# Statistical Data, September 2017

## Major data

	Assets under management	Change from June 2017
Total	Yen 288 trillion	+Yen 8 trillion ( +3.2%)
Discretionary Accounts	Yen 273 trillion	+Yen 8 trillion ( +3.2%)
Discretionary assets	Yen 228 trillion	+Yen 7 trillion ( +3.4%)
Assets under advice	Yen 44 trillion	+Yen 1 trillion ( +2.6%)
Real Estate Private Funds	Yen 7,455 billion	-Yen 51 billion ( -0.7%)
Discretionary assets	Yen 3,596 billion	+Yen 126 billion ( +3.6%)
Assets under advice	Yen 3,859 billion	-Yen 177 billion ( -4.4%)
Wrap Accounts	Yen 7,318 billion	+Yen 391 billion ( +5.6%)
Discretionary assets	Yen 7,318 billion	+Yen 390 billion ( +5.6%)
Assets under advice	Yen 400 million	+Yen 400 million (-)
Collective Investment Scheme(excluding mutual Fund)	Yen 164 billion	+Yen 26 billion (+19.0%)

# **Highlights**

- ✓ The total amount of assets under management (AUM) of all member firms was Yen 288 trillion as of September 2017. It increased by Yen 8 trillion from June 2017.
- ✓ The amount of AUM of discretionary accounts (including accounts under advice) was Yen 273 trillion and it increased by Yen 8 trillion from June 2017.
- ✓ The amount of AUM of real estate private funds, both discretionary investment management and investment advice, was Yen 7,455 billion and it decreased by Yen 51 billion from June 2017.

- ✓ The amount of AUM of wrap accounts was Yen 7,318 billion and it increased by Yen 390 billion from June 2017. Number of contracts was 625,925 and it increased by 35,090.
- ✓ The amount of AUM of collective investment schemes (excluding mutual funds) was Yen 164 billion and it decreased by Yen 26 million from June 2017.
- ✓ The amount of AUM of total discretionary assets increased by Yen 7 trillion, and total assets under advice increased by Yen 1 trillion from June 2017.

#### (Summary Table)

#### 1. Assets Under Management by Investment Management Members

(Discretionary Accounts, Real Estate Private Funds, Wrap Accounts, Collective Investment Schemes(excluding mutual funds))

(Unit : ¥ 100million)

		D	iscretiona	ry Account	s			Re	al Estate F	Private Fur	nds				Wrap A	ccounts					To	otal		
	Discretion	ary Assets	Assets Ur	der Advice	Т	otal	Discretion	nary Assets	Assets Un	der Advice	To	otal	Discretiona	ary Assets	Assets Ur	nder Advice	T	otal	Discretion	nary Assets	Assets Un	der Advice	Т	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2011/3	50,945	1,259,983	697	205,334	51,642	1,465,318	521	43,729	464	46,150	985	89,879							51,466	1,303,713	1,161	251,484	52,627	1,555,197
2012/3	49,676	1,260,380	465	214,407	50,141	1,474,787	595	47,344	396	42,503	991	89,847							50,271	1,307,724	861	256,910	51,132	1,564,634
2013/3	58,990	1,500,028	495	252,331	59,485	1,752,360	597	45,187	331	39,402	928	84,589							59,587	1,545,215	826	291,733	60,413	1,836,948
2014/3	113,031	1,645,382	514	264,970	113,545	1,910,351	507	37,108	238	29,892	745	67,000		-		=			113,538	1,682,490	752	294,861	114,290	1,977,351
2015/3	314,545	1,954,263	521	298,807	315,066	2,253,070	478	37,506	240	31,020	718	68,526	_						315,023	1,991,769	761	329,826	315,784	2,321,596
2016/3	490,004	1,956,587	558	385,896	490,562	2,342,483	503	36,234	299	40,849	802	77,084							490,507	1,992,821	857	426,745	491,364	2,419,566
2017/3	7,137	2,120,997	656	353,527	7,793	2,474,524	478	34,074	303	40,938	781	75,013	564,622	65,702	0	0	564,622	65,702	572,237	2,220,774	959	394,465	573,196	2,615,239
2017/6	7,225	2,210,766	667	438,109	7,892	2,648,875	520	34,699	290	40,363	810	75,062	590,835	69,272	0	0	590,835	69,272	598,580	2,314,736	957	478,472	599,537	2,793,209
2017/9	7,319	2,285,192	665	449,453	7,984	2,734,644	536	35,962	291	38,591	827	74,552	625,924	73,180	1	4	625,925	73,184	633,779	2,394,333	957	488,048	634,736	2,882,381

	Scheme	Investment s(excluding al funds)	т	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount
2011/3	104	1,774	52,731	1,556,971
2012/3	93	1,663	51,225	1,566,297
2013/3	83	1,791	60,496	1,838,739
2014/3	78	1,962	114,368	1,979,313
2015/3	64	1,537	315,848	2,323,133
2016/3	53	1,058	491,417	2,420,624
2017/3	57	1,395	573,253	2,616,633
2017/6	57	1,386	599,594	2,794,594
2017/9	58	1,649	634,794	2,884,030

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

#### Percentage changes from the previous year (or term)

		D	iscretiona	ry Account	s			Re	al Estate F	Private Fur	nds				Wrap A	ccounts					Т	otal		
	Discretiona	ary Assets	Assets Ur	nder Advice	Т	otal	Discretion	ary Assets	Assets Un	der Advice	To	otal	Discretiona	ry Assets	Assets U	nder Advice	To	otal	Discretion	nary Assets	Assets U	nder Advice	Te	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Number of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	-2.5%	0.0%	-33.3%	4.4%	-2.9%	0.6%	14.2%	8.3%	-14.7%	-7.9%	0.6%	0.0%					_		-2.3%	0.3%	-25.8%	2.2%	-2.8%	0.6%
2013/3	18.7%	19.0%	6.5%	17.7%	18.6%	18.8%	0.3%	-4.6%	-16.4%	-7.3%	-6.4%	-5.9%							18.5%	18.2%	-4.1%	13.6%	18.2%	17.4%
2014/3	91.6%	9.7%	3.8%	5.0%	90.9%	9.0%	-15.1%	-17.9%	-28.1%	-24.1%	-19.7%	-20.8%							90.5%	8.9%	-9.0%	1.1%	89.2%	7.6%
2015/3	178.3%	18.8%	1.4%	12.8%	177.5%	17.9%	-5.7%	1.1%	0.8%	3.8%	-3.6%	2.3%							177.5%	18.4%	1.2%	11.9%	176.3%	17.4%
2016/3	55.8%	0.1%	7.0%	29.4%	55.7%	4.0%	5.8%	-3.5%	25.2%	29.4%	12.2%	11.2%							55.7%	0.1%	12.6%	29.4%	55.6%	4.2%
2017/3	-98.5%	8.4%	17.6%	-8.4%	-98.4%	5.6%	-5.0%	-6.0%	1.3%	0.2%	-2.6%	-2.7%	-	-	-	-	-	-	16.7%	11.4%	11.9%	-7.6%	16.7%	8.1%
2017/6	1.2%	4.2%	1.7%	23.9%	1.3%	7.0%	8.8%	1.8%	-4.3%	-1.4%	3.7%	0.1%	4.6%	5.4%	0.0%	0.0%	4.6%	5.4%	4.6%	4.2%	-0.2%	21.3%	4.6%	6.8%
2017/9	1.3%	3.4%	-0.3%	2.6%	1.2%	3.2%	3.1%	3.6%	0.3%	-4.4%	2.1%	-0.7%	5.9%	5.6%	0.0%	0.0%	5.9%	5.6%	5.9%	3.4%	0.0%	2.0%	5.9%	3.2%

	Schemes	Investment s(excluding al funds)	Т	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	-10.6%	-6.3%	-2.9%	0.6%
2013/3	-10.8%	7.7%	18.1%	17.4%
2014/3	-6.0%	9.5%	89.1%	7.6%
2015/3	-17.9%	-21.6%	176.2%	17.4%
2016/3	-17.2%	-31.2%	55.6%	4.2%
2017/3	7.5%	31.8%	16.7%	8.1%
2017/6	0.0%	-0.6%	4.6%	6.8%
2017/9	1.8%	19.0%	5.9%	3.2%

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#### (Appendix)

#### Table showing Assets Under Management of "Discretionary Accounts" and "Wrap Accounts" separately.

(Unit : ¥ 100million)

																							(Offic.	
			iscretionar	y Accoun	ts			Re	al Estate	Private Fur	nds				Wrap	Accounts					To	otal		
	Discretion	ary Assets	Assets Und	der Advice	Т	otal	Discretion	nary Assets	Assets U	nder Advice	T	otal	Discretio	nary Assets	Assets U	nder Advice	Т	otal	Discretion	ary Assets	Assets Ur	der Advice	Т	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2011/3	7,436	1,254,094	437	205,317	7,873	1,459,411	521	43,729	464	46,150	985	89,879	43,509	5,890	260	17	43,769	5,907	51,466	1,303,713	1,161	251,484	52,627	1,555,197
2012/3	7,209	1,254,581	460	214,401	7,669	1,468,983	595	47,344	396	42,503	991	89,847	42,467	5,799	5	6	42,472	5,805	50,271	1,307,724	861	256,910	51,132	1,564,634
2013/3	7,232	1,492,339	495	252,331	7,727	1,744,671	597	45,187	331	39,402	928	84,589	51,758	7,689	0	0	51,758	7,689	59,587	1,545,215	826	291,733	60,413	1,836,948
2014/3	7,325	1,631,622	514	264,970	7,839	1,896,591	507	37,108	238	29,892	745	67,000	105,706	13,760	0	0	105,706	13,760	113,538	1,682,490	752	294,861	114,290	1,977,351
2015/3	7,199	1,915,291	521	298,807	7,720	2,214,097	478	37,506	240	31,020	718	68,526	307,346	38,973	0	0	307,346	38,973	315,023	1,991,769	761	329,826	315,784	2,321,596
2016/3	7,787	1,898,811	554	385,896	8,341	2,284,707	503	36,234	299	40,849	802	77,084	482,217	57,776	4	0	482,221	57,776	490,507	1,992,821	857	426,745	491,364	2,419,566
2017/3	7,137	2,120,997	656	353,527	7,793	2,474,524	478	34,074	303	40,938	781	75,013	564,622	65,702	0	0	564,622	65,702	572,237	2,220,774	959	394,465	573,196	2,615,239
2017/6	7,225	2,210,766	667	438,109	7,892	2,648,875	520	34,699	290	40,363	810	75,062	590,835	69,272	0	0	590,835	69,272	598,580	2,314,736	957	478,472	599,537	2,793,209
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2017/3	57	1,395	573,253	2,616,633
2017/6	57	1,386	599,594	2,794,594
2017/9	58	1,649	634,794	2,884,030

Note: "Discretionary Accounts" includes accounts under advice.

Note: This table shows the figures of "Wrap Accounts" independently which is involved in those of "Discretionary Accounts" of previous page until 2016/3.

