.4%	6.8%	1.3%	7.0%
2017/9	-0.7%	4.7%	2.0%	0.2%	1.4%	2.4%	1.6%	3.2%	1.2%	1.2%	1.6%	3.2%	0.0%	2.5%	-1.1%	3.5%	-1.1%	3.4%	-4.0%	2.2%	-1.1%	3.4%	1.2%	3.2%

2. Discretionary Accounts

(4) Assets Under Management by Asset Class

① Number of Contract

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2011/3	3,015	546	20,191	707	781	740	351	320	24,294	50,945
2012/3	2,499	510	19,347	711	835	778	348	375	24,273	49,676
2013/3	2,180	586	24,080	640	912	805	441	441	28,994	58,990
2014/3	2,208	567	41,159	637	1,010	808	323	494	65,825	113,031
2015/3	2,641	658	87,657	596	1,047	804	308	524	220,310	314,545
2016/3	2,893	587	118,504	594	1,098	897	313	574	364,544	490,004
2017/3	1,462	719	416	574	1,106	793	294	342	1,431	7,137
2017/6	1,516	715	419	569	1,113	809	289	348	1,447	7,225
2017/9	1,520	714	427	566	1,136	828	294	355	1,479	7,319

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2012/3	-17.1%	-6.6%	-4.2%	0.6%	6.9%	5.1%	-0.9%	17.2%	-0.1%	-2.5%
2013/3	-12.8%	14.9%	24.5%	-10.0%	9.2%	3.5%	1.1%	17.6%	19.4%	18.7%
2014/3	1.3%	-3.2%	70.9%	-0.5%	10.7%	0.4%	-8.2%	12.0%	127.0%	91.6%
2015/3	19.6%	16.0%	113.0%	-6.4%	3.7%	-0.5%	-4.6%	6.1%	234.7%	178.3%
2016/3	9.5%	-10.8%	35.2%	-0.3%	4.9%	11.6%	1.6%	9.5%	65.5%	55.8%
2017/3	-49.5%	22.5%	-99.6%	-3.4%	0.7%	-11.6%	-6.1%	-40.4%	-99.6%	-98.5%
2017/6	3.7%	-0.6%	0.7%	-0.9%	0.6%	2.0%	-1.7%	1.8%	1.1%	1.2%
2017/9	0.3%	-0.1%	1.9%	-0.5%	2.1%	2.3%	1.7%	2.0%	2.2%	1.3%

(Unit : ¥ 100million)

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2011/3	203,890	411,006	22,835	124,631	277,552	15,262	31,068	62,463	111,276	1,259,983
2012/3	200,102	384,832	22,630	118,708	289,187	15,022	29,031	61,601	139,267	1,260,380
2013/3	266,100	402,713	27,173	141,416	291,351	66,637	70,569	80,489	153,580	1,500,028
2014/3	347,276	419,646	28,780	176,486	307,187	69,771	82,152	83,249	130,835	1,645,382
2015/3	493,438	297,948	37,136	290,150	387,373	25,100	71,073	85,227	266,816	1,954,263
2016/3	484,417	325,143	41,066	299,860	384,086	28,194	54,870	88,690	250,262	1,956,587
2017/3	573,380	342,696	27,803	344,854	414,668	37,166	50,407	95,617	234,406	2,120,997
2017/6	603,071	341,157	28,213	362,483	447,333	40,515	56,452	96,779	234,761	2,210,766
2017/9	626,735	339,692	28,634	379,952	476,440	42,703	53,198	101,221	236,616	2,285,192

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2012/3	-1.9%	-6.4%	-0.9%	-4.8%	4.2%	-1.6%	-6.6%	-1.4%	25.2%	0.0%
2013/3	33.0%	4.6%	20.1%	19.1%	0.7%	343.6%	143.1%	30.7%	10.3%	19.0%
2014/3	30.5%	4.2%	5.9%	24.8%	5.4%	4.7%	16.4%	3.4%	-14.8%	9.7%
2015/3	42.1%	-29.0%	29.0%	64.4%	26.1%	-64.0%	-13.5%	2.4%	103.9%	18.8%
2016/3	-1.8%	9.1%	10.6%	3.3%	-0.8%	12.3%	-22.8%	4.1%	-6.2%	0.1%
2017/3	18.4%	5.4%	-32.3%	15.0%	8.0%	31.8%	-8.1%	7.8%	-6.3%	8.4%
2017/6	5.2%	-0.4%	1.5%	5.1%	7.9%	9.0%	12.0%	1.2%	0.2%	4.2%
2017/9	3.9%	-0.4%	1.5%	4.8%	6.5%	5.4%	-5.8%	4.6%	0.8%	3.4%

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2011/3	16.2%	32.6%	1.8%	9.9%	22.0%	1.2%	2.5%	5.0%	8.8%	100.0%
2012/3	15.9%	30.5%	1.8%	9.4%	22.9%	1.2%	2.3%	4.9%	11.0%	100.0%
2013/3	17.7%	26.8%	1.8%	9.4%	19.4%	4.4%	4.7%	5.4%	10.2%	100.0%
2014/3	21.1%	25.5%	1.7%	10.7%	18.7%	4.2%	5.0%	5.1%	8.0%	100.0%
2015/3	25.2%	15.2%	1.9%	14.8%	19.8%	1.3%	3.6%	4.4%	13.7%	100.0%
2016/3	24.8%	16.6%	2.1%	15.3%	19.6%	1.4%	2.8%	4.5%	12.8%	100.0%
2017/3	27.0%	16.2%	1.3%	16.3%	19.6%	1.8%	2.4%	4.5%	11.1%	100.0%
2017/6	27.3%	15.4%	1.3%	16.4%	20.2%	1.8%	2.6%	4.4%	10.6%	100.0%
2017/9	27.4%	14.9%	1.3%	16.6%	20.8%	1.9%	2.3%	4.4%	10.4%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

2. Discretionary Accounts

(5) Assets Under Management by Size

① Number of Contract

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	46,083	3,063	734	780	117	168	50,945
2012/3	44,999	2,951	682	773	107	164	49,676
2013/3	54,092	3,008	697	863	132	198	58,990
2014/3	107,999	3,003	758	894	172	205	113,031
2015/3	309,353	2,970	803	963	210	246	314,545
2016/3	484,854	2,846	777	1,070	199	258	490,004
2017/3	1,912	2,710	789	1,214	219	293	7,137
2017/6	1,887	2,718	813	1,260	239	308	7,225
2017/9	1,923	2,750	813	1,276	241	316	7,319

② Amount of Assets

(Unit : ¥ 100million)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	15,979	70,427	51,267	165,996	82,592	873,721	1,259,983
2012/3	16,184	67,114	47,015	166,903	75,083	888,082	1,260,380
2013/3	16,583	69,054	48,265	190,049	91,215	1,084,863	1,500,028
2014/3	21,916	68,869	52,422	199,195	118,565	1,184,414	1,645,382
2015/3	45,024	68,276	55,641	214,893	147,611	1,422,818	1,954,263
2016/3	64,089	65,180	54,837	226,756	138,149	1,407,575	1,956,587
2017/3	8,418	62,563	55,365	265,891	155,465	1,573,296	2,120,997
2017/6	8,048	61,990	56,516	272,756	170,529	1,640,928	2,210,766
2017/9	8,204	63,133	56,632	277,436	173,800	1,705,987	2,285,192

Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2012/3	-2.4%	-3.7%	-7.1%	-0.9%	-8.5%	-2.4%	-2.5%
2013/3	20.2%	1.9%	2.2%	11.6%	23.4%	20.7%	18.7%
2014/3	99.7%	-0.2%	8.8%	3.6%	30.3%	3.5%	91.6%
2015/3	186.4%	-1.1%	5.9%	7.7%	22.1%	20.0%	178.3%
2016/3	56.7%	-4.2%	-3.2%	11.1%	-5.2%	4.9%	55.8%
2017/3	-99.6%	-4.8%	1.5%	13.5%	10.1%	13.6%	-98.5%
2017/6	-1.3%	0.3%	3.0%	3.8%	9.1%	5.1%	1.2%
2017/9	1.9%	1.2%	0.0%	1.3%	0.8%	2.6%	1.3%

Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2012/3	1.3%	-4.7%	-8.3%	0.5%	-9.1%	1.6%	0.0%
2013/3	2.5%	2.9%	2.7%	13.9%	21.5%	22.2%	19.0%
2014/3	32.2%	-0.3%	8.6%	4.8%	30.0%	9.2%	9.7%
2015/3	105.4%	-0.9%	6.1%	7.9%	24.5%	20.1%	18.8%
2016/3	42.3%	-4.5%	-1.4%	5.5%	-6.4%	-1.1%	0.1%
2017/3	-86.9%	-4.0%	1.0%	17.3%	12.5%	11.8%	8.4%
2017/6	-4.4%	-0.9%	2.1%	2.6%	9.7%	4.3%	4.2%
2017/9	1.9%	1.8%	0.2%	1.7%	1.9%	4.0%	3.4%

Percentage

		Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	90.5%	6.0%	1.4%	1.5%	0.2%	0.3%	100.0%
2012/3	90.6%	5.9%	1.4%	1.6%	0.2%	0.3%	100.0%
2013/3	91.7%	5.1%	1.2%	1.5%	0.2%	0.3%	100.0%
2014/3	95.5%	2.7%	0.7%	0.8%	0.2%	0.2%	100.0%
2015/3	98.3%	0.9%	0.3%	0.3%	0.1%	0.1%	100.0%
2016/3	98.9%	0.6%	0.2%	0.2%	0.0%	0.1%	100.0%
2017/3	26.8%	38.0%	11.1%	17.0%	3.1%	4.1%	100.0%
2017/6	26.1%	37.6%	11.3%	17.4%	3.3%	4.3%	100.0%
2017/9	26.3%	37.6%	11.1%	17.4%	3.3%	4.3%	100.0%

Percentage

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	1.3%	5.6%	4.1%	13.2%	6.6%	69.3%	100.0%
2012/3	1.3%	5.3%	3.7%	13.2%	6.0%	70.5%	100.0%
2013/3	1.1%	4.6%	3.2%	12.7%	6.1%	72.3%	100.0%
2014/3	1.3%	4.2%	3.2%	12.1%	7.2%	72.0%	100.0%
2015/3	2.3%	3.5%	2.8%	11.0%	7.6%	72.8%	100.0%
2016/3	3.3%	3.3%	2.8%	11.6%	7.1%	71.9%	100.0%
2017/3	0.4%	2.9%	2.6%	12.5%	7.3%	74.2%	100.0%
2017/6	0.4%	2.8%	2.6%	12.3%	7.7%	74.2%	100.0%
2017/9	0.4%	2.8%	2.5%	12.1%	7.6%	74.7%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

2. Discretionary Accounts

(6) Assets Under Management by Country and Region

(Unit : ¥ 100million)

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2011/3	226,878	467,855	217	14,204	10,643	74,079	118,238	6	8,352	6,155	51,824	76,530	8	741	2,468	9,798	2,935	3	240	413	33,872	89,078	2	1,486	63,958
2012/3	219,850	461,283	577	13,111	9,489	75,197	128,836	7	11,291	5,685	43,954	74,711	6	1,354	2,366	10,526	7,955	3	118	544	32,867	92,994	0	1,796	65,860
2013/3	286,286	496,680	684	16,800	13,185	97,725	159,840	13	13,414	2,485	57,312	96,563	0	1,428	3,438	13,776	10,344	1	185	408	43,102	96,765	14	2,764	86,816
2014/3	367,125	477,271	895	17,600	13,208	127,667	166,327	39	10,377	7,418	73,699	125,457	0	2,982	2,874	13,987	8,256	1	254	652	49,474	97,572	12	3,300	78,934
2015/3	516,898	398,358	1,533	25,545	20,109	182,619	209,046	11	15,386	16,347	89,633	141,536	0	6,705	4,618	34,839	10,820	1	61	2,142	63,622	120,028	8	4,121	90,278
2016/3	498,556	439,187	1,194	22,666	20,282	188,519	213,819	104	13,501	14,116	87,369	136,364	21	1,090	4,368	31,301	11,633	2	108	1,605	56,407	125,686	21	4,690	83,979
2017/3	582,559	476,282	1,484	21,983	34,566	215,917	231,384	351	18,901	4,617	92,110	134,386	133	914	4,453	37,494	9,159	15	-46	1,465	56,948	120,316	128	4,294	71,187
2017/6	612,972	470,806	1,613	22,102	32,147	225,615	243,448	309	14,341	4,768	99,892	149,493	145	1,158	3,692	41,170	9,989	13	205	1,425	60,072	133,145	121	3,884	78,238
2017/9	638,187	471,024	1,714	21,326	31,332	232,895	247,127	365	14,300	4,339	104,245	162,718	152	1,091	3,780	42,815	10,570	19	661	1,423	63,405	142,983	129	4,185	84,408

Percentage changes from the previous year (or term)

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2012/3	-3.1%	-1.4%	165.8%	-7.7%	-10.8%	1.5%	9.0%	4.7%	35.2%	-7.6%	-15.2%	-2.4%	-25.6%	82.6%	-4.1%	7.4%	171.0%	-8.2%	-50.9%	31.8%	-3.0%	4.4%	-70.5%	20.9%	3.0%
2013/3	30.2%	7.7%	18.5%	28.1%	38.9%	30.0%	24.1%	90.0%	18.8%	-56.3%	30.4%	29.2%	-100.0%	5.5%	45.3%	30.9%	30.0%	-59.8%	57.2%	-25.0%	31.1%	4.1%	2705.3%	53.9%	31.8%
2014/3	28.2%	-3.9%	30.9%	4.8%	0.2%	30.6%	4.1%	201.6%	-22.6%	198.5%	28.6%	29.9%	-	108.7%	-16.4%	1.5%	-20.2%	6.0%	36.9%	59.7%	14.8%	0.8%	-11.5%	19.4%	-9.1%
2015/3	40.8%	-16.5%	71.3%	45.1%	52.2%	43.0%	25.7%	-70.7%	48.3%	120.4%	21.6%	12.8%	-	124.9%	60.7%	149.1%	31.1%	-50.6%	-76.0%	228.7%	28.6%	23.0%	-34.0%	24.9%	14.4%
2016/3	-3.5%	10.2%	-22.1%	-11.3%	0.9%	3.2%	2.3%	814.3%	-12.3%	-13.7%	-2.5%	-3.7%	-	-83.7%	-5.4%	-10.2%	7.5%	280.6%	77.4%	-25.1%	-11.3%	4.7%	167.9%	13.8%	-7.0%
2017/3	16.8%	8.4%	24.3%	-3.0%	70.4%	14.5%	8.2%	238.8%	40.0%	-67.3%	5.4%	-1.5%	520.6%	-16.1%	1.9%	19.8%	-21.3%	531.7%	-142.4%	-8.7%	1.0%	-4.3%	498.3%	-8.4%	-15.2%
2017/6	5.2%	-1.1%	8.8%	0.5%	-7.0%	4.5%	5.2%	-11.9%	-24.1%	3.3%	8.4%	11.2%	9.7%	26.8%	-17.1%	9.8%	9.1%	-11.4%	-548.3%	-2.7%	5.5%	10.7%	-5.5%	-9.6%	9.9%
2017/9	4.1%	0.0%	6.2%	-3.5%	-2.5%	3.2%	1.5%	18.0%	-0.3%	-9.0%	4.4%	8.8%	4.2%	-5.8%	2.4%	4.0%	5.8%	44.7%	222.0%	-0.2%	5.5%	7.4%	6.4%	7.7%	7.9%