		Discreti	onary and	Advisory A	ccounts			Rea	al Estate F	Private Fur	nds				Wrap	Accounts					T	otal		
	Discretion	ary Assets	Assets U	nder Advice	Т	otal	Discretion	ary Assets	Assets Un	der Advice	To	otal	Discretion	ary Assets	Assets	Jnder Advice	Т	otal	Discretion	nary Assets	Assets Ur	nder Advice	To	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	-3.1%	0.0%	5.3%	4.4%	-2.6%	0.7%	14.2%	8.3%	-14.7%	-7.9%	0.6%	0.0%	-2.4%	-1.5%	-98.1%	-64.2%	-3.0%	-1.7%	-2.3%	0.3%	-25.8%	2.2%	-2.8%	0.6%
2013/3	0.3%	19.0%	7.6%	17.7%	0.8%	18.8%	0.3%	-4.6%	-16.4%	-7.3%	-6.4%	-5.9%	21.9%	32.6%	-100.0%	-100.0%	21.9%	32.5%	18.5%	18.2%	-4.1%	13.6%	18.2%	17.4%
2014/3	1.3%	9.3%	3.8%	5.0%	1.4%	8.7%	-15.1%	-17.9%	-28.1%	-24.1%	-19.7%	-20.8%	104.2%	79.0%	-	-	104.2%	79.0%	90.5%	8.9%	-9.0%	1.1%	89.2%	7.6%
2015/3	-1.7%	17.4%	1.4%	12.8%	-1.5%	16.7%	-5.7%	1.1%	0.8%	3.8%	-3.6%	2.3%	190.8%	183.2%	-	1	190.8%	183.2%	177.5%	18.4%	1.2%	11.9%	176.3%	17.4%
2016/3	8.2%	-0.9%	6.3%	29.1%	8.0%	3.2%	5.2%	-3.4%	24.6%	31.7%	11.7%	12.5%	56.9%	48.2%	-	-	56.9%	48.2%	55.7%	0.1%	12.6%	29.4%	55.6%	4.2%
2017/3	-8.3%	11.7%	18.4%	-8.4%	-6.6%	8.3%	-5.0%	-6.0%	1.3%	0.2%	-2.6%	-2.7%	17.1%	13.7%	-100.0%	1	17.1%	13.7%	16.7%	11.4%	11.9%	-7.6%	16.7%	8.1%
2017/6	1.2%	4.2%	1.7%	23.9%	1.3%	7.0%	8.8%	1.8%	-4.3%	-1.4%	3.7%	0.1%	4.6%	5.4%	0.0%	0.0%	4.6%	5.4%	4.6%	4.2%	-0.2%	21.3%	4.6%	6.8%
2017/9	1.3%	3.4%	-0.3%	2.6%	1.2%	3.2%	3.1%	3.6%	0.3%	-4.4%	2.1%	-0.7%	5.9%	5.6%	0.0%	0.0%	5.9%	5.6%	5.9%	3.4%	0.0%	2.0%	5.9%	3.2%

	Schemes	Investment s(excluding al funds)	Т	otal
	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	-10.6%	-6.3%	-2.9%	0.6%
2013/3	-10.8%	7.7%	18.1%	17.4%
2014/3	-6.0%	9.5%	89.1%	7.6%
2015/3	-17.9%	-21.6%	176.2%	17.4%
2016/3	-17.2%	-31.2%	55.6%	4.2%
2017/3	7.5%	31.8%	16.7%	8.1%
2017/6	0.0%	-0.6%	4.6%	6.8%
2017/9	1.8%	19.0%	5.9%	3.2%

(1) Assets Under Management (Discretionary Assets)

						Domesti	c Clients										Oversea	s Clients				
				Corpo	ratioms						_	otal			Corpo	ratioms					-	otal
	Public Pe	nsion Funds	Private Pe	ension Funds	Ot	hers	Sub	-total	Indiv	riduals		otai	Pensio	n Funds	Otl	hers	Sub	-total	Indiv	viduals	1	otai
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2011/3	249	591,973	5,028	236,603	1,554	233,522	6,831	1,062,098	43,123	5,184	49,954	1,067,282	136	14,868	772	177,619	908	192,487	83	215	991	192,702
2012/3	249	564,303	4,990	226,744	1,403	265,712	6,642	1,056,759	42,098	5,136	48,740	1,061,895	116	13,799	737	184,475	853	198,274	83	211	936	198,485
2013/3	234	670,079	4,960	252,753	1,435	372,535	6,629	1,295,367	51,442	6,740	58,071	1,302,107	123	16,490	760	181,332	883	197,822	36	99	919	197,921
2014/3	220	783,901	4,822	264,224	1,786	346,258	6,828	1,394,382	105,173	12,373	112,001	1,406,755	125	21,209	867	217,278	992	238,487	38	139	1,030	238,626
2015/3	260	877,976	4,537	283,596	4,687	433,066	9,484	1,594,638	303,967	35,264	313,451	1,629,902	126	26,572	938	297,661	1,064	324,233	30	128	1,094	324,361
2016/3	482	938,304	4,256	250,863	6,959	433,194	11,697	1,622,361	477,165	52,644	488,862	1,675,006	127	19,955	987	261,503	1,114	281,458	28	123	1,142	281,581
2017/3	540	1,011,586	4,222	250,899	1,222	551,284	5,984	1,813,768	122	179	6,106	1,813,947	78	19,543	929	287,404	1,007	306,946	24	103	1,031	307,050
2017/6	552	1,056,107	4,201	267,045	1,265	558,999	6,018	1,882,151	156	166	6,174	1,882,316	78	20,652	949	307,694	1,027	328,346	24	103	1,051	328,449
2017/9	548	1,106,172	4,285	266,766	1,282	573,795	6,115	1,946,733	164	169	6,279	1,946,902	79	21,065	938	317,119	1,017	338,184	23	106	1,040	338,289
Noto: Acco	to under m	anagamant	of "Wron	Accounta"	ia inaluda	d in those o	f "Dicare	tionany Aco	ounto"unt	1 2016/2												

(Unit :	¥ 100million)									
Total (Discretionary Assets)  Number of Contract Amount  50,945 1,259,983 49,676 1,260,380 58,990 1,500,028 113,031 1,645,382 314,545 1,954,263										
	Amount									
50,945	1,259,983									
49,676	1,260,380									
58,990	1,500,028									
113,031	1,645,382									
314,545	1,954,263									
490,004	1,956,587									
7,137	2,120,997									
7,225	2,210,766									
7,319	2,285,192									

Note:Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

						Domestic	Clients										Overse	as Clients				
				Corpoi	ratioms				To all:	/iduals	т.	otal			Corpo	ratioms			To all:	viduals	т.	otal
	Public Per	nsion Funds	Private Pe	nsion Funds	Ot	hers	Sub	-total	Indiv	riduais		otai	Pensio	n Funds	Ot	hers	Sub	-total	Indiv	viduais	10	DLAI
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	0.0%	-4.7%	-0.8%	-4.2%	-9.7%	13.8%	-2.8%	-0.5%	-2.4%	-0.9%	-2.4%	-0.5%	-14.7%	-7.2%	-4.5%	3.9%	-6.1%	3.0%	0.0%	-1.8%	-5.5%	3.0%
2013/3	-6.0%	18.7%	-0.6%	11.5%	2.3%	40.2%	-0.2%	22.6%	22.2%	31.2%	19.1%	22.6%	6.0%	19.5%	3.1%	-1.7%	3.5%	-0.2%	-56.6%	-52.8%	-1.8%	-0.3%
2014/3	-6.0%	17.0%	-2.8%	4.5%	24.5%	-7.1%	3.0%	7.6%	104.4%	83.6%	92.9%	8.0%	1.6%	28.6%	14.1%	19.8%	12.3%	20.6%	5.6%	39.7%	12.1%	20.6%
2015/3	18.2%	12.0%	-5.9%	7.3%	162.4%	25.1%	38.9%	14.4%	189.0%	185.0%	179.9%	15.9%	0.8%	25.3%	8.2%	37.0%	7.3%	36.0%	-21.1%	-7.8%	6.2%	35.9%
2016/3	85.4%	6.9%	-6.2%	-11.5%	48.5%	0.0%	23.3%	1.7%	57.0%	49.3%	56.0%	2.8%	0.8%	-24.9%	5.2%	-12.1%	4.7%	-13.2%	-6.7%	-3.8%	4.4%	-13.2%
2017/3	12.0%	7.8%	-0.8%	0.0%	-82.4%	27.3%	-48.8%	11.8%	-100.0%	-99.7%	-98.8%	8.3%	-38.6%	-2.1%	-5.9%	9.9%	-9.6%	9.1%	-14.3%	-16.1%	-9.7%	9.0%
2017/6	2.2%	4.4%	-0.5%	6.4%	3.5%	1.4%	0.6%	3.8%	27.9%	-7.6%	1.1%	3.8%	0.0%	5.7%	2.2%	7.1%	2.0%	7.0%	0.0%	-0.4%	1.9%	7.0%
2017/9	-0.7%	4.7%	2.0%	-0.1%	1.3%	2.6%	1.6%	3.4%	5.1%	2.0%	1.7%	3.4%	1.3%	2.0%	-1.2%	3.1%	-1.0%	3.0%	-4.2%	2.5%	-1.0%	3.0%

		otal nary Assets)
	Nunber of Contract	Amount
Ī	-2.5%	0.0%
	18.7%	19.0%
I	91.6%	9.7%
	178.3%	18.8%
l	55.8%	0.1%
I	-98.5%	8.4%
	1.2%	4.2%
Į	1.3%	3.4%

(2) Assets Under Management (Assets Under Advice)

						Domestic	Clients										Oversea	s Clients				
				Corpor	ratioms				T di-	/iduals	-	otal			Corpo	ratioms			T all:	iduals	-	otal
	Public Per	nsion Funds	Private Pe	ension Funds	Ot	hers	Sub	-total	Indiv	riduais		otai	Pensio	n Funds	Ot	hers	Sub-	-total	Indiv	iduais	'	otai
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2011/3	0	0	5	14,743	210	158,069	215	172,812	257	15	472	172,827	13	6,348	212	26,159	225	32,507	0	0	225	32,507
2012/3	0	0	7	17,311	213	163,570	220	180,881	8	10	228	180,891	10	5,799	227	27,718	237	33,517	0	0	237	33,517
2013/3	0	0	7	19,290	258	199,177	265	218,468	7	28	272	218,495	14	7,331	209	26,505	223	33,836	0	0	223	33,836
2014/3	0	0	7	21,782	274	209,205	281	230,986	10	147	291	231,133	20	8,229	203	25,608	223	33,837	0	0	223	33,837
2015/3	0	0	5	22,422	291	237,849	296	260,270	9	112	305	260,382	16	8,566	200	29,859	216	38,425	0	0	216	38,425
2016/3	0	0	5	22,449	321	324,061	326	346,510	15	47	341	346,557	14	3,504	203	35,835	217	39,339	0	0	217	39,339
2017/3	0	0	5	22,336	334	293,809	339	316,145	103	76	442	316,220	11	4,409	202	32,875	213	37,284	1	22	214	37,307
2017/6	0	0	6	22,863	347	375,720	353	398,582	102	75	455	398,657	11	4,884	200	34,546	211	39,430	1	22	212	39,452
2017/9	0	0	6	23,749	353	383,519	359	407,268	97	74	456	407,343	10	5,117	198	36,971	208	42,087	1	22	209	42,110

Total (Discretionary Assets) Nunber of Contract Amount 697 205,334

(Unit: ¥ 100million)

465 214,407 495 252,331 514 264,970 521 298,807 558 385,896 656 353,527 667 438,109 665 449,453

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

						Domestic	Clients										Oversea	as Clients				
				Corpo	ratioms				T ali:	riduals	-	otal			Corpo	ratioms			To all:	/iduals	_	otal
	Public Per	nsion Funds	Private Pe	nsion Funds	Of	thers	Sub	-total	Indiv	riduais	'	otai	Pensio	n Funds	Ot	hers	Sub	-total	Indiv	riduais		otai
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	_	-	40.0%	17.4%	1.4%	3.5%	2.3%	4.7%	-96.9%	-37.3%	-51.7%	4.7%	-23.1%	-8.7%	7.1%	6.0%	5.3%	3.1%	_	-	5.3%	3.1%
2013/3	-	-	0.0%	11.4%	21.1%	21.8%	20.4%	20.8%	-12.5%	186.6%	19.3%	20.8%	40.0%	26.4%	-7.9%	-4.4%	-5.9%	1.0%	_	ı	-5.9%	1.0%
2014/3	-	-	0.0%	12.9%	6.2%	5.0%	6.0%	5.7%	42.9%	432.9%	7.0%	5.8%	42.9%	12.2%	-2.9%	-3.4%	0.0%	0.0%	_	-	0.0%	0.0%
2015/3	-	-	-28.6%	2.9%	6.2%	13.7%	5.3%	12.7%	-10.0%	-23.9%	4.8%	12.7%	-20.0%	4.1%	-1.5%	16.6%	-3.1%	13.6%	-	-	-3.1%	13.6%
2016/3	-	-	0.0%	0.1%	10.3%	36.2%	10.1%	33.1%	66.7%	-58.0%	11.8%	33.1%	-12.5%	-59.1%	1.5%	20.0%	0.5%	2.4%	-	-	0.5%	2.4%
2017/3	_	_	0.0%	-0.5%	4.0%	-9.3%	4.0%	-8.8%	586.7%	61.3%	29.6%	-8.8%	-21.4%	25.8%	-0.5%	-8.3%	-1.8%	-5.2%	_		-1.4%	-5.2%
2017/6	-	-	20.0%	2.4%	3.9%	27.9%	4.1%	26.1%	-1.0%	-1.2%	2.9%	26.1%	0.0%	10.8%	-1.0%	5.1%	-0.9%	5.8%	0.0%	-0.2%	-0.9%	5.8%
2017/9	-	-	0.0%	3.9%	1.7%	2.1%	1.7%	2.2%	-4.9%	-0.5%	0.2%	2.2%	-9.1%	4.8%	-1.0%	7.0%	-1.4%	6.7%	0.0%	0.7%	-1.4%	6.7%

	otal nary Assets)
Nunber of Contract	Amount
-33.3%	4.4%
6.4%	17.7%
3.8%	5.0%
1.4%	12.8%
7.1%	29.1%
17.6%	-8.4%
1.7%	23.9%
-0.3%	2.6%

(3) Total Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit	:	*	Tuumillion

						Domesti	c Clients										Oversea	s Clients				
				Corpor	ratioms				India	riduals	т	otal			Corpo	ratioms			India	/iduals	T.	otal
	Public Per	sion Funds	Private Pe	nsion Funds	Ot	hers	Sub	-total	Indiv	riuuais		Otal	Pensio	n Funds	Oth	ners	Sub-	-total	Indix	riduais	10	Jiai
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2011/3	249	591,973	5,033	251,345	1,764	391,591	7,046	1,234,910	43,380	5,199	50,426	1,240,109	149	21,216	984	203,779	1,133	224,994	83	215	1,216	225,209
2012/3	249	564,303	4,997	244,055	1,616	429,282	6,862	1,237,640	42,106	5,146	48,968	1,242,786	126	19,598	964	212,193	1,090	231,791	83	211	1,173	232,001
2013/3	234	670,079	4,967	272,044	1,693	571,712	6,894	1,513,835	51,449	6,767	58,343	1,520,602	137	23,821	969	207,837	1,106	231,658	36	99	1,142	231,757
2014/3	220	783,901	4,829	286,005	2,060	555,462	7,109	1,625,368	105,183	12,520	112,292	1,637,888	145	29,438	1,070	242,886	1,215	272,324	38	139	1,253	272,463
2015/3	260	877,976	4,542	306,017	4,978	670,915	9,780	1,854,908	303,976	35,376	313,756	1,890,284	142	35,137	1,138	327,520	1,280	362,658	30	128	1,310	362,786
2016/3	482	938,304	4,261	273,312	7,280	757,255	12,023	1,968,872	477,180	52,691	489,203	2,021,563	141	23,459	1,190	297,338	1,331	320,797	28	123	1,359	320,920
2017/3	540	1,011,586	4,227	273,234	1,556	845,093	6,323	2,129,913	225	255	6,548	2,130,168	89	23,952	1,131	320,279	1,220	344,231	25	126	1,245	344,356
2017/6	552	1,056,107	4,207	289,908	1,612	934,718	6,371	2,280,733	258	240	6,629	2,280,973	89	25,536	1,149	342,240	1,238	367,776	25	125	1,263	367,901
2017/9	548	1,106,172	4,291	290,516	1,635	957,315	6,474	2,354,002	261	243	6,735	2,354,245	89	26,182	1,136	354,090	1,225	380,271	24	128	1,249	380,399

	otal nary Assets)
Nunber of Contract	Amount
51,642	1,465,318
50,141	1,474,787
59,485	1,752,360
113,545	1,910,351
315,066	2,253,070
490,562	2,342,483
7,793	2,474,524
7 892	2 648 875

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

						Domesti	Clients										Oversea	s Clients				
				Corpor	ratioms				To all:	/iduals	-	otal			Corpo	ratioms			To all	riduals	_	otal
	Public Per	nsion Funds	Private Pe	nsion Funds	Ot	thers	Sub	-total	Indiv	riduais	'	otai	Pensio	n Funds	Ot	hers	Sub	-total	Indiv	riduais		otai
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount
2012/3	0.0%	-4.7%	-0.7%	-2.9%	-8.4%	9.6%	-2.6%	0.2%	-2.9%	-1.0%	-2.9%	0.2%	-15.4%	-7.6%	-2.0%	4.1%	-3.8%	3.0%	0.0%	-1.8%	-3.5%	3.0%
2013/3	-6.0%	18.7%	-0.6%	11.5%	4.8%	33.2%	0.5%	22.3%	22.2%	31.5%	19.1%	22.4%	8.7%	21.6%	0.5%	-2.1%	1.5%	-0.1%	-56.6%	-52.8%	-2.6%	-0.1%
2014/3	-6.0%	17.0%	-2.8%	5.1%	21.7%	-2.8%	3.1%	7.4%	104.4%	85.0%	92.5%	7.7%	5.8%	23.6%	10.4%	16.9%	9.9%	17.6%	5.6%	39.7%	9.7%	17.6%
2015/3	18.2%	12.0%	-5.9%	7.0%	141.7%	20.8%	37.6%	14.1%	189.0%	182.6%	179.4%	15.4%	-2.1%	19.4%	6.4%	34.8%	5.3%	33.2%	-21.1%	-7.8%	4.5%	33.2%
2016/3	85.4%	6.9%	-6.2%	-10.7%	46.2%	12.9%	22.9%	6.1%	57.0%	48.9%	55.9%	6.9%	-0.7%	-33.2%	4.6%	-9.2%	4.0%	-11.5%	-6.7%	-3.8%	3.7%	-11.5%
2017/3	12.0%	7.8%	-0.8%	0.0%	-78.6%	11.6%	-47.4%	8.2%	-100.0%	-99.5%	-98.7%	5.4%	-36.9%	2.1%	-5.0%	7.7%	-8.3%	7.3%	-10.7%	1.9%	-8.4%	7.3%
2017/6	2.2%	4.4%	-0.5%	6.1%	3.6%	10.6%	0.8%	7.1%	14.7%	-5.7%	1.2%	7.1%	0.0%	6.6%	1.6%	6.9%	1.5%	6.8%	0.0%	-0.4%	1.4%	6.8%
2017/9	-0.7%	4.7%	2.0%	0.2%	1.4%	2.4%	1.6%	3.2%	1.2%	1.2%	1.6%	3.2%	0.0%	2.5%	-1.1%	3.5%	-1.1%	3.4%	-4.0%	2.2%	-1.1%	3.4%

	otal nary Assets)
Nunber of Contract	Amount
-2.9%	0.6%
18.6%	18.8%
90.9%	9.0%
177.5%	17.9%
55.7%	4.0%
-98.4%	5.6%
1.3%	7.0%
1.2%	3.2%

#### (4) Assets Under Management by Asset Class

(T)Ni	umber	οf	Cont	ract

		Domestic			Overseas			Global		Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2011/3	3,015	546	20,191	707	781	740	351	320	24,294	50,945
2012/3	2,499	510	19,347	711	835	778	348	375	24,273	49,676
2013/3	2,180	586	24,080	640	912	805	352	441	28,994	58,990
2014/3	2,208	567	41,159	637	1,010	808	323	494	65,825	113,031
2015/3	2,641	658	87,657	596	1,047	804	308	524	220,310	314,545
2016/3	2,893	587	118,504	594	1,098	897	313	574	364,544	490,004
2017/3	1,462	719	416	574	1,106	793	294	342	1,431	7,137
2017/6	1,516	715	419	569	1,113	809	289	348	1,447	7,225
2017/9	1,520	714	427	566	1,136	828	294	355	1,479	7,319

		Domestic			Overseas			Global		T
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2012/3	-17.1%	-6.6%	-4.2%	0.6%	6.9%	5.1%	-0.9%	17.2%	-0.1%	-2.5%
2013/3	-12.8%	14.9%	24.5%	-10.0%	9.2%	3.5%	1.1%	17.6%	19.4%	18.7%
2014/3	1.3%	-3.2%	70.9%	-0.5%	10.7%	0.4%	-8.2%	12.0%	127.0%	91.6%
2015/3	19.6%		113.0%	-6.4%	3.7%	-0.5%	-4.6%	6.1%	234.7%	178.3%
2016/3	9.5%	-10.8%	35.2%	-0.3%	4.9%	11.6%	1.6%	9.5%	65.5%	55.8%
2017/3	-49.5%	22.5%	-99.6%	-3.4%	0.7%	-11.6%	-6.1%	-40.4%	-99.6%	-98.5%
2017/6	3.7%	-0.6%	0.7%	-0.9%	0.6%	2.0%	-1.7%	1.8%	1.1%	1.2%
2017/9	0.3%	-0.1%	1.9%	-0.5%	2.1%	2.3%	1.7%	2.0%	2.2%	1.3%

(Unit: ¥ 100million)

		Domestic			Overseas			Global		
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2011/3	203,890	411,006	22,835	124,631	277,552	15,262	31,068	62,463	111,276	1,259,983
2012/3	200,102	384,832	22,630	118,708	289,187	15,022	29,031	61,601	139,267	1,260,380
2013/3	266,100	402,713	27,173	141,416	291,351	66,637	70,569	80,489	153,580	1,500,028
2014/3	347,276	419,646	28,780	176,486	307,187	69,771	82,152	83,249	130,835	1,645,382
2015/3	493,438	297,948	37,136	290,150	387,373	25,100	71,073	85,227	266,816	1,954,263
2016/3	484,417	325,143	41,066	299,860	384,086	28,194	54,870	88,690	250,262	1,956,587
2017/3	573,380	342,696	27,803	344,854	414,668	37,166	50,407	95,617	234,406	2,120,997
2017/6	603,071	341,157	28,213	362,483	447,333	40,515	56,452	96,779	234,761	2,210,766
2017/9	626,735	339,692	28,634	379,952	476,440	42,703	53,198	101,221	236,616	2,285,192

		Domestic			Overseas			Global		T
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2012/3	-1.9%	-6.4%	-0.9%	-4.8%	4.2%	-1.6%	-6.6%	-1.4%	25.2%	0.0%
2013/3	33.0%	4.6%	20.1%	19.1%	0.7%	343.6%	143.1%	30.7%	10.3%	19.0%
2014/3	30.5%	4.2%	5.9%	24.8%	5.4%	4.7%	16.4%	3.4%	-14.8%	9.7%
2015/3	42.1%	-29.0%	29.0%	64.4%	26.1%	-64.0%	-13.5%	2.4%	103.9%	18.8%
2016/3	-1.8%	9.1%	10.6%	3.3%	-0.8%	12.3%	-22.8%	4.1%	-6.2%	0.1%
2017/3	18.4%	5.4%	-32.3%	15.0%	8.0%	31.8%	-8.1%	7.8%	-6.3%	8.4%
2017/6	5.2%	-0.4%	1.5%	5.1%	7.9%	9.0%	12.0%	1.2%	0.2%	4.2%
2017/9	3.9%	-0.4%	1.5%	4.8%	6.5%	5.4%	-5.8%	4.6%	0.8%	3.4%