Percentage

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2011/3	18.0%	37.1%	0.0%	1.1%	0.8%	5.9%	9.4%	0.0%	0.7%	0.5%	4.1%	6.1%	0.0%	0.1%	0.2%	0.8%	0.2%	0.0%	0.0%	0.0%	2.7%	7.1%	0.0%	0.1%	5.1%
2012/3	17.4%	36.6%	0.0%	1.0%	0.8%	6.0%	10.2%	0.0%	0.9%	0.5%	3.5%	5.9%	0.0%	0.1%	0.2%	0.8%	0.6%	0.0%	0.0%	0.0%	2.6%	7.4%	0.0%	0.1%	5.2%
2013/3	19.1%	33.1%	0.0%	1.1%	0.9%	6.5%	10.7%	0.0%	0.9%	0.2%	3.8%	6.4%	0.0%	0.1%	0.2%	0.9%	0.7%	0.0%	0.0%	0.0%	2.9%	6.5%	0.0%	0.2%	5.8%
2014/3	22.3%	29.0%	0.1%	1.1%	0.8%	7.8%	10.1%	0.0%	0.6%	0.5%	4.5%	7.6%	0.0%	0.2%	0.2%	0.9%	0.5%	0.0%	0.0%	0.0%	3.0%	5.9%	0.0%	0.2%	4.8%
2015/3	26.4%	20.4%	0.1%	1.3%	1.0%	9.3%	10.7%	0.0%	0.8%	0.8%	4.6%	7.2%	0.0%	0.3%	0.2%	1.8%	0.6%	0.0%	0.0%	0.1%	3.3%	6.1%	0.0%	0.2%	4.6%
2016/3	25.5%	22.4%	0.1%	1.2%	1.0%	9.6%	10.9%	0.0%	0.7%	0.7%	4.5%	7.0%	0.0%	0.1%	0.2%	1.6%	0.6%	0.0%	0.0%	0.1%	2.9%	6.4%	0.0%	0.2%	4.3%
2017/3	27.5%	22.5%	0.1%	1.0%	1.6%	10.2%	10.9%	0.0%	0.9%	0.2%	4.3%	6.3%	0.0%	0.0%	0.2%	1.8%	0.4%	0.0%	0.0%	0.1%	2.7%	5.7%	0.0%	0.2%	3.4%
2017/6	27.7%	21.3%	0.1%	1.0%	1.5%	10.2%	11.0%	0.0%	0.6%	0.2%	4.5%	6.8%	0.0%	0.1%	0.2%	1.9%	0.5%	0.0%	0.0%	0.1%	2.7%	6.0%	0.0%	0.2%	3.5%
2017/9	27.9%	20.6%	0.1%	0.9%	1.4%	10.2%	10.8%	0.0%	0.6%	0.2%	4.6%	7.1%	0.0%	0.0%	0.2%	1.9%	0.5%	0.0%	0.0%	0.1%	2.8%	6.3%	0.0%	0.2%	3.7%

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

2. Discretionary Accounts

(7) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2011/3	7,890	2,383	873	0	30,290
2012/3	5,429	2,772	920	8	176
2013/3	6,099	4,738	3,412	19	772
2014/3	6,642	4,153	1,162	0	38
2015/3	10,686	4,372	1,863	0	2,269
2016/3	9,354	7,573	943	2	1,303
2017/3	11,318	11,946	723	139	317
2017/6	10,364	12,034	971	30	402
2017/9	10,764	12,608	1,016	40	489

Over-the-counter derivatives

(Unit : ¥ 100million)

	Forwards	Options	Swaps	Others
2011/3	163,838	8,838	76,220	5,375
2012/3	156,856	11,269	84,449	4,341
2013/3	162,108	12,242	89,728	8,522
2014/3	150,541	10,737	42,977	11,900
2015/3	169,693	23,762	37,436	5,396
2016/3	162,426	26,418	72,872	2,952
2017/3	139,928	5,263	86,655	3,351
2017/6	161,070	6,686	98,728	6,302
2017/9	175,808	7,499	114,688	6,890

Overseas exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2011/3	3,553	6,566	5	117	2,245
2012/3	1,709	7,302	3	498	1,404
2013/3	3,926	13,490	17	41	3,624
2014/3	4,165	12,262	217	52	5,505
2015/3	7,137	14,681	409	225	17,344
2016/3	5,681	27,444	65	178	9,916
2017/3	8,204	35,554	106	146	10,832
2017/6	8,450	40,905	136	171	15,260
2017/9	7,807	33,569	148	135	17,393

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

3. Real Estate Private Funds

(1) Assets Under Management (Discretionary Assets)

(Unit : ¥ 100million)

	Domestic Clients								Overseas Clients								Total							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2011/3	521	52	43,729	4,353	0	0	0	0	0	0	0	0	0	0	0	0	521	52	43,729	4,353	0	0	0	0
2012/3	589	55	47,290	4,716	6	3	54	9	0	0	0	0	0	0	0	0	589	55	47,290	4,716	6	3	54	9
2013/3	588	54	45,086	4,312	7	3	84	29	0	0	0	0	2	2	16	16	588	54	45,086	4,312	9	5	100	45
2014/3	499	52	36,997	2,404	6	3	94	30	0	0	0	0	2	2	17	17	499	52	36,997	2,404	8	5	111	47
2015/3	468	39	37,328	1,566	7	2	148	6	1	0	15	0	2	2	15	15	468	39	37,328	1,566	9	4	163	21
2016/3	495	39	36,016	1,571	5	0	197	0	1	0	14	0	2	2	8	8	495	39	36,016	1,571	7	2	205	8
2017/3	464	40	33,892	1,494	11	5	138	43	2	0	41	0	1	1	3	3	464	40	33,892	1,494	12	6	141	46
2017/6	509	43	34,556	1,521	8	5	99	46	2	0	43	0	1	1	1	1	511	43	34,599	1,521	9	6	99	47
2017/9	524	44	35,784	1,564	9	5	133	54	2	0	44	0	1	1	1	1	526	44	35,828	1,564	10	6	134	55

Total (Discretionary Assets)				
	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2011/3	521	52	43,729	4,353
2012/3	595	58	47,344	4,724
2013/3	597	59	45,187	4,358
2014/3	507	57	37,108	2,451
2015/3	478	43	37,506	1,587
2016/3	503	41	36,234	1,580
2017/3	478	46	34,074	1,541
2017/6	520	49	34,699	1,568
2017/9	536	50	35,962	1,619

Percentage changes from the previous year (or term)

	Domestic Clients								Overseas Clients								Total							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2012/3	13.1%	5.8%	8.1%	8.3%	-	-	-	-	-	-	-	-	-	-	-	-	13.1%	5.8%	8.1%	8.3%	-	-	-	-
2013/3	-0.2%	-1.8%	-4.7%	-8.6%	16.7%	0.0%	56.9%	235.9%	-	-	-	-	-	-	-	-	-0.2%	-1.8%	-4.7%	-8.6%	50.0%	66.7%	87.0%	420.8%
2014/3	-15.1%	-3.7%	-17.9%	-44.2%	-14.3%	0.0%	11.5%	1.5%	-	-	-	-	0.0%	0.0%	4.5%	4.5%	-15.1%	-3.7%	-17.9%	-44.2%	-11.1%	0.0%	10.4%	2.6%
2015/3	-6.2%	-25.0%	0.9%	-34.9%	16.7%	-33.3%	57.1%	-79.7%	-	-	-	-	-	-	-9.7%	-9.7%	-6.0%	-25.0%	0.9%	-34.9%	12.5%	-20.0%	47.0%	-54.3%
2016/3	5.8%	0.0%	-3.5%	0.3%	-28.6%	-100.0%	33.0%	-100.0%	0.0%	-	-9.1%	-	0.0%	0.0%	-45.4%	-45.4%	5.8%	0.0%	-3.5%	0.3%	-22.2%	-50.0%	25.7%	-60.9%
2017/3	-6.3%	2.6%	-5.9%	-4.9%	120.0%	-	-29.7%	-	100.0%	-	205.2%	-	-50.0%	-50.0%	-63.0%	-63.0%	-6.0%	2.6%	-5.8%	-4.9%	71.4%	200.0%	-31.0%	457.1%
2017/6	9.7%	7.5%	2.0%	1.8%	-27.3%	0.0%	-28.6%	7.0%	0.0%	-	3.5%	-	0.0%	0.0%	-73.1%	-73.1%	9.7%	7.5%	2.0%	1.8%	-25.0%	0.0%	-29.6%	1.7%
2017/9	2.9%	2.3%	3.6%	2.8%	12.5%	0.0%	35.2%	16.6%	0.0%	-	2.4%	-	0.0%	0.0%	0.0%	0.0%	2.9%	2.3%	3.6%	2.8%	11.1%	0.0%	34.9%	16.3%

Total (Discretionary Assets)				
	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2012/3	14.2%	11.5%	8.3%	8.5%
2013/3	0.3%	1.7%	-4.6%	-7.8%
2014/3	-15.1%	-3.4%	-17.9%	-43.8%
2015/3	-5.7%	-24.6%	1.1%	-35.2%
2016/3	5.2%	-4.7%	-3.4%	-0.5%
2017/3	-5.0%	12.2%	-6.0%	-2.5%
2017/6	8.8%	6.5%	1.8%	1.8%
2017/9	3.1%	2.0%	3.6%	3.2%

3. Real Estate Private Funds

(2) Assets Under Management (Assets Under Advice)

(Unit : ¥ 100million)