		Domestic			Overseas			Global		T
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2011/3	16.2%	32.6%	1.8%	9.9%	22.0%	1.2%	2.5%	5.0%	8.8%	100.0%
2012/3	15.9%	30.5%	1.8%	9.4%	22.9%	1.2%	2.3%	4.9%	11.0%	100.0%
2013/3	17.7%	26.8%	1.8%	9.4%	19.4%	4.4%	4.7%	5.4%	10.2%	100.0%
2014/3	21.1%	25.5%	1.7%	10.7%	18.7%	4.2%	5.0%	5.1%	8.0%	100.0%
2015/3	25.2%	15.2%	1.9%	14.8%	19.8%	1.3%	3.6%	4.4%	13.7%	100.0%
2016/3	24.8%	16.6%	2.1%	15.3%	19.6%	1.4%	2.8%	4.5%	12.8%	100.0%
2017/3	27.0%	16.2%	1.3%	16.3%	19.6%	1.8%	2.4%	4.5%	11.1%	100.0%
2017/6	27.3%	15.4%	1.3%	16.4%	20.2%	1.8%	2.6%	4.4%	10.6%	100.0%
2017/9	27.4%	14.9%	1.3%	16.6%	20.8%	1.9%	2.3%	4.4%	10.4%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

# (5) Assets Under Management by Size

## 1) Number of Contract

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	46,083	3,063	734	780	117	168	50,945
2012/3	44,999	2,951	682	773	107	164	49,676
2013/3	54,092	3,008	697	863	132	198	58,990
2014/3	107,999	3,003	758	894	172	205	113,031
2015/3	309,353	2,970	803	963	210	246	314,545
2016/3	484,854	2,846	777	1,070	199	258	490,004
2017/3	1,912	2,710	789	1,214	219	293	7,137
2017/6	1,887	2,718	813	1,260	239	308	7,225
2017/9	1,923	2,750	813	1,276	241	316	7,319

## ②Amount of Assets

(Unit: ¥ 100million)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	15,979	70,427	51,267	165,996	82,592	873,721	1,259,983
2012/3	16,184	67,114	47,015	166,903	75,083	888,082	1,260,380
2013/3	16,583	69,054	48,265	190,049	91,215	1,084,863	1,500,028
2014/3	21,916	68,869	52,422	199,195	118,565	1,184,414	1,645,382
2015/3	45,024	68,276	55,641	214,893	147,611	1,422,818	1,954,263
2016/3	64,089	65,180	54,837	226,756	138,149	1,407,575	1,956,587
2017/3	8,418	62,563	55,365	265,891	155,465	1,573,296	2,120,997
2017/6	8,048	61,990	56,516	272,756	170,529	1,640,928	2,210,766
2017/9	8,204	63,133	56,632	277,436	173,800	1,705,987	2,285,192

#### Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2012/3	-2.4%	-3.7%	-7.1%	-0.9%	-8.5%	-2.4%	-2.5%
2013/3	20.2%	1.9%	2.2%	11.6%	23.4%	20.7%	18.7%
2014/3	99.7%	-0.2%	8.8%	3.6%	30.3%	3.5%	91.6%
2015/3	186.4%	-1.1%	5.9%	7.7%	22.1%	20.0%	178.3%
2016/3	56.7%	-4.2%	-3.2%	11.1%	-5.2%	4.9%	55.8%
2017/3	-99.6%	-4.8%	1.5%	13.5%	10.1%	13.6%	-98.5%
2017/6	-1.3%	0.3%	3.0%	3.8%	9.1%	5.1%	1.2%
2017/9	1.9%	1.2%	0.0%	1.3%	0.8%	2.6%	1.3%

#### Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2012/3	1.3%	-4.7%	-8.3%	0.5%	-9.1%	1.6%	0.0%
2013/3	2.5%	2.9%	2.7%	13.9%	21.5%	22.2%	19.0%
2014/3	32.2%	-0.3%	8.6%	4.8%	30.0%	9.2%	9.7%
2015/3	105.4%	-0.9%	6.1%	7.9%	24.5%	20.1%	18.8%
2016/3	42.3%	-4.5%	-1.4%	5.5%	-6.4%	-1.1%	0.1%
2017/3	-86.9%	-4.0%	1.0%	17.3%	12.5%	11.8%	8.4%
2017/6	-4.4%	-0.9%	2.1%	2.6%	9.7%	4.3%	4.2%
2017/9	1.9%	1.8%	0.2%	1.7%	1.9%	4.0%	3.4%

#### Percentage

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	90.5%	6.0%	1.4%	1.5%	0.2%	0.3%	100.0%
2012/3	90.6%	5.9%	1.4%	1.6%	0.2%	0.3%	100.0%
2013/3	91.7%	5.1%	1.2%	1.5%	0.2%	0.3%	100.0%
2014/3	95.5%	2.7%	0.7%	0.8%	0.2%	0.2%	100.0%
2015/3	98.3%	0.9%	0.3%	0.3%	0.1%	0.1%	100.0%
2016/3	98.9%	0.6%	0.2%	0.2%	0.0%	0.1%	100.0%
2017/3	26.8%	38.0%	11.1%	17.0%	3.1%	4.1%	100.0%
2017/6	26.1%	37.6%	11.3%	17.4%	3.3%	4.3%	100.0%
2017/9	26.3%	37.6%	11.1%	17.4%	3.3%	4.3%	100.0%

#### Percentage

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2011/3	1.3%	5.6%	4.1%	13.2%	6.6%	69.3%	100.0%
2012/3	1.3%	5.3%	3.7%	13.2%	6.0%	70.5%	100.0%
2013/3	1.1%	4.6%	3.2%	12.7%	6.1%	72.3%	100.0%
2014/3	1.3%	4.2%	3.2%	12.1%	7.2%	72.0%	100.0%
2015/3	2.3%	3.5%	2.8%	11.0%	7.6%	72.8%	100.0%
2016/3	3.3%	3.3%	2.8%	11.6%	7.1%	71.9%	100.0%
2017/3	0.4%	2.9%	2.6%	12.5%	7.3%	74.2%	100.0%
2017/6	0.4%	2.8%	2.6%	12.3%	7.7%	74.2%	100.0%
2017/9	0.4%	2.8%	2.5%	12.1%	7.6%	74.7%	100.0%

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

## (6) Assets Under Management by Country and Region

(Unit : ¥ 100million)

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Private	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds		Short term investments	Others	Stocks	Bonds		Short term investments	Others
2011/3	226,878	467,855	217	14,204	10,643	74,079	118,238	6	8,352	6,155	51,824	76,530	8	741	2,468	9,798	2,935	3	240	413	33,872	89,078	2	1,486	63,958
2012/3	219,850	461,283	577	13,111	9,489	75,197	128,836	7	11,291	5,685	43,954	74,711	6	1,354	2,366	10,526	7,955	3	118	544	32,867	92,994	0	1,796	65,860
2013/3	286,286	496,680	684	16,800	13,185	97,725	159,840	13	13,414	2,485	57,312	96,563	0	1,428	3,438	13,776	10,344	1	185	408	43,102	96,765	14	2,764	86,816
2014/3	367,125	477,271	895	17,600	13,208	127,667	166,327	39	10,377	7,418	73,699	125,457	0	2,982	2,874	13,987	8,256	1	254	652	49,474	97,572	12	3,300	78,934
2015/3	516,898	398,358	1,533	25,545	20,109	182,619	209,046	11	15,386	16,347	89,633	141,536	0	6,705	4,618	34,839	10,820	1	61	2,142	63,622	120,028	8	4,121	90,278
2016/3	498,556	439,187	1,194	22,666	20,282	188,519	213,819	104	13,501	14,116	87,369	136,364	21	1,090	4,368	31,301	11,633	2	108	1,605	56,407	125,686	21	4,690	83,979
2017/3	582,559	476,282	1,484	21,983	34,566	215,917	231,384	351	18,901	4,617	92,110	134,386	133	914	4,453	37,494	9,159	15	-46	1,465	56,948	120,316	128	4,294	71,187
2017/6	612,972	470,806	1,613	22,102	32,147	225,615	243,448	309	14,341	4,768	99,892	149,493	145	1,158	3,692	41,170	9,989	13	205	1,425	60,072	133,145	121	3,884	78,238
2017/9	638,187	471,024	1,714	21,326	31,332	232,895	247,127	365	14,300	4,339	104,245	162,718	152	1,091	3,780	42,815	10,570	19	661	1,423	63,405	142,983	129	4,185	84,408

#### Percentage changes from the previous year (or term)

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2012/3	-3.1%	-1.4%	165.8%	-7.7%	-10.8%	1.5%	9.0%	4.7%	35.2%	-7.6%	-15.2%	-2.4%	-25.6%	82.6%	-4.1%	7.4%	171.0%	-8.2%	-50.9%	31.8%	-3.0%	4.4%	-70.5%	20.9%	3.0%
2013/3	30.2%	7.7%	18.5%	28.1%	38.9%	30.0%	24.1%	90.0%	18.8%	-56.3%	30.4%	29.2%	-100.0%	5.5%	45.3%	30.9%	30.0%	-59.8%	57.2%	-25.0%	31.1%	4.1%	2705.3%	53.9%	31.8%
2014/3	28.2%	-3.9%	30.9%	4.8%	0.2%	30.6%	4.1%	201.6%	-22.6%	198.5%	28.6%	29.9%	-	108.7%	-16.4%	1.5%	-20.2%	6.0%	36.9%	59.7%	14.8%	0.8%	-11.5%	19.4%	-9.1%
2015/3	40.8%	-16.5%	71.3%	45.1%	52.2%	43.0%	25.7%	-70.7%	48.3%	120.4%	21.6%	12.8%	-	124.9%	60.7%	149.1%	31.1%	-50.6%	-76.0%	228.7%	28.6%	23.0%	-34.0%	24.9%	14.4%
2016/3	-3.5%	10.2%	-22.1%	-11.3%	0.9%	3.2%	2.3%	814.3%	-12.3%	-13.7%	-2.5%	-3.7%	-	-83.7%	-5.4%	-10.2%	7.5%	280.6%	77.4%	-25.1%	-11.3%	4.7%	167.9%	13.8%	-7.0%
2017/3	16.8%	8.4%	24.3%	-3.0%	70.4%	14.5%	8.2%	238.8%	40.0%	-67.3%	5.4%	-1.5%	520.6%	-16.1%	1.9%	19.8%	-21.3%	531.7%	-142.4%	-8.7%	1.0%	-4.3%	498.3%	-8.4%	-15.2%
2017/6	5.2%	-1.1%	8.8%	0.5%	-7.0%	4.5%	5.2%	-11.9%	-24.1%	3.3%	8.4%	11.2%	9.7%	26.8%	-17.1%	9.8%	9.1%	-11.4%	-548.3%	-2.7%	5.5%	10.7%	-5.5%	-9.6%	9.9%
2017/9	4.1%	0.0%	6.2%	-3.5%	-2.5%	3.2%	1.5%	18.0%	-0.3%	-9.0%	4.4%	8.8%	4.2%	-5.8%	2.4%	4.0%	5.8%	44.7%	222.0%	-0.2%	5.5%	7.4%	6.4%	7.7%	7.9%

#### Percentage

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Private	Short term investments	Others	Stocks	Bonds	Private	Short term investments	Others	Stocks	Bonds	Private	Short term investments	Others	Stocks	Bonds	Private I.	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others
2011/3	18.0%	37.1%	0.0%	1.1%	0.8%	5.9%	9.4%	0.0%	0.7%	0.5%	4.1%	6.1%	0.0%	0.1%	0.2%	0.8%	0.2%	0.0%	0.0%	0.0%	2.7%	7.1%	0.0%	0.1%	5.1%
2012/3	17.4%	36.6%	0.0%	1.0%	0.8%	6.0%	10.2%	0.0%	0.9%	0.5%	3.5%	5.9%	0.0%	0.1%	0.2%	0.8%	0.6%	0.0%	0.0%	0.0%	2.6%	7.4%	0.0%	0.1%	5.2%
2013/3	19.1%	33.1%	0.0%	1.1%	0.9%	6.5%	10.7%	0.0%	0.9%	0.2%	3.8%	6.4%	0.0%	0.1%	0.2%	0.9%	0.7%	0.0%	0.0%	0.0%	2.9%	6.5%	0.0%	0.2%	5.8%
2014/3	22.3%	29.0%	0.1%	1.1%	0.8%	7.8%	10.1%	0.0%	0.6%	0.5%	4.5%	7.6%	0.0%	0.2%	0.2%	0.9%	0.5%	0.0%	0.0%	0.0%	3.0%	5.9%	0.0%	0.2%	4.8%
2015/3	26.4%	20.4%	0.1%	1.3%	1.0%	9.3%	10.7%	0.0%	0.8%	0.8%	4.6%	7.2%	0.0%	0.3%	0.2%	1.8%	0.6%	0.0%	0.0%	0.1%	3.3%	6.1%	0.0%	0.2%	4.6%
2016/3	25.5%	22.4%	0.1%	1.2%	1.0%	9.6%	10.9%	0.0%	0.7%	0.7%	4.5%	7.0%	0.0%	0.1%	0.2%	1.6%	0.6%	0.0%	0.0%	0.1%	2.9%	6.4%	0.0%	0.2%	4.3%
2017/3	27.5%	22.5%	0.1%	1.0%	1.6%	10.2%	10.9%	0.0%	0.9%	0.2%	4.3%	6.3%	0.0%	0.0%	0.2%	1.8%	0.4%	0.0%	0.0%	0.1%	2.7%	5.7%	0.0%	0.2%	3.4%
2017/6	27.7%	21.3%	0.1%	1.0%	1.5%	10.2%	11.0%	0.0%	0.6%	0.2%	4.5%	6.8%	0.0%	0.1%	0.2%	1.9%	0.5%	0.0%	0.0%	0.1%	2.7%	6.0%	0.0%	0.2%	3.5%
2017/9	27.9%	20.6%	0.1%	0.9%	1.4%	10.2%	10.8%	0.0%	0.6%	0.2%	4.6%	7.1%	0.0%	0.0%	0.2%	1.9%	0.5%	0.0%	0.0%	0.1%	2.8%	6.3%	0.0%	0.2%	3.7%

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

## (7) Derivative Transactions

Exchange traded derivatives

(Unit: ¥ 100million)

	Futu	ıres	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2011/3	7,890	2,383	873	0	30,290
2012/3	5,429	2,772	920	8	176
2013/3	6,099	4,738	3,412	19	772
2014/3	6,642	4,153	1,162	0	38
2015/3	10,686	4,372	1,863	0	2,269
2016/3	9,354	7,573	943	2	1,303
2017/3	11,318	11,946	723	139	317
2017/6	10,364	12,034	971	30	402
2017/9	10,764	12,608	1,016	40	489

Over-the-counter derivatives

(Unit: ¥ 100million)

	Forwards	Options	Swaps	Others
2011/3	163,838	8,838	76,220	5,375
2012/3	156,856	11,269	84,449	4,341
2013/3	162,108	12,242	89,728	8,522
2014/3	150,541	10,737	42,977	11,900
2015/3	169,693	23,762	37,436	5,396
2016/3	162,426	26,418	72,872	2,952
2017/3	139,928	5,263	86,655	3,351
2017/6	161,070	6,686	98,728	6,302
2017/9	175.808	7.499	114.688	6.890

Overseas exchange traded derivatives

(Unit: ¥ 100million)

	Futu	res	Opt	tions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2011/3	3,553	6,566	5	117	2,245
2012/3	1,709	7,302	3	498	1,404
2013/3	3,926	13,490	17	41	3,624
2014/3	4,165	12,262	217	52	
2015/3	7,137	14,681	409	225	17,344
2016/3	5,681	27,444	65	178	9,916
2017/3	8,204	35,554	106	146	10,832
2017/6	8,450	40,905	136	171	15,260
2017/9	7,807	33,569	148	135	17,393

Note:Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

(1) Assets Under Management (Discretionary Assets)

(Unit: ¥ 100million)

			Dor	nestic Clie	ntc							Overces	s Clients							To	tal		(Offic. +	
	Securi	ties related			iica	Oth	ners		Securi	ties relate	d to real p		5 Ollerius	Oth	ers		Securi	ties related	l to real p		rcai	Oth	ners	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme		IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	521	52	43,729	4,353	0	0	0	0	0	0	0	0	0	0	0	0	521	52	43,729	4,353	0	0	0	0
2012/3	589	55	47,290	4,716	6	3	54	9	0	0	0	0	0	0	0	0	589	55	47,290	4,716	6	3	54	9
2013/3	588	54	45,086	4,312	7	3	84	29	0	0	0	0	2	2	16	16	588	54	45,086	4,312	9	5	100	45
2014/3	499	52	36,997	2,404	6	3	94	30	0	0	0	0	2	2	17	17	499	52	36,997	2,404	8	5	111	47
2015/3	468	39	37,328	1,566	7	2	148	6	1	0	15	0	2	2	15	15	469	39	37,343	1,566	9	4	163	21
2016/3	495	39	36,016	1,571	5	0	197	0	1	0	14	0	2	2	8	8	496	39	36,029	1,571	7	2	205	8
2017/3	464	40	33,892	1,494	11	5	138	43	2	0	41	0	1	1	3	3	466	40	33,933	1,494	12	6	141	46
2017/6	509	43	34,556	1,521	8	5	99	46	2	0	43	0	1	1	1	1	511	43	34,599	1,521	9	6	99	47
2017/9	524	44	35,784	1,564	9	5	133	54	2	0	44	0	1	1	1	1	526	44	35,828	1,564	10	6	134	55

		(	To Discretion		.)
		Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/	/3	521	52	43,729	4,353
2012/	/3	595	58	47,344	4,724
2013/	/3	597	59	45,187	4,358
2014/	/3	507	57	37,108	2,451
2015/	/3	478	43	37,506	1,587
2016/	/3	503	41	36,234	1,580
2017/	/3	478	46	34,074	1,541
2017/	/6	520	49	34,699	1,568
2017/	/9	536	50	35,962	1,619

			Dor	nestic Clie	nts							Oversea	s Clients							To	tal			
	Securi	ties relate	d to real p	roperty		Oth	ers		Securi	ties related	d to real pr	roperty		Oth	iers		Securi	ties related	to real p	roperty		Oth	ers	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2012/3	13.1%	5.8%	8.1%	8.3%		-	_	-	-	-	-	-	-	-	_	-	13.1%	5.8%	8.1%	8.3%	_	-		-
2013/3	-0.2%	-1.8%	-4.7%	-8.6%	16.7%	0.0%	56.9%	235.9%	-	-	-	-	-	-	-	_	-0.2%	-1.8%	-4.7%	-8.6%	50.0%	66.7%	87.0%	420.8%
2014/3	-15.1%	-3.7%	-17.9%	-44.2%	-14.3%	0.0%	11.5%	1.5%	-	-	-	-	0.0%	0.0%	4.5%	4.5%	-15.1%	-3.7%	-17.9%	-44.2%	-11.1%	0.0%	10.4%	2.6%
2015/3	-6.2%	-25.0%	0.9%	-34.9%	16.7%	-33.3%	57.1%	-79.7%	-	-	-	-	0.0%	0.0%	-9.7%	-9.7%	-6.0%	-25.0%	0.9%	-34.9%	12.5%	-20.0%	47.0%	-54.3%
2016/3	5.8%	0.0%	-3.5%	0.3%	-28.6%	-100.0%	33.0%	-100.0%	0.0%	-	-9.1%	-	0.0%	0.0%	-45.4%	-45.4%	5.8%	0.0%	-3.5%	0.3%	-22.2%	-50.0%	25.7%	-60.9%
2017/3	-6.3%	2.6%	-5.9%	-4.9%	120.0%	-	-29.7%	-	100.0%	-	205.2%	-	-50.0%	-50.0%	-63.0%	-63.0%	-6.0%	2.6%	-5.8%	-4.9%	71.4%	200.0%	-31.0%	457.1%
2017/6	9.7%	7.5%	2.0%	1.8%	-27.3%	0.0%	-28.6%	7.0%	0.0%	-	3.5%	-	0.0%	0.0%	-73.1%	-73.1%	9.7%	7.5%	2.0%	1.8%	-25.0%	0.0%	-29.6%	1.7%
2017/9	2.9%	2.3%	3.6%	2.8%	12.5%	0.0%	35.2%	16.6%	0.0%	-	2.4%	_	0.0%	0.0%	0.0%	0.0%	2.9%	2.3%	3.6%	2.8%	11.1%	0.0%	34.9%	16.3%

	(	To Discretion		)
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2012/3	14.2%	11.5%	8.3%	8.5%
2013/3	0.3%	1.7%	-4.6%	-7.8%
2014/3	-15.1%	-3.4%	-17.9%	-43.8%
2015/3	-5.7%	-24.6%	1.1%	-35.2%
2016/3	5.2%	-4.7%	-3.4%	-0.5%
2017/3	-5.0%	12.2%	-6.0%	-2.5%
2017/6	8.8%	6.5%	1.8%	1.8%
2017/9	3.1%	2.0%	3.6%	3.2%

(2) Assets Under Management (Assets Under Advice)

(Unit: ¥ 100million)

			Doi	mestic Cli	ents							Oversea	s Clients							To	tal		01.110 1 1 1	
	Securit	ies related	to real p	roperty		Ot	hers		Securit	ies related	d to real p	property		Oth	ers		Securit	ies related	to real p	roperty		Oth	ers	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme		IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double laver scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	464	30	46,150	4,075	0	0	0	0	0	0	C	0	0	0	0	0	464	30	46,150	4,075	0	0	0	0
2012/3	391	22	42,057	2,832	2	1	49	0	0	0	C	0	3	1	397	40	391	22	42,057	2,832	5	2	446	40
2013/3	324	19	38,416	2,715	3	0	352	0	0	0	C	0	4	3	634	580	324	19	38,416	2,715	7	3	986	580
2014/3	231	11	28,530	1,188	2	0	336	0	0	0	C	0	5	3	1,026	886	231	11	28,530	1,188	7	3	1,362	886
2015/3	234	16	30,033	882	2	0	0	0	0	0	C	0	4	3	986	985	234	16	30,033	882	6	3	986	985
2016/3	293	20	38,862	1,179	2	0	0	0	0	0	C	0	4	3	1,987	1,987	293	20	38,862	1,179	6	3	1,987	1,987
2017/3	298	17	39,121	749	2	0	0	0	0	0	C	0	3	3	1,817	1,817	298	17	39,121	749	5	3	1,817	1,817
2017/6	285	18	38,216	837	2	0	30	0	0	0	C	0	3	3	2,118	2,118	285	18	38,216	837	5	3	2,147	2,118
2017/9	286	16	36,332	716	2	0	30	0	0	0	C	0	3	3	2,229	2,096	286	16	36,332	716	5	3	2,259	2,096