	Domestic Clients								Overseas Clients								Total							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2011/3	464	30	46,150	4,075	0	0	0	0	0	0	0	0	0	0	0	0	464	30	46,150	4,075	0	0	0	0
2012/3	391	22	42,057	2,832	2	1	49	0	0	0	0	0	3	1	397	40	391	22	42,057	2,832	5	2	446	40
2013/3	324	19	38,416	2,715	3	0	352	0	0	0	0	0	4	3	634	580	324	19	38,416	2,715	7	3	986	580
2014/3	231	11	28,530	1,188	2	0	336	0	0	0	0	0	5	3	1,026	886	231	11	28,530	1,188	7	3	1,362	886
2015/3	234	16	30,033	882	2	0	0	0	0	0	0	0	4	3	986	985	234	16	30,033	882	6	3	986	985
2016/3	293	20	38,862	1,179	2	0	0	0	0	0	0	0	4	3	1,987	1,987	293	20	38,862	1,179	6	3	1,987	1,987
2017/3	298	17	39,121	749	2	0	0	0	0	0	0	0	3	3	1,817	1,817	298	17	39,121	749	5	3	1,817	1,817
2017/6	285	18	38,216	837	2	0	30	0	0	0	0	0	3	3	2,118	2,118	285	18	38,216	837	5	3	2,147	2,118
2017/9	286	16	36,332	716	2	0	30	0	0	0	0	0	3	3	2,229	2,096	286	16	36,332	716	5	3	2,259	2,096

Total (Discretionary Assets)			
Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2011/3	464	30	46,150
2012/3	396	24	42,503
2013/3	331	22	39,402
2014/3	238	14	29,892
2015/3	240	19	31,020
2016/3	299	23	40,849
2017/3	303	20	40,938
2017/6	290	21	40,363
2017/9	291	19	38,591

Percentage changes from the previous year (or term)

	Domestic Clients								Overseas Clients								Total							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2012/3	-15.7%	-26.7%	-8.9%	-30.5%	--	--	--	--	--	--	--	--	--	--	--	--	-15.7%	-26.7%	-8.9%	-30.5%	--	--	--	--
2013/3	-17.1%	-13.6%	-8.7%	-4.1%	50.0%	-100.0%	617.6%	-100.0%	--	--	--	--	33.3%	200.0%	59.6%	1350.3%	-17.1%	-13.6%	-8.7%	-4.1%	40.0%	50.0%	121.0%	1349.9%
2014/3	-28.7%	-42.1%	-25.7%	-56.3%	-33.3%	--	-4.5%	--	--	--	--	--	25.0%	0.0%	61.9%	53.0%	-28.7%	-42.1%	-25.7%	-56.3%	0.0%	0.0%	38.2%	53.0%
2015/3	1.3%	45.5%	5.3%	-25.7%	0.0%	--	-100.0%	--	--	--	--	--	-20.0%	0.0%	-3.8%	11.1%	1.3%	45.5%	5.3%	-25.7%	-14.3%	0.0%	-27.6%	11.1%
2016/3	25.2%	25.0%	29.4%	33.7%	0.0%	--	0.0%	--	--	--	--	--	0.0%	0.0%	101.5%	101.8%	25.2%	25.0%	29.4%	33.7%	0.0%	0.0%	101.5%	101.8%
2017/3	1.7%	-15.0%	0.7%	-36.5%	0.0%	--	0.0%	--	--	--	--	--	-25.0%	0.0%	-8.6%	-8.5%	1.7%	-15.0%	0.7%	-36.5%	-16.7%	0.0%	-8.6%	-8.5%
2017/6	-4.4%	5.9%	-2.3%	11.8%	0.0%	--	49116.7%	--	--	--	--	--	0.0%	0.0%	16.5%	16.5%	-4.4%	5.9%	-2.3%	11.8%	0.0%	0.0%	18.2%	16.5%
2017/9	0.4%	-11.1%	-4.9%	-14.5%	0.0%	--	0.0%	--	--	--	--	--	0.0%	0.0%	5.3%	-1.1%	0.4%	-11.1%	-4.9%	-14.5%	0.0%	0.0%	5.2%	-1.1%

Total (Discretionary Assets)			
Number of Contracts	In double layer scheme No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2012/3	-14.7%	-20.0%	-7.9%
2013/3	-16.4%	-8.3%	-7.3%
2014/3	-28.1%	-36.4%	-24.1%
2015/3	0.8%	35.7%	3.8%
2016/3	24.6%	21.1%	31.7%
2017/3	1.3%	-13.0%	0.2%
2017/6	-4.3%	5.0%	-1.4%
2017/9	0.3%	-9.5%	-4.4%

3. Real Estate Private Funds

(3) Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit : ¥ 100million)

	Domestic Clients								Overseas Clients								Total							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2011/3	985	82	89,879	8,428	0	0	0	0	0	0	0	0	0	0	0	985	82	89,879	8,428	0	0	0	0	0
2012/3	980	77	89,347	7,547	8	4	103	9	0	0	0	0	3	1	397	40	980	77	89,347	7,547	11	5	500	49
2013/3	912	73	83,503	7,027	10	3	436	29	0	0	0	0	6	5	650	596	912	73	83,503	7,027	16	8	1,086	625
2014/3	730	63	65,527	3,592	8	3	430	30	0	0	0	0	7	5	1,043	903	730	63	65,527	3,592	15	8	1,473	933
2015/3	702	55	67,361	2,448	9	2	148	6	1	0	15	0	6	5	1,002	1,000	703	55	67,376	2,448	15	7	1,149	1,006
2016/3	788	59	74,878	2,751	7	0	197	0	1	0	14	0	6	5	1,996	1,995	789	59	74,891	2,751	13	5	2,192	1,995
2017/3	762	57	73,013	2,243	13	5	138	43	2	0	41	0	4	4	1,820	1,820	764	57	73,054	2,243	17	9	1,958	1,863
2017/6	794	61	72,772	2,358	10	5	128	46	2	0	43	0	4	4	2,119	2,119	796	61	72,815	2,358	14	9	2,247	2,165
2017/9	810	60	72,116	2,279	11	5	163	54	2	0	44	0	4	4	2,230	2,096	812	60	72,160	2,279	15	9	2,393	2,150

	Total (Discretionary Assets)			
	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2011/3	985	82	89,879	8,428
2012/3	991	82	89,847	7,596
2013/3	928	81	84,589	7,652
2014/3	745	71	67,000	4,525
2015/3	718	62	68,526	3,454
2016/3	802	64	77,084	4,746
2017/3	781	66	75,013	4,107
2017/6	810	70	75,062	4,523
2017/9	827	69	74,552	4,430

Percentage changes from the previous year (or term)

	Domestic Clients								Overseas Clients								Total							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2012/3	-0.5%	-6.1%	-0.6%	-10.4%	-	-	-	-	-	-	-	-	-	-	-	-0.5%	-6.1%	-0.6%	-10.4%	-	-	-	-	-
2013/3	-6.9%	-5.2%	-6.5%	-6.9%	25.0%	-25.0%	324.6%	235.5%	-	-	-	-	-100.0%	400.0%	63.7%	1390.7%	-6.9%	-5.2%	-6.5%	-6.9%	45.5%	60.0%	117.4%	1183.4%
2014/3	-20.0%	-13.7%	-21.5%	-48.9%	-20.0%	0.0%	-1.4%	1.5%	-	-	-	-	16.7%	0.0%	60.4%	51.6%	-20.0%	-13.7%	-21.5%	-48.9%	-6.3%	0.0%	35.6%	49.3%
2015/3	-3.8%	-12.7%	2.8%	-31.8%	12.5%	-33.3%	-65.7%	-79.7%	-	-	-	-	-14.3%	0.0%	-3.9%	10.7%	-3.7%	-12.7%	2.8%	-31.8%	0.0%	-12.5%	-22.0%	7.8%
2016/3	12.3%	7.3%	11.2%	12.4%	-22.2%	-100.0%	33.0%	-100.0%	0.0%	-	-9.1%	-	0.0%	0.0%	99.2%	99.5%	12.2%	7.3%	11.2%	12.4%	-13.3%	-28.6%	90.7%	98.3%
2017/3	-3.3%	-3.4%	-2.5%	-18.4%	85.7%	-	-29.7%	-	100.0%	-	205.2%	-	-33.3%	-20.0%	-8.8%	-8.8%	-3.2%	-3.4%	-2.5%	-18.4%	30.8%	80.0%	-10.7%	-6.6%
2017/6	4.2%	7.0%	-0.3%	5.1%	-23.1%	0.0%	-7.3%	7.0%	0.0%	-	3.5%	-	0.0%	0.0%	16.4%	16.4%	4.2%	7.0%	-0.3%	5.1%	-17.6%	0.0%	14.7%	16.2%
2017/9	2.0%	-1.6%	-0.9%	-3.3%	10.0%	0.0%	27.1%	16.6%	0.0%	-	2.4%	-	0.0%	0.0%	5.3%	-1.1%	2.0%	-1.6%	-0.9%	-3.3%	7.1%	0.0%	6.5%	-0.7%