	([	To Discretion		s)
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	464	30	46,150	4,075
2012/3	396	24	42,503	2,872
2013/3	331	22	39,402	3,294
2014/3	238	14	29,892	2,074
2015/3	240	19	31,020	1,867
2016/3	299	23	40,849	3,166
2017/3	303	20	40,938	2,566
2017/6	290	21	40,363	2,955
2017/9	291	19	38,591	2.811

			Doi	mestic Cli	ents							Oversea	s Clients							То	tal			
	Securit	ies related	to real p	roperty		Ot	hers		Securit	ies relate	d to real p	roperty		Oth	ers		Securit	ies related	to real p	property		Oth	ers	
	Number of Contracts	IN double laver scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double laver scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double laver scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2012/3	-15.7%	-26.7%	-8.9%	-30.5%	-	-	-	-	-	-	-	-	-	-	-	-	-15.7%	-26.7%	-8.9%	-30.5%	-	-	-	-
2013/3	-17.1%	-13.6%	-8.7%	-4.1%	50.0%	-100.0%	617.6%	-100.0%	-	-	-	-	33.3%	200.0%	59.6%	1350.3%	-17.1%	-13.6%	-8.7%	-4.1%	40.0%	50.0%	121.0%	1349.9%
2014/3	-28.7%	-42.1%	-25.7%	-56.3%	-33.3%	-	-4.5%		-	-	-	-	25.0%	0.0%	61.9%	53.0%	-28.7%	-42.1%	-25.7%	-56.3%	0.0%	0.0%	38.2%	53.0%
2015/3	1.3%	45.5%	5.3%	-25.7%	0.0%	-	-100.0%	-	-	-	-	-	-20.0%	0.0%	-3.8%	11.1%	1.3%	45.5%	5.3%	-25.7%	-14.3%	0.0%	-27.6%	11.1%
2016/3	25.2%	25.0%	29.4%	33.7%	0.0%	-	0.0%	-	-	-	-	-	0.0%	0.0%	101.5%	101.8%	25.2%	25.0%	29.4%	33.7%	0.0%	0.0%	101.5%	101.8%
2017/3	1.7%	-15.0%	0.7%	-36.5%	0.0%	-	0.0%	-	-	-	-	-	-25.0%	0.0%	-8.6%	-8.5%	1.7%	-15.0%	0.7%	-36.5%	-16.7%	0.0%	-8.6%	-8.5%
2017/6	-4.4%	5.9%	-2.3%	11.8%	0.0%	-	49116.7%	-	-	-	-	-	0.0%	0.0%	16.5%	16.5%	-4.4%	5.9%	-2.3%	11.8%	0.0%	0.0%	18.2%	16.5%
2017/9	0.4%	-11.1%	-4.9%	-14.5%	0.0%	-	0.0%	-	-	-	-	-	0.0%	0.0%	5.3%	-1.1%	0.4%	-11.1%	-4.9%	-14.5%	0.0%	0.0%	5.2%	-1.1%

	([	To Discretion		s)
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double laver scheme Amount of assets under management for master scheme
2012/3	-14.7%	-20.0%	-7.9%	-29.5%
2013/3	-16.4%	-8.3%	-7.3%	14.7%
2014/3	-28.1%	-36.4%	-24.1%	-37.0%
2015/3	0.8%	35.7%	3.8%	-10.0%
2016/3	24.6%	21.1%	31.7%	69.6%
2017/3	1.3%	-13.0%	0.2%	-18.9%
2017/6	-4.3%	5.0%	-1.4%	15.2%
2017/9	0.3%	-9.5%	-4.4%	-4.9%

(3) Assets Under Management (Discretionary Assets + Assets Under Management)

			Don	nestic Clie	ents							Oversea	s Clients							To	tal			
	Securit	ies relate	d to real p	property		Oth	ners		Securit	ies relate	d to real p	roperty		Oth	ners		Securit	ies related	to real p	property		Oth	ers	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	985	82	89,879	8,428	0	0	0	0	0	0	0	0	0	0	0	0	985	82	89,879	8,428	0	0	0	0
2012/3	980	77	89,347	7,547	8	4	103	9	0	0	0	0	3	1	397	40	980	77	89,347	7,547	11	5	500	49
2013/3	912	73	83,503	7,027	10	3	436	29	0	0	0	0	6	5	650	596	912	73	83,503	7,027	16	8	1,086	625
2014/3	730		65,527	3,592	8	3	430	30	0	0	0	0	7	5	1,043	903	730		65,527	3,592	15	8	1,473	933
2015/3	702	55	67,361	2,448	9	2	148	6	1	0	15	0	6	5	1,002	1,000	703	55	67,376	2,448	15	7	1,149	1,006
2016/3	788		74,878	2,751	7	0	197	0	1	0	14	0	6	5	1,996	1,995	789		74,891	2,751	13	5	2,192	1,995
2017/3	762	57	73,013	2,243	13	5	138	43	2	0	41	0	4	4	1,820	1,820	764	57	73,054	2,243	17	9	1,958	1,863
2017/6	794	61	72,772	2,358	10	5	128	46	2	0	43	0	4	4	2,119	2,119	796	61	72,815	2,358	14	9	2,247	2,165
2017/9	810	60	72,116	2,279	11	5	163	54	2	0	44	0	4	4	2,230	2,096	812	60	72,160	2,279	15	9	2,393	2,150

	([	To Discretion		s)
	Number of Contracts	IN double laver scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	985	82	89,879	8,428
2012/3	991	82	89,847	7,596
2013/3	928	81	84,589	7,652
2014/3	745	71	67,000	4,525
2015/3	718	62	68,526	3,454
2016/3	802	64	77,084	4,746
2017/3	781	66	75,013	4,107
2017/6	810	70	75,062	4,523
2017/9	827	69	74,552	4,430

			Don	nestic Clie	ents							Oversea	s Clients							To	tal			
	Securiti	ies related	to real p	property		Oth	ners		Securiti	ies relate	d to real p	roperty		Oth	ners		Securit	ies related	to real p	roperty		Oth	ers	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double laver scheme Amount of assets under management for master scheme
2012/3	-0.5%	-6.1%	-0.6%	-10.4%	-	-	-	-	-	-	-	-	-	-	-	-	-0.5%	-6.1%	-0.6%	-10.4%	-	-	-	-
2013/3	-6.9%	-5.2%	-6.5%	-6.9%	25.0%	-25.0%	324.6%	235.5%	-	-	-	-	100.0%	400.0%	63.7%	1390.7%	-6.9%	-5.2%	-6.5%	-6.9%	45.5%	60.0%	117.4%	1183.4%
2014/3	-20.0%	-13.7%	-21.5%	-48.9%	-20.0%	0.0%	-1.4%	1.5%	-	-	-	-	16.7%	0.0%	60.4%	51.6%	-20.0%	-13.7%	-21.5%	-48.9%	-6.3%	0.0%	35.6%	49.3%
2015/3	-3.8%	-12.7%	2.8%	-31.8%	12.5%	-33.3%	-65.7%	-79.7%		-	-	-	-14.3%	0.0%	-3.9%	10.7%	-3.7%	-12.7%	2.8%	-31.8%	0.0%	-12.5%	-22.0%	7.8%
2016/3	12.3%	7.3%	11.2%	12.4%	-22.2%	-100.0%	33.0%	-100.0%	0.0%	-	-9.1%	-	0.0%	0.0%	99.2%	99.5%	12.2%	7.3%	11.2%	12.4%	-13.3%	-28.6%	90.7%	98.3%
2017/3	-3.3%	-3.4%	-2.5%	-18.4%	85.7%		-29.7%	_	100.0%	_	205.2%	_	-33.3%	-20.0%	-8.8%	-8.8%	-3.2%	-3.4%	-2.5%	-18.4%	30.8%	80.0%	-10.7%	-6.6%
2017/6	4.2%	7.0%	-0.3%	5.1%	-23.1%	0.0%	-7.3%	7.0%	0.0%	-	3.5%	-	0.0%	0.0%	16.4%	16.4%	4.2%	7.0%	-0.3%	5.1%	-17.6%	0.0%	14.7%	16.2%
2017/9	2.0%	-1.6%	-0.9%	-3.3%	10.0%	0.0%	27.1%	16.6%	0.0%	-	2.4%	-	0.0%	0.0%	5.3%	-1.1%	2.0%	-1.6%	-0.9%	-3.3%	7.1%	0.0%	6.5%	-0.7%

	([	To Discretion		s)
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2012/3	0.6%	0.0%	0.0%	-9.9%
2013/3	-6.4%	-1.2%	-5.9%	0.7%
2014/3	-19.7%	-12.3%	-20.8%	-40.9%
2015/3	-3.6%	-12.7%	2.3%	-23.7%
2016/3	11.7%	3.2%	12.5%	37.4%
2017/3	-2.6%	3.1%	-2.7%	-13.5%
2017/6	3.7%	6.1%	0.1%	10.1%
2017/9	2.1%	-1.4%	-0.7%	-2.1%

(4) Assets Under Management by Asset Class

(Unit: ¥ 100million)

				Jap	oan							Over	seas							Glo	bal			
	Securit	ies related	d to real p	property		Otl	ners		Securit	ies relate	d to real p	property		Oth	ners		Securit	ies related	d to real p	roperty		Otł	iers	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme		IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2011/3	521	52	43,729	4,353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(	) 0
2012/3	589	55	47,290	4,716	6	3	54	9	0	0	0	0	0	0	C	0	0	0	0	0	0	0	(	) 0
2013/3	588	54	45,086	4,312	7	3	84	29	0	0	0	0	2	2	16	16	0	0	0	0	0	0	(	) 0
2014/3	499	52	36,997	2,404	6	3	94	30	0	0	0	0	2	2	17	17	0	0	0	0	0	0	(	) 0
2015/3	468	39	37,328	1,566	7	2	148	6	1	0	15	0	2	2	15	15	0	0	0	0	0	0	(	) 0
2016/3	495	39	36,016	1,571	5	0	197	0	1	0	14	0	2	2	8	8	0	0	0	0	0	0	(	) 0
2017/3	464	40	33,892	1,494	11	5	138	43	2	0	41	0	1	1	3	3	0	0	0	0	0	0	(	) 0
2017/6	505	42	34,510	1,521	8	5	99	46	6	1	89	1	1	1	1	1	0	0	0	0	0	0	(	) 0
2017/9	525	44	35,784	1,564	8	5	133	54	2	0	44	0	1	1	1	1	0	0	0	0	0	0	(	) 0

#### Percentage changes from the previous year (or term)

				Jap	oan							Over	rseas							Glo	bal			
	Securit	ies related	to real p	roperty		Oth	ners		Securit	ies relate	d to real p	roperty		Oth	ners		Securit	ies related	d to real p	property		Oth	ers	
	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme	Number of Contracts	IN double layer scheme No.of contracts with master scheme	Amount	IN double layer scheme Amount of assets under management for master scheme
2012/3	13.1%	5.8%	8.1%	8.3%	-	_	_	-	-	-	-	_	_	_	-	_	_	_	-	_	-	-	_	-
2013/3	-0.2%	-1.8%	-4.7%	-8.6%	16.7%	0.0%	56.9%	235.9%	-	_	-	-	-	-	-	-	_	-	-	-	-	-	_	-
2014/3	-15.1%	-3.7%	-17.9%	-44.2%	-14.3%	0.0%	11.5%	1.5%	-	I	-	_	0.0%	0.0%	4.5%	4.5%	_	_	ı	_	_	-	-	-
2015/3	-6.2%	-25.0%	0.9%	-34.9%	16.7%	-33.3%	57.1%	-79.7%	-	-	-	-	0.0%	0.0%	-9.7%	-9.7%	-	-	-	-	-	-	-	-
2016/3	5.8%	0.0%	-3.5%	0.3%	-28.6%	-100.0%	33.0%	-100.0%	0.0%	ı	-9.1%	-	0.0%	0.0%	-45.4%	-45.4%	_	_	ı	_	_	-	_	_
2017/3	-6.3%	2.6%	-5.9%	-4.9%	120.0%	_	-29.7%	_	100.0%	-	205.2%	_	-50.0%	-50.0%	-63.0%	-63.0%	_	_		_	_	_	_	_
2017/6	8.8%	5.0%	1.8%		-27.3%		-28.6%	7.0%	200.0%	-	115.6%	_	0.0%		-73.1%	-73.1%	_	_		_	_	_	_	_
2017/9	4.0%	4.8%	3.7%	2.9%	0.0%	0.0%	35.2%	16.6%	-66.7%	-100.0%	-50.8%	-100.0%	0.0%	0.0%	0.0%	0.0%	_	_	ı	_	_	-	_	_