	Total (Discretionary Assets)			
	Number of Contracts	No. of contracts with master scheme	Amount	In double layer scheme Amount of assets under management for master scheme
2012/3	0.6%	0.0%	0.0%	-9.9%
2013/3	-6.4%	-1.2%	-5.9%	0.7%
2014/3	-19.7%	-12.3%	-20.8%	-40.9%
2015/3	-3.6%	-12.7%	2.3%	-23.7%
2016/3	11.7%	3.2%	12.5%	37.4%
2017/3	-2.6%	3.1%	-2.7%	-13.5%
2017/6	3.7%	6.1%	0.1%	10.1%
2017/9	2.1%	-1.4%	-0.7%	-2.1%

3. Real Estate Private Funds

(4) Assets Under Management by Asset Class

(Unit : ¥ 100million)

	Japan								Overseas								Global							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	521	52	43,729	4,353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2012/3	589	55	47,290	4,716	6	3	54	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2013/3	588	54	45,086	4,312	7	3	84	29	0	0	0	0	2	2	16	16	0	0	0	0	0	0	0	0
2014/3	499	52	36,997	2,404	6	3	94	30	0	0	0	0	2	2	17	17	0	0	0	0	0	0	0	0
2015/3	468	39	37,328	1,566	7	2	148	6	1	0	15	0	2	2	15	15	0	0	0	0	0	0	0	0
2016/3	495	39	36,016	1,571	5	0	197	0	1	0	14	0	2	2	8	8	0	0	0	0	0	0	0	0
2017/3	464	40	33,892	1,494	11	5	138	43	2	0	41	0	1	1	3	3	0	0	0	0	0	0	0	0
2017/6	505	42	34,510	1,521	8	5	99	46	6	1	89	1	1	1	1	1	0	0	0	0	0	0	0	0
2017/9	525	44	35,784	1,564	8	5	133	54	2	0	44	0	1	1	1	1	0	0	0	0	0	0	0	0

Percentage changes from the previous year (or term)

	Japan								Overseas								Global							
	Securities related to real property				Others				Securities related to real property				Others				Securities related to real property				Others			
	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No. of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2012/3	13.1%	5.8%	8.1%	8.3%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2013/3	-0.2%	-1.8%	-4.7%	-8.6%	16.7%	0.0%	56.9%	235.9%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2014/3	-15.1%	-3.7%	-17.9%	-44.2%	-14.3%	0.0%	11.5%	1.5%	-	-	-	-	0.0%	0.0%	4.5%	4.5%	-	-	-	-	-	-	-	-
2015/3	-6.2%	-25.0%	0.9%	-34.9%	16.7%	-33.3%	57.1%	-79.7%	-	-	-	-	0.0%	0.0%	-9.7%	-9.7%	-	-	-	-	-	-	-	-
2016/3	5.8%	0.0%	-3.5%	0.3%	-28.6%	-100.0%	33.0%	-100.0%	0.0%	-	-9.1%	-	0.0%	0.0%	-45.4%	-45.4%	-	-	-	-	-	-	-	-
2017/3	-6.3%	2.6%	-5.9%	-4.9%	120.0%	-	-29.7%	-	100.0%	-	205.2%	-	-50.0%	-50.0%	-63.0%	-63.0%	-	-	-	-	-	-	-	-
2017/6	8.8%	5.0%	1.8%	1.8%	-27.3%	0.0%	-28.6%	7.0%	200.0%	-	115.6%	-	0.0%	0.0%	-73.1%	-73.1%	-	-	-	-	-	-	-	-
2017/9	4.0%	4.8%	3.7%	2.9%	0.0%	0.0%	35.2%	16.6%	-66.7%	-100.0%	-50.8%	-100.0%	0.0%	0.0%	0.0%	0.0%	-	-	-	-	-	-	-	-

Note: Assets under management is based on discretionary investment management contracts.

3. Real Estate Private Funds

(5) Assets Under Management by Country and Region

(Unit : ¥ 100million)

	Japan					USA					Europe					Asia					Others					
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	
2011/3	0	0	42,807	622	301	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2012/3	0	0	46,223	624	476	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2013/3	0	0	44,377	677	92	0	0	0	0	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2014/3	0	32	36,446	503	70	0	0	0	0	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2015/3	72	44	37,053	260	46	0	0	0	0	15	0	0	15	0	0	0	0	0	0	0	0	0	0	0	0	0
2016/3	0	0	35,838	171	204	0	0	0	0	8	0	0	14	0	0	0	0	0	0	0	0	0	0	0	0	0
2017/3	1	0	33,721	166	143	0	0	0	0	3	12	0	0	0	0	0	0	0	0	0	0	0	0	0	2	27
2017/6	1	0	34,446	105	103	0	0	0	0	1	12	0	0	0	0	0	0	0	0	0	0	0	0	0	4	27
2017/9	5	0	35,668	111	133	0	0	0	0	1	13	0	0	0	0	0	0	0	0	0	0	0	0	0	3	28

Percentage changes from the previous year (or term)

	Japan					USA					Europe					Asia					Others					
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	
2012/3	-	-	8.0%	0.4%	58.2%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2013/3	-	-	-4.0%	8.5%	-80.7%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2014/3	-	-	-17.9%	-25.8%	-23.7%	-	-	-	-	4.5%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2015/3	-	37.3%	1.7%	-48.2%	-34.7%	-	-	-	-	-9.7%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2016/3	-100.0%	-100.0%	-3.3%	-34.5%	346.2%	-	-	-	-	-45.4%	-	-	-9.1%	-	-	-	-	-	-	-	-	-	-	-	-	-
2016/6	-	-	2.5%	-10.0%	32.7%	-	-	-	-	-10.8%	-	-	-100.0%	-	-	-	-	-	-	-	-	-	-	-	-	-
2016/9	-	-	-3.9%	127.4%	1.1%	-	-	-	-	-48.0%	-5.3%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2016/12	-	-	-1.6%	-53.9%	1.9%	-	-	-	-	-22.5%	9.2%	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2017/3	-	-	-5.9%	-2.8%	-30.1%	-	-	-	-	-63.0%	-	-	-100.0%	-	-	-	-	-	-	-	-	-	-	-	-	-
2017/6	0.0%	-	2.2%	-36.6%	-27.9%	-	-	-	-	-73.1%	4.2%	-	-	-	-	-	-	-	-	-	-	-	-	-	46.7%	-0.7%
2017/9	525.6%	-	3.5%	5.5%	29.5%	-	-	-	-	0.0%	3.8%	-	-	-	-	-	-	-	-	-	-	-	-	-	-13.7%	3.9%

Percentage

	Japan					USA					Europe					Asia					Others					
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	
2011/3	0.0%	0.0%	97.9%	1.4%	0.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2012/3	0.0%	0.0%	97.6%	1.3%	1.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2013/3	0.0%	0.0%	98.2%	1.5%	0.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2014/3	0.0%	0.1%	98.2%	1.4%	0.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2015/3	0.2%	0.1%	98.8%	0.7%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2016/3	0.0%	0.0%	98.9%	0.5%	0.6%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2017/3	0.0%	0.0%	99.0%	0.5%	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
2017/6	0.0%	0.0%	99.3%	0.3%	0.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
2017/9	0.0%	0.0%	99.2%	0.3%	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%

Note: Assets under management is based on discretionary investment management contracts.

3. Real Estate Private Funds

(6) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Over-the-counter derivatives

(Unit : ¥ 100million)

	Forwards	Options	Swaps	Others
2011/3	0	2,283	553	0
2012/3	0	835	794	169
2013/3	0	587	504	169
2014/3	0	471	436	98
2015/3	0	97	767	0
2016/3	0	0	875	0
2017/3	27	0	1,159	0
2017/6	30	0	922	0
2017/9	40	0	919	0

Overseas exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(1) Assets Under Management (Discretionary Assets)

(Unit : ¥ 100million)

	Domestic Clients						Overseas Clients						Total (Discretionary Assets)	
	Corporations		Individuals		Total		Corporations		Individuals		Total		Number of Contract	Amount
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2017/3	6,586	5,980	558,034	59,721	564,620	65,700	0	0	2	2	2	2	564,622	65,702
2017/6	6,570	6,162	584,263	63,108	590,833	69,270	0	0	2	2	2	2	590,835	69,272
2017/9	6,729	6,550	619,193	66,628	625,922	73,178	0	0	2	2	2	2	625,924	73,180

Percentage changes from the previous year (or term)

	Domestic Clients						Overseas Clients						Total (Discretionary Assets)	
	Corporations		Individuals		Total		Corporations		Individuals		Total		Number of Contract	Amount
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2017/6	-0.2%	3.1%	4.7%	5.7%	4.6%	5.4%	-	-	0.0%	5.0%	0.0%	5.0%	4.6%	5.4%
2017/9	2.4%	6.3%	6.0%	5.6%	5.9%	5.6%	-	-	4.3%	3.4%	8.6%	3.5%	5.9%	5.6%

※ “Wrap Accounts” mean those accounts in which clients pay one comprehensive asset-based fee which includes management fees, commissions for the execution of transactions, administrative fees, etc.

4. Wrap Accounts

(2) Assets Under Management (Assets Under Advice)

(Unit : ¥ 100million)

	Domestic Clients						Overseas Clients					
	Corporations		Individuals		Total		Corporations		Individuals		Total	
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount
2017/3	0	0	0	0	0	0	0	0	0	0	0	0
2017/6	0	0	0	0	0	0	0	0	0	0	0	0
2017/9	1	4	0	0	1	4	0	0	0	0	0	0

Total (Discretionary Assets)	
Number of Contract	Amount
0	0
0	0
1	4

Percentage changes from the previous year (or term)

	Domestic Clients						Overseas Clients					
	Corporations		Individuals		Total		Corporations		Individuals		Total	
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount
2017/6	-	-	-	-	-	-	-	-	-	-	-	-
2017/9	-	-	-	-	-	-	-	-	-	-	-	-

Total (Discretionary Assets)	
Number of Contract	Amount
-	-
-	-

4. Wrap Accounts

(3) Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit : ¥ 100million)

	Domestic Clients						Overseas Clients						Total (Discretionary Assets)	
	Corporations		Individuals		Total		Corporations		Individuals		Total		Number of Contract	Amount
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2017/3	6,586	5,980	558,034	59,721	564,620	65,700	0	0	2	2	2	2	564,622	65,702
2017/6	6,570	6,162	584,263	63,108	590,833	69,270	0	0	2	2	2	2	590,835	69,272
2017/9	6,730	6,554	619,193	66,628	625,923	73,182	0	0	2	2	2	2	625,925	73,184

Percentage changes from the previous year (or term)

	Domestic Clients						Overseas Clients						Total (Discretionary Assets)	
	Corporations		Individuals		Total		Corporations		Individuals		Total		Number of Contract	Amount
	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount	Number of Contract	Amount		
2017/6	-0.2%	3.1%	4.7%	5.7%	4.6%	5.4%	-	-	0.0%	5.0%	0.0%	5.0%	4.6%	5.4%
2017/9	2.4%	6.4%	6.0%	5.6%	5.9%	5.6%	-	-	8.6%	3.5%	17.3%	3.6%	5.9%	5.6%

4. Wrap Accounts

(4) Assets Under Management by Asset Class

① Mutual Fund Wrap Accounts

① Number of Contract

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/3	1	67	124,554	0	49	18	3	191	437,821	562,704
2017/6	5	89	127,095	0	50	31	3	201	461,564	589,038
2017/9	3	79	130,311	0	50	3	2	281	493,476	624,205

Percentage changes from the previous year (or term)

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/6	400.0%	32.8%	2.0%	-	2.0%	72.2%	0.0%	5.2%	5.4%	4.7%
2017/9	-40.0%	-11.2%	2.5%	-	0.0%	-90.3%	-33.3%	39.8%	6.9%	6.0%

② Amount of Assets

(Unit : ¥ 100million)

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/3	0	63	15,284	0	93	1	7	497	48,202	64,147
2017/6	1	67	16,014	0	91	4	7	491	51,020	67,695
2017/9	1	62	16,840	0	92	0	4	599	53,876	71,473

Percentage changes from the previous year (or term)

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/6	1640.0%	5.4%	4.8%	-	-1.5%	260.3%	6.1%	-1.1%	5.8%	5.5%
2017/9	43.7%	-7.9%	5.2%	-	0.4%	-91.7%	-51.2%	21.9%	5.6%	5.6%

Percentage

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/3	0.0%	0.1%	23.8%	0.0%	0.1%	0.0%	0.0%	0.8%	75.1%	100.0%
2017/6	0.0%	0.1%	23.7%	0.0%	0.1%	0.0%	0.0%	0.7%	75.4%	100.0%
2017/9	0.0%	0.1%	23.6%	0.0%	0.1%	0.0%	0.0%	0.8%	75.4%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(4) Assets Under Management by Asset Class

② Wrap Accounts(excluding Mutual Fund Wrap Accounts)

① Number of Contract

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/3	1,160	0	382	0	0	0	6	5	365	1,918
2017/6	1,119	0	369	0	0	0	5	3	301	1,797
2017/9	1,060	0	375	0	0	0	5	3	276	1,719

Percentage changes from the previous year (or term)

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/6	-3.5%	-	-3.4%	-	-	-	-16.7%	-40.0%	-17.5%	-6.3%
2017/9	-5.3%	-	1.6%	-	-	-	0.0%	0.0%	-8.3%	-4.3%

② Amount of Assets

(Unit : ¥ 100million)

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/3	147	0	787	0	0	0	3	3	615	1,555
2017/6	148	0	818	0	0	0	2	2	606	1,577
2017/9	146	0	937	0	0	0	3	2	619	1,707

Percentage changes from the previous year (or term)

	Domestic			Overseas			Global			Total
		Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/6	0.6%	-	4.0%	-	-	-	-18.8%	-35.8%	-1.4%	1.4%
2017/9	-1.4%	-	14.6%	-	-	-	10.2%	3.4%	2.1%	8.3%

Percentage

	Domestic			Overseas			Global			Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	
2017/3	9.5%	0.0%	50.6%	0.0%	0.0%	0.0%	0.2%	0.2%	39.6%	100.0%
2017/6	9.4%	0.0%	51.9%	0.0%	0.0%	0.0%	0.2%	0.1%	38.5%	100.0%
2017/9	8.6%	0.0%	54.9%	0.0%	0.0%	0.0%	0.2%	0.1%	36.3%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

4.Wrap Accounts

(5) Assets Under Management by Size

①Number of Contract

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	390,919	112,925	48,767	8,704	3,191	116	564,622
2017/6	392,526	130,530	54,136	9,816	3,692	135	590,835
2017/9	409,616	142,521	58,633	10,812	4,188	154	625,924

Percentage changes from the previous year (or term)

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/6	0.4%	15.6%	11.0%	12.8%	15.7%	16.4%	4.6%
2017/9	4.4%	9.2%	8.3%	10.1%	13.4%	14.1%	5.9%

Percentage

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	69.2%	20.0%	8.6%	1.5%	0.6%	0.0%	100.0%
2017/6	66.4%	22.1%	9.2%	1.7%	0.6%	0.0%	100.0%
2017/9	65.4%	22.8%	9.4%	1.7%	0.7%	0.0%	100.0%

②Amount of Assets

(Unit : ¥ 100million)

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	21,044	15,331	14,370	5,821	6,673	2,462	65,702
2017/6	20,065	17,112	15,632	6,389	7,345	2,728	69,272
2017/9	19,791	18,429	16,814	6,962	8,150	3,034	73,180

Percentage changes from the previous year (or term)

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/6	-4.7%	11.6%	8.8%	9.8%	10.1%	10.8%	5.4%
2017/9	-1.4%	7.7%	7.6%	9.0%	11.0%	11.2%	5.6%

Percentage

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	32.0%	23.3%	21.9%	8.9%	10.2%	3.7%	100.0%
2017/6	29.0%	24.7%	22.6%	9.2%	10.6%	3.9%	100.0%
2017/9	27.0%	25.2%	23.0%	9.5%	11.1%	4.1%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(6) Assets Under Management by Country and Region

(Unit : ¥ 100million)

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2017/3	4,584	7,394	1	1,542	16,782	39	67	5	6	0	9	7	0	0	0	5	1	0	0	0	3,049	12,839	0	90	19,281
2017/6	4,892	6,799	2	1,700	17,487	41	72	12	6	0	10	8	0	0	0	6	1	0	0	0	3,449	13,836	0	83	20,868
2017/9	5,146	6,381	3	2,021	18,461	58	88	15	5	4	13	9	0	0	0	8	1	0	0	0	4,206	14,448	0	65	22,248