## (5) Assets Under Management by Country and Region

(Unit : ¥ 100million)

																						(UIIIL ·	Ŧ IUUIII	111011)
			Japan					USA					Europe					Asia				Others		
	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others Stocks	Bonds	Real Estate Private Funds	Short term investment s	
2011/3	0	0	42,807	622	301	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0 0	0	0	0	0
2012/3	0	0	46,223	624	476	0	0	0	0	0	0	0	0	0	0	0	0	21	0	0 0	0	0	0	0
2013/3	0	0	44,377	677	92	0	0	0	0	16	0	0	0	0	0	0	0	24	0	0 0	0	0	0	0
2014/3	0	32	36,446	503	70	0	0	0	0	17	0	0	0	0	0	0	0	28	0	0 0	0	0	0	0
2015/3	72	44	37,053	260	46	0	0	0	0	15	0	0	15	0	0	0	0	0	0	0 0	0	0	0	0
2016/3	0	0	35,838	171	204	0	0	0	0	8	0	0	14	0	0	0	0	0	0	0 0	0	0	0	0
2017/3	1	0	33,721	166	143	0	0	0	0	3	12	0	0	0	0	0	0	0	0	0 0	0	0	2	27
2017/6	1	0	34,446	105	103	0	0	0	0	1	12	0	0	0	0	0	0	0	0	0 0	0	0	4	27
2017/9	5	0	35,668	111	133	0	0	0	0	1	13	0	0	0	0	0	0	0	0	0 0	0	0	3	28

#### Percentage changes from the previous year (or term)

			Japan					USA					Europe			Asia					Others		
	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds		Short term investment s		Stocks	Bonds	Real Estate Private Funds Short to investm s	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	
2012/3	-	_	8.0%	0.4%	58.2%	-	_	_	-	_	_	_	-	 	_	_	-	_	-	_	_	-	_
2013/3	-	-	-4.0%	8.5%	-80.7%	-	-	-	_	1	-	-	-	 -	-	19.0%	-60.1%	-	-	-	-	-	-
2014/3	-	_	-17.9%	-25.8%	-23.7%	_	_	-	-	4.5%	-	1	-	 -		13.9%	16.7%	_	-	-	_	-	_
2015/3	-	37.3%		-48.2%	-34.7%	_	_	_	_	-9.7%	-	-	_	 -	-	-100.0%	-100.0%	_	_	-	_	-	_
2016/3	-100.0% -	-100.0%	-3.3%	-34.5%	346.2%	-	_	_	-	-45.4%	_	-	-9.1%	 -	-	-	-	-	-	-	-	-	_
2016/6	-	_	2.5%	-10.0%	32.7%	_	_	_	_	-10.8%	-	-	-100.0%	 -	-	_	_	_	_	-	_	-	_
2016/9	-	_	-3.9%	127.4%	1.1%	-	_	-	-	-48.0%	-5.3%	-	_	 -	-	_	-	_	_	-	_	-	_
2016/12	-	_	-1.6%	-53.9%	1.9%	-	_	_	-	-22.5%	9.2%	-	-	 -	-	-	-	-	-	-	-	-	_
2017/3	-	_	-5.9%		-30.1%	-	_	-	-	-63.0%	-	-	-100.0%	 -	-	_	-	_	_	-	_	-	_
2017/6	0.0%	-	2.2%	-36.6%	-27.9%	-	-	-	_	-73.1%	4.2%	-	-	 	-	-	-	-	-	-	-	46.7%	-0.7%
2017/9	525.6%	_	3.5%	5.5%	29.5%	_	_	_	_	0.0%	3.8%	-	_	 -	-	-	_	_	_	_	-	-13.7%	3.9%

#### Percentage

			Japan					USA					Europe					Asia					Others		
													•												
	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds		Short term investment s		Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others
2011/3	0.0%	0.0%	97.9%	1.4%	0.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2012/3	0.0%	0.0%	97.6%	1.3%	1.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2013/3	0.0%	0.0%	98.2%	1.5%	0.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2014/3	0.0%	0.1%	98.2%	1.4%	0.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2015/3	0.2%	0.1%	98.8%	0.7%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2016/3	0.0%	0.0%	98.9%	0.5%	0.6%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
2017/3	0.0%	0.0%	99.0%	0.5%	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
2017/6	0.0%	0.0%	99.3%	0.3%	0.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
2017/9	0.0%	0.0%	99.2%	0.3%	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%

## (6) Derivative Transactions

Exchange traded derivatives

(Unit: ¥ 100million)

	Futı	ıres	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Over-the-counter derivatives

(Unit: ¥ 100million)

	Forwards	Options	Swaps	Others
2011/3	0	2,283	553	0
2012/3	0	835	794	169
2013/3	0	587	504	169
2014/3	0	471	436	98
2015/3	0	97	767	0
2016/3	0	0	875	0
2017/3	27	0	1,159	0
2017/6	30	0	922	0
2017/9	40	0	919	0

Overseas exchange traded derivatives

(Unit: ¥ 100million)

	Futı	ures	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

# (1) Assets Under Management (Discretionary Assets)

(Unit: ¥ 100million)

Γ		Domestic Clients							Overseas Clients							
l		Corpo	rations	Indiv	viduals	Т	otal	Corp	orations	Indi	/iduals	Т	otal			
		Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount			
ľ	2017/3	6,586	5,980	558,034	59,721	564,620	65,700	0	0	2	2	2	2			
	2017/6	6,570	6,162	584,263	63,108	590,833	69,270	0	0	2	2	2	2			
	2017/9	6,729	6,550	619,193	66,628	625,922	73,178	0	0	2	2	2	2			

(Unit : ¥ TUUMIIIIon							
Total							
(Discretion	ary Assets)						
Nunber of Contract	Amount						
564,622	65,702						
590,835	69,272						
625,924	73,180						

	Domestic Clients							Overseas Clients							
	Corpo	rations	Indiv	/iduals	Т	otal	Corp	orations	Indiv	/iduals	Т	otal			
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount			
2017/6	-0.2%	3.1%	4.7%	5.7%	4.6%	5.4%	-	_	0.0%	5.0%	0.0%	5.0%			
2017/9	2.4%	6.3%	6.0%	5.6%	5.9%	5.6%	_	-	4.3%	3.4%	8.6%	3.5%			

To	Total							
(Discretion	ary Assets)							
Nunber of Contract	Amount							
4.6%	5.4%							
5.9%	5.6%							

<sup>\* &</sup>quot;Wrap Accounts" mean those accounts in which clients pay one comprehensive asset-based fee which includes management fees, commissions for the execution of transactions, administrative fees, etc.

# (2) Assets Under Management (Assets Under Advice)

	Domestic Clients							Overseas Clients						
	Corpo	orations	Indi	viduals	Т	otal	Corp	orations	Indiv	viduals	Т	otal		
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount		
2017/3	0	0	0	0	0	0	0	0	0	0	0	0		
2017/6	0	0	0	0	0	0	0	0	0	0	0	0		
2017/9	1	4	0	0	1	4	0	0	0	0	0	0		

(Un	it:¥ 100million)
T	otal
(Discretion	nary Assets)
Nunber of Contract	Amount
0	0
0	0
1	4

		Domestic Clients						Overseas Clients							
		Corpo	orations	Indiv	viduals	Т	otal	Corp	orations	Indi	viduals	Т	otal		
		Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount		
20	017/6	_	-	_	-	-	-	-	-	-	-	-			
20	017/9	-	-	-	-	-	-	-	-	-	-	-	-		

Total								
(Discretion	nary Assets)							
Nunber of Contract	Amount							
_	-							
-	_							

# (3) Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit: ¥ 100million)

	Domestic Clients							Overseas Clients						
	Corpo	rations	Indiv	/iduals	T	otal	Corpo	orations	Indiv	viduals	Т	otal		
	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount		
2017/3	6,586	5,980	558,034	59,721	564,620	65,700	0	0	2	2	2	2		
2017/6	6,570	6,162	584,263	63,108	590,833	69,270	0	0	2	2	2	2		
2017/9	6,730	6,554	619,193	66,628	625,923	73,182	0	0	2	2	2	2		

(Offic. + Toolfilliloff)							
Total							
(Discretion:	ary Assets)						
Nunber of Contract	Amount						
564,622	65,702						
590,835	69,272						
625,925	73,184						

		Domestic Clients							Overseas Clients							
		Corpo	orations	Indiv	/iduals	Т	otal	Corpo	orations	Indiv	viduals	Т	otal			
		Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount	Nunber of Contract	Amount			
2	017/6	-0.2%	3.1%	4.7%	5.7%	4.6%	5.4%	_	-	0.0%	5.0%	0.0%	5.0%			
2	017/9	2.4%	6.4%	6.0%	5.6%	5.9%	5.6%	_	_	8.6%	3.5%	17.3%	3.6%			

Total (Discretionary Assets)									
(Discretion)	ary Assets)								
Nunber of Contract	Amount								
4.6%	5.4%								
5.9%	5.6%								

# (4) Assets Under Management by Asset Class

# 1 Mutual Fund Wrap Accounts

## 1) Number of Contract

		Domestic			Overseas			Global			
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total	
2017/3	1	67	124,554	0	49	18	3	191	437,821	562,704	
2017/6	5	89	127,095	0	50	31	3	201	461,564	589,038	
2017/9	3	79	130,311	0	50	3	2	281	493,476	624,205	

Percentage changes from the previous year (or term)

	Domestic				Overseas			Global		Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/6	400.0%	32.8%	2.0%	-	2.0%	72.2%	0.0%	5.2%	5.4%	4.7%
2017/9	-40.0%	-11.2%	2.5%	-	0.0%	-90.3%	-33.3%	39.8%	6.9%	6.0%

# 2 Amount of Assets

(Unit: ¥ 100million)

		Domestic			Overseas			Global			
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total	
2017/3	0	63	15,284	0	93	1	7	497	48,202	64,147	
2017/6	1	67	16,014	0	91	4	7	491	51,020	67,695	
2017/9	1	62	16,840	0	92	0	4	599	53,876	71,473	

#### Percentage changes from the previous year (or term)

	Domestic				Overseas			Global		Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/6	1640.0%	5.4%	4.8%	_	-1.5%	260.3%	6.1%	-1.1%	5.8%	5.5%
2017/9	43.7%	-7.9%	5.2%	_	0.4%	-91.7%	-51.2%	21.9%	5.6%	5.6%

#### Percentage

		Domestic			Overseas			Global			
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total	
2017/3	0.0%	0.1%	23.8%	0.0%	0.1%	0.0%	0.0%	0.8%	75.1%	100.0%	
2017/6	0.0%	0.1%	23.7%	0.0%	0.1%	0.0%	0.0%	0.7%	75.4%	100.0%	
2017/9	0.0%	0.1%	23.6%	0.0%	0.1%	0.0%	0.0%	0.8%	75.4%	100.0%	