Percentage changes from the previous year (or term)

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2017/6	6.7%	-8.1%	38.1%	10.2%	4.2%	7.2%	8.4%	125.2%	-6.3%	-97.0%	16.5%	12.8%	57.9%	-	-100.0%	24.1%	43.4%	43.5%	-	-	13.1%	7.8%	-40.8%	-8.1%	8.2%
2017/9	5.2%	-6.1%	44.3%	18.9%	5.6%	39.3%	21.6%	25.5%	-8.7%	260513.3%	29.1%	7.8%	36.7%	-	-	37.7%	32.9%	39.4%	-	-	21.9%	4.4%	30.2%	-21.4%	6.6%

Percentage

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2017/3	7.0%	11.3%	0.0%	2.3%	25.5%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	4.6%	19.5%	0.0%	0.1%	29.3%
2017/6	7.1%	9.8%	0.0%	2.5%	25.2%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	5.0%	20.0%	0.0%	0.1%	30.1%
2017/9	7.0%	8.7%	0.0%	2.8%	25.2%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	5.7%	19.7%	0.0%	0.1%	30.4%

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(7) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Over-the-counter derivatives

(Unit : ¥ 100million)

	Forwards	Options	Swaps	Others
2017/3	0	0	0	0
2017/6	0	0	0	0
2017/9	0	0	0	0

Overseas exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Note: Assets under management is based on discretionary investment management contracts.

5. Collective Investment Schemes(excluding mutual funds)

(1) Assets Under Management

(Unit : ¥ 100million)

	Collective Investment Schemes (excluding mutual funds)			Assets Under Advice			Total		
	Japan	Overseas	Total	Japan	Overseas	Total	Japan	Overseas	Total
2011/3	1,456	318	1,774	0	0	0	1,456	318	1,774
2012/3	1,272	391	1,663	0	0	0	1,272	391	1,663
2013/3	1,311	480	1,791	0	0	0	1,311	480	1,791
2014/3	1,276	686	1,962	0	0	0	1,276	686	1,962
2015/3	972	566	1,537	0	0	0	972	566	1,537
2016/3	682	376	1,058	0	0	0	682	376	1,058
2017/3	1,006	388	1,394	0	0	0	1,006	388	1,394
2017/6	1,007	379	1,385	0	0	0	1,007	379	1,385
2017/9	1,292	357	1,649	0	0	0	1,292	357	1,649

	Collective Investment Schemes (excluding mutual funds)			Assets Under Advice			Total		
	Japan	Overseas	Total	Japan	Overseas	Total	Japan	Overseas	Total
2012/3	-12.7%	22.9%	-6.3%	-	-	-	-12.7%	22.9%	-6.3%
2013/3	3.1%	22.8%	7.7%	-	-	-	3.1%	22.8%	7.7%
2014/3	-2.7%	42.9%	9.5%	-	-	-	-2.7%	42.9%	9.5%
2015/3	-23.9%	-17.5%	-21.6%	-	-	-	-23.9%	-17.5%	-21.6%
2016/3	-29.8%	-33.5%	-31.2%	-	-	-	-29.8%	-33.5%	-31.2%
2017/3	47.6%	3.2%	31.8%	-	-	-	47.6%	3.2%	31.8%
2017/6	0.0%	-2.4%	-0.6%	-	-	-	0.0%	-2.4%	-0.6%
	28.4%	-5.8%	19.0%	-	-	-	28.4%	-5.8%	19.0%

5. Collective Investment Schemes(excluding mutual funds)

(2) Assets Under Management by Asset Class

① Number of Contract

	Japan		Overseas		Global		Total
	Stocks	Others	Stocks	Others	Stocks	Others	
2011/3	23	11	11	8	25	26	104
2012/3	17	11	11	7	21	26	93
2013/3	12	8	11	4	25	23	83
2014/3	14	6	11	4	25	18	78
2015/3	10	2	11	4	23	14	64
2016/3	10	2	11	0	23	7	53
2017/3	11	4	9	1	27	5	57
2017/6	10	5	8	1	27	6	57
2017/9	12	10	2	1	27	6	58

	Japan		Overseas		Global		Total
	Stocks	Others	Stocks	Others	Stocks	Others	
2012/3	-26.1%	0.0%	0.0%	-12.5%	-16.0%	0.0%	-10.6%
2013/3	-29.4%	-27.3%	0.0%	-42.9%	19.0%	-11.5%	-10.8%
2014/3	16.7%	-25.0%	0.0%	0.0%	0.0%	-21.7%	-6.0%
2015/3	-28.6%	-66.7%	0.0%	0.0%	-8.0%	-22.2%	-17.9%
2016/3	0.0%	0.0%	0.0%	-100.0%	0.0%	-50.0%	-17.2%
2017/3	10.0%	100.0%	-18.2%	-	17.4%	-28.6%	7.5%
2017/6	-9.1%	25.0%	-11.1%	0.0%	0.0%	20.0%	0.0%
2017/9	20.0%	100.0%	-75.0%	0.0%	0.0%	0.0%	1.8%

(Unit : ¥ 100million)

	Japan		Overseas		Global		Total
	Stocks	Others	Stocks	Others	Stocks	Others	
2011/3	129	78	20	58	989	501	1,774
2012/3	66	61	30	51	1,011	445	1,663
2013/3	67	36	42	34	1,127	485	1,791
2014/3	70	17	42	33	1,269	530	1,962
2015/3	56	6	29	43	897	507	1,537
2016/3	78	112	15	0	522	331	1,058
2017/3	123	300	10	110	680	171	1,395
2017/6	128	333	8	99	646	171	1,386
2017/9	138	540	21	93	689	167	1,649

	Japan		Overseas		Global		Total
	Stocks	Others	Stocks	Others	Stocks	Others	
2012/3	-49.1%	-21.9%	50.2%	-12.3%	2.2%	-11.1%	-6.3%
2013/3	1.4%	-39.9%	43.2%	-32.9%	11.5%	8.8%	7.7%
2014/3	5.2%	-52.2%	-0.5%	-1.5%	12.6%	9.3%	9.5%
2015/3	-20.5%	-64.1%	-32.1%	28.8%	-29.3%	-4.3%	-21.6%
2016/3	40.5%	1689.2%	-49.4%	-100.0%	-41.8%	-34.7%	-31.2%
2017/3	57.5%	168.0%	-29.4%	-	30.2%	-48.4%	31.8%
2017/6	3.5%	11.0%	-21.3%	-10.1%	-4.9%	0.2%	-0.6%
2017/9	8.1%	62.0%	163.6%	-5.9%	6.6%	-2.2%	19.0%

	Japan		Overseas		Global		Total
	Stocks	Others	Stocks	Others	Stocks	Others	
2011/3	7.3%	4.4%	1.1%	3.2%	55.7%	28.2%	100.0%
2012/3	3.9%	3.7%	1.8%	3.0%	60.8%	26.8%	100.0%
2013/3	3.7%	2.0%	2.4%	1.9%	62.9%	27.1%	100.0%
2014/3	3.6%	0.9%	2.2%	1.7%	64.7%	27.0%	100.0%
2015/3	3.6%	0.4%	1.9%	2.8%	58.3%	33.0%	100.0%
2016/3	7.4%	10.6%	1.4%	0.0%	49.3%	31.3%	100.0%
2017/3	8.8%	21.5%	0.7%	7.9%	48.7%	12.3%	100.0%
2017/6	9.2%	24.1%	0.6%	7.2%	46.6%	12.4%	100.0%
2017/9	8.4%	32.8%	1.3%	5.7%	41.8%	10.2%	100.0%

5. Collective Investment Schemes(excluding mutual funds)

(3) Assets Under Management by Country and Region

(Unit : ¥ 100million)

	Japan					USA					Europe					Asia					Others				
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2011/3	686	20	0	421	307	24	2	0	0	84	2	0	0	0	0	19	0	0	22	104	0	0	0	0	84
2012/3	585	14	0	367	284	16	3	0	0	108	1	0	0	0	0	27	0	0	22	234	2	0	0	0	0
2013/3	543	23	0	448	273	28	0	0	0	125	0	0	0	0	57	0	0	13	282	0	0	0	0	0	
2014/3	507	32	0	435	278	40	0	0	0	225	0	0	0	0	70	0	0	5	159	0	0	0	0	209	
2015/3	413	25	0	236	274	42	1	0	0	133	0	0	0	0	57	0	0	5	350	0	0	0	1	0	
2016/3	292	3	0	80	307	10	0	0	0	114	0	0	0	0	18	0	0	0	234	0	0	0	0	0	
2017/3	366	2	0	257	224	13	0	0	0	120	0	0	0	0	14	0	0	0	241	0	0	0	0	156	
2017/6	373	2	7	199	272	10	0	0	0	128	0	0	0	0	13	0	0	0	227	0	0	0	0	153	
2017/9	428	1	151	223	341	10	0	0	0	120	13	0	0	0	13	0	0	0	201	0	0	0	0	148	

Percentage changes from the previous year (or term)

	Japan					USA					Europe					Asia					Others					
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	
2012/3	-14.7%	-28.7%	-	-12.7%	-7.4%	-33.8%	83.9%	-	-	29.6%	-73.5%	-100.0%	-	-	-	41.3%	-100.0%	-	-1.5%	125.6%	479.2%	-	-	-	-	-100.0%
2013/3	-7.2%	63.5%	-	21.9%	-4.0%	76.0%	-100.0%	-	-	15.5%	-100.0%	-	-	-	109.3%	-	-	-40.9%	20.9%	-100.0%	-100.0%	-	-	-	-	-
2014/3	-6.6%	41.1%	-	-2.8%	1.9%	45.8%	-	-	-	79.9%	-	-	-	-	24.4%	-	-	-63.2%	-43.6%	-	-	-	-	-	-	-
2015/3	-18.5%	-23.5%	-	-45.7%	-1.2%	3.5%	-	-	-	-41.1%	-	-	-	-	-18.7%	-	-	4.9%	119.8%	-	-	-	-	-	-	-100.0%
2016/3	-29.5%	-89.5%	-	-66.3%	12.0%	-75.0%	-72.4%	-	-	-14.1%	-	-	-	-	-68.4%	-	-	-93.0%	-33.3%	-100.0%	-	-	-	-	-100.0%	-
2017/3	25.7%	-5.8%	-	222.9%	-27.1%	24.1%	69.0%	-	-	5.4%	-	-	-	-	-22.8%	-	-	-2.9%	3.1%	-	-	-	-	-	-	-
2017/6	1.9%	-11.8%	-	-22.7%	21.3%	-19.5%	0.0%	-	-	6.7%	-	-	-	-	-8.8%	-	-	-70.6%	-5.6%	-	-	-	-	-	-	-1.8%
2017/9	14.6%	-36.6%	1961.1%	11.9%	25.6%	-5.4%	-100.0%	-	-	-6.4%	-	-	-	-	1.4%	-	-	-100.0%	-11.4%	-	-	-	-	-	-	-3.4%

Percentage

	Japan					USA					Europe					Asia					Others					
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	
2011/3	38.7%	1.1%	0.0%	23.7%	17.3%	1.3%	0.1%	0.0%	0.0%	4.7%	0.1%	0.0%	0.0%	0.0%	0.0%	1.1%	0.0%	0.0%	1.3%	5.8%	0.0%	0.0%	0.0%	0.0%	0.0%	4.7%
2012/3	35.2%	0.8%	0.0%	22.1%	17.1%	0.9%	0.2%	0.0%	0.0%	6.5%	0.0%	0.0%	0.0%	0.0%	0.0%	1.6%	0.0%	0.0%	1.3%	14.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%
2013/3	30.3%	1.3%	0.0%	25.0%	15.2%	1.5%	0.0%	0.0%	0.0%	7.0%	0.0%	0.0%	0.0%	0.0%	0.0%	3.2%	0.0%	0.0%	0.7%	15.8%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2014/3	25.9%	1.6%	0.0%	22.2%	14.2%	2.1%	0.0%	0.0%	0.0%	11.5%	0.0%	0.0%	0.0%	0.0%	0.0%	3.6%	0.0%	0.0%	0.2%	8.1%	0.0%	0.0%	0.0%	0.0%	0.0%	10.7%
2015/3	26.9%	1.6%	0.0%	15.4%	17.9%	2.7%	0.1%	0.0%	0.0%	8.6%	0.0%	0.0%	0.0%	0.0%	0.0%	3.7%	0.0%	0.0%	0.3%	22.8%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2016/3	27.6%	0.2%	0.0%	7.5%	29.1%	1.0%	0.0%	0.0%	0.0%	10.8%	0.0%	0.0%	0.0%	0.0%	0.0%	1.7%	0.0%	0.0%	0.0%	22.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2017/3	26.3%	0.2%	0.0%	18.4%	16.1%	0.9%	0.0%	0.0%	0.0%	8.6%	0.0%	0.0%	0.0%	0.0%	0.0%	1.0%	0.0%	0.0%	0.0%	17.3%	0.0%	0.0%	0.0%	0.0%	0.0%	11.2%
2017/6	26.9%	0.2%	0.5%	14.4%	19.6%	0.8%	0.0%	0.0%	0.0%	9.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.9%	0.0%	0.0%	0.0%	16.4%	0.0%	0.0%	0.0%	0.0%	0.0%	11.0%
2017/9	25.9%	0.1%	9.1%	13.5%	20.7%	0.6%	0.0%	0.0%	0.0%	7.3%	0.8%	0.0%	0.0%	0.0%	0.0%	0.8%	0.0%	0.0%	0.0%	12.2%	0.0%	0.0%	0.0%	0.0%	0.0%	9.0%

5. Collective Investment Schemes(excluding mutual funds)

(4) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Over-the-counter derivatives

(Unit : ¥ 100million)

	Forwards	Options	Swaps	Others
	2011/3	0	0	0
2012/3	0	0	0	0
2013/3	0	0	0	0
2014/3	0	0	0	0
2015/3	0	0	0	0
2016/3	0	0	0	0
2017/3	0	0	0	0
2017/6	0	0	0	0
2017/9	0	0	0	0

Overseas exchange traded derivatives

(Unit : ¥ 100million)

	Futures		Options		Others
	Stocks	Bonds	Stocks	Bonds	
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

6.Change of No.of Members

	No.of Discretionary Investment Advisory Firms	No.of Investment Advisory Firms
1994/3	150	150
1995/3	150	145
1996/3	147	142
1997/3	145	138
1998/3	137	121
1999/3	127	98
2000/3	125	90
2001/3	125	90
2002/3	128	86
2003/3	121	77
2004/3	118	76
2005/3	121	86
2006/3	133	116
2007/3	138	123
2008/3	155	145
2009/3	214	122
2010/3	227	123
2011/3	247	502
2012/3	246	543
2013/3	239	516
2014/3	245	490
2017/6	258	477
2017/9	269	476
2017/3	273	478
2017/6	273	484
2017/9	275	483

7. Complaints and Consultations

	Complaints			Consultations			Mediation			Total		
	To JIAA's members	To non-JIAA's members	Total	To JIAA's members	To non-JIAA's members	Total	To JIAA's members	To non-JIAA's members	Total	To JIAA's members	To non-JIAA's members	Total
1999/3	10	13	23	1	1	2	/			11	14	25
2000/3	6	4	10	4	5	9				10	9	19
2001/3	8	16	24	7	13	20				15	29	44
2002/3	15	6	21	13	27	40				28	33	61
2003/3	33	11	44	13	12	25				46	23	69
2004/3	31	15	46	21	13	34				52	28	80
2005/3	29	7	36	17	16	33				46	23	69
2006/3	19	6	25	14	13	27				33	19	52
2007/3	32	9	41	15	8	23				47	17	64
2008/3	22	8	30	6	9	15				28	17	45
2009/3	30	5	35	5	6	11	35	11	46			
2010/3	15	1	16	18	0	18	33	1	34			
2011/3	16	0	16	42	9	51	5	0	5	63	9	72
2012/3	26	0	26	65	20	85	2	0	2	93	20	113
2013/3	21	0	21	71	17	88	1	0	1	93	17	110
2014/3	21	0	21	107	15	122	0	0	0	128	15	143
2015/3	17	0	17	117	16	133	1	0	1	135	16	151
2016/3	44	0	44	138	11	149	3	0	3	185	11	196
2017/3	54	0	54	150	19	169	5	0	5	209	19	228
2017/6	7	0	7	37	6	43	2	0	2	46	6	52
2017/9	14	0	14	31	13	44	1	0	1	46	13	59

※The activities concerning complaints and consultations have been outsourced to Financial Instruments Mediation Assistance Center (FINMAC) since February 2010.