# (4) Assets Under Management by Asset Class

# ②Wrap Accounts(excluding Mutual Fund Wrap Accounts)

## 1) Number of Contract

	Domestic			Overseas					Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/3	1,160	0	382	0	0	0	6	5	365	1,918
2017/6	1,119	0	369	0	0	0	5	3	301	1,797
2017/9	1,060	0	375	0	0	0	5	3	276	1,719

Percentage changes from the previous year (or term)

		Domestic			Overseas			Global		Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/6	-3.5%	-	-3.4%	_	-		-16.7%	-40.0%	-17.5%	-6.3%
2017/9	-5.3%	_	1.6%	_	_		0.0%	0.0%	-8.3%	-4.3%

# 2 Amount of Assets

(Unit: ¥ 100million)

	Domestic				Overseas				Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/3	147	0	787	0	0	0	3	3	615	1,555
2017/6	148	0	818	0	0	0	2	2	606	1,577
2017/9	146	0	937	0	0	0	3	2	619	1,707

#### Percentage changes from the previous year (or term)

		Domestic			Overseas			Global		Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/6	0.6%	_	4.0%	_	_	-	-18.8%	-35.8%	-1.4%	1.4%
2017/9	-1.4%	_	14.6%	_	_	_	10.2%	3.4%	2.1%	8.3%

#### Percentage

	Domestic				Overseas			Global		Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Total
2017/3	9.5%	0.0%	50.6%	0.0%	0.0%	0.0%	0.2%	0.2%	39.6%	100.0%
2017/6	9.4%	0.0%	51.9%	0.0%	0.0%	0.0%	0.2%	0.1%	38.5%	100.0%
2017/9	8.6%	0.0%	54.9%	0.0%	0.0%	0.0%	0.2%	0.1%	36.3%	100.0%

# (5) Assets Under Management by Size

## 1 Number of Contract

		Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
201	7/3	390,919	112,925	48,767	8,704	3,191	116	564,622
201	7/6	392,526	130,530	54,136	9,816	3,692	135	590,835
201	7/9	409,616	142,521	58,633	10,812	4,188	154	625,924

## Percentage changes from the previous year (or term)

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/6	0.4%	15.6%	11.0%	12.8%	15.7%	16.4%	4.6%
2017/9	4.4%	9.2%	8.3%	10.1%	13.4%	14.1%	5.9%

## Percentage

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	69.2%	20.0%	8.6%	1.5%	0.6%	0.0%	100.0%
2017/6	66.4%	22.1%	9.2%	1.7%	0.6%	0.0%	100.0%
2017/9	65.4%	22.8%	9.4%	1.7%	0.7%	0.0%	100.0%

# ②Amount of Assets

(Unit : ¥ 100million)

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	21,044	15,331	14,370	5,821	6,673	2,462	65,702
2017/6	20,065	17,112	15,632	6,389	7,345	2,728	69,272
2017/9	19,791	18,429	16,814	6,962	8,150	3,034	73,180

## Percentage changes from the previous year (or term)

		Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
ľ	2017/6	-4.7%	11.6%	8.8%	9.8%	10.1%	10.8%	5.4%
	2017/9	-1.4%	7.7%	7.6%	9.0%	11.0%	11.2%	5.6%

## Percentage

	Less than ¥10 million	Over ¥10 million	Over ¥20 million	Over ¥50 million	Over ¥1 billion	Over ¥10 billion	Total
2017/3	32.0%	23.3%	21.9%	8.9%	10.2%	3.7%	100.0%
2017/6	29.0%	24.7%	22.6%	9.2%	10.6%	3.9%	100.0%
2017/9	27.0%	25.2%	23.0%	9.5%	11.1%	4.1%	100.0%

# (6) Assets Under Management by Country and Region

(Unit : ¥ 100million)

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others
2017/3	4,584	7,394	1	1,542	16,782	39	67	5	6	0	9	7	0	0	0	5	1	0	0	0	3,049	12,839	0	90	19,281
2017/6	4,892	6,799	2	1,700	17,487	41	72	12	6	0	10	8	0	0	0	6	1	0	0	0	3,449	13,836	0	83	20,868
2017/9	5,146	6,381	3	2,021	18,461	58	88	15	5	4	13	9	0	0	0	8	1	0	0	0	4,206	14,448	0	65	22,248

#### Percentage changes from the previous year (or term)

	Japan USA							Europe					Asia					Others							
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds		Short term investment s	Others
2017/6	6.7%	-8.1%	38.1%	10.2%	4.2%	7.2%	8.4%	125.2%	-6.3%	-97.0%	16.5%	12.8%	57.9%	_	-100.0%	24.1%	43.4%	43.5%	-	-	13.1%	7.8%	-40.8%	-8.1%	8.2%
2017/9	5.2%	-6.1%	44.3%	18.9%	5.6%	39.3%	21.6%	25.5%	-8.7%	260513.3%	29.1%	7.8%	36.7%	-	_	37.7%	32.9%	39.4%	-	_	21.9%	4.4%	30.2%	-21.4%	6.6%

#### Percentage

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Real Estate Private Funds	Short term investments	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others
2017/3	7.0%	11.3%	0.0%	2.3%	25.5%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	4.6%	19.5%	0.0%	0.1%	29.3%
2017/6	7.1%	9.8%	0.0%	2.5%	25.2%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	5.0%	20.0%	0.0%	0.1%	30.1%
2017/9	7.0%	8.7%	0.0%	2.8%	25.2%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	5.7%	19.7%	0.0%	0.1%	30.4%

# (7) Derivative Transactions

Exchange traded derivatives

Exchange t	raded derivatives				(Unit: ¥ 100million)
	Futı	ıres	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Over-the-c	counter derivatives			(Unit: ¥ 100million)
	Forwards	Options	Swaps	Others
2017/3	0	0	0	0
2017/6	0	0	0	0
2017/9	0	0	0	0

Overseas exchange traded derivatives

(Unit: ¥ 100million)

	Futı	ures	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

# (1) Assets Under Management

(Unit: ¥ 100million)

		e Investment S uding mutual fur		Ass	ets Under Adv	vice		Total	
	Japan	Overseas	Total	Japan	Overseas	Total	Japan	Overseas	Total
2011/3	1,456	318	1,774	0	0	0	1,456	318	1,774
2012/3	1,272	391	1,663	0	0	0	1,272	391	1,663
2013/3	1,311	480	1,791	0	0	0	1,311	480	1,791
2014/3	1,276	686	1,962	0	0	0	1,276	686	1,962
2015/3	972	566	1,537	0	0	0	972	566	1,537
2016/3	682	376	1,058	0	0	0	682	376	1,058
2017/3	1,006	388	1,394	0	0	0	1,006	388	1,394
2017/6	1,007	379	1,385	0	0	0	1,007	379	1,385
2017/9	1,292	357	1,649	0	0	0	1,292	357	1,649

		e Investment S uding mutual fu		Ass	ets Under Adv	/ice		Total	
	Japan	Overseas	Total	Japan	Overseas	Total	Japan	Overseas	Total
2012/3	-12.7%	22.9%	-6.3%	_	_	1	-12.7%	22.9%	-6.3%
2013/3	3.1%	22.8%	7.7%	_	_	I	3.1%	22.8%	7.7%
2014/3	-2.7%	42.9%	9.5%	_	_	I	-2.7%	42.9%	9.5%
2015/3	-23.9%	-17.5%	-21.6%	_	_	I	-23.9%	-17.5%	-21.6%
2016/3	-29.8%	-33.5%	-31.2%	_	_	l	-29.8%	-33.5%	-31.2%
2017/3	47.6%	3.2%	31.8%	_	_	I	47.6%	3.2%	31.8%
2017/6	0.0%	-2.4%	-0.6%	_	_		0.0%	-2.4%	-0.6%
2017/9	28.4%	-5.8%	19.0%	-	-	_	28.4%	-5.8%	19.0%

#### (2) Assets Under Management by Asset Class

#### 1)Number of Contract

	Jap	an	Over	seas	Glo	bal	Total
	Stocks	Others	Stocks	Others	Stocks	Others	10001
2011/3	23	11	11	8	25	26	104
2012/3	17	11	11	7	21	26	93
2013/3	12	8	11	4	25	23	83
2014/3	14	6	11	4	25	18	78
2015/3	10	2	11	4	23	14	64
2016/3	10	2	11	0	23	7	53
2017/3	11	4	9	1	27	5	57
2017/6	10	5	8	1	27	6	57
2017/9	12	10	2	1	27	6	58

	Jap	an	Over	seas	Glo	bal	Total
	Stocks	Others	Stocks	Others	Stocks	Others	lotai
2012/3	-26.1%	0.0%	0.0%	-12.5%	-16.0%	0.0%	-10.6%
2013/3	-29.4%	-27.3%	0.0%	-42.9%	19.0%	-11.5%	-10.8%
2014/3	16.7%	-25.0%	0.0%	0.0%	0.0%	-21.7%	-6.0%
2015/3	-28.6%	-66.7%	0.0%	0.0%	-8.0%	-22.2%	-17.9%
2016/3	0.0%	0.0%	0.0%	-100.0%	0.0%	-50.0%	-17.2%
2017/3	10.0%	100.0%	-18.2%	-	17.4%	-28.6%	7.5%
2017/6	-9.1%	25.0%	-11.1%	0.0%	0.0%	20.0%	0.0%
2017/9	20.0%	100.0%	-75.0%	0.0%	0.0%	0.0%	1.8%

#### (Unit : ¥ 100million)

	Jap	oan	Over	seas	Glo	bal	Total
	Stocks	Others	Stocks	Others	Stocks	Others	Total
2011/3	129	78	20	58	989	501	1,774
2012/3	66	61	30	51	1,011	445	1,663
2013/3	67	36	42	34	1,127	485	1,791
2014/3	70	17	42	33	1,269	530	1,962
2015/3	56	6	29	43	897	507	1,537
2016/3	78	112	15	0	522	331	1,058
2017/3	123	300	10	110	680	171	1,395
2017/6	128	333	8	99	646	171	1,386
2017/9	138	540	21	93	689	167	1,649

	Jap	an	Over	seas	Glo	bal	Total
	Stocks	Others	Stocks	Others	Stocks	Others	Total
2012/3	-49.1%	-21.9%	50.2%	-12.3%	2.2%	-11.1%	-6.3%
2013/3	1.4%	-39.9%	43.2%	-32.9%	11.5%	8.8%	7.7%
2014/3	5.2%	-52.2%	-0.5%	-1.5%	12.6%	9.3%	9.5%
2015/3	-20.5%	-64.1%	-32.1%	28.8%	-29.3%	-4.3%	-21.6%
2016/3	40.5%	1689.2%	-49.4%	-100.0%	-41.8%	-34.7%	-31.2%
2017/3	57.5%	168.0%	-29.4%	_	30.2%	-48.4%	31.8%
2017/6	3.5%	11.0%	-21.3%	-10.1%	-4.9%	0.2%	-0.6%
2017/9	8.1%	62.0%	163.6%	-5.9%	6.6%	-2.2%	19.0%

	Japa	an	Over	seas	Glo	bal	Total
	Stocks	Others	Stocks	Others	Stocks	Others	Total
2011/3	7.3%	4.4%	1.1%	3.2%	55.7%	28.2%	100.09
2012/3	3.9%	3.7%	1.8%	3.0%	60.8%	26.8%	100.09
2013/3	3.7%	2.0%	2.4%	1.9%	62.9%	27.1%	100.09
2014/3	3.6%	0.9%	2.2%	1.7%	64.7%	27.0%	100.09
2015/3	3.6%	0.4%	1.9%	2.8%	58.3%	33.0%	100.09
2016/3	7.4%	10.6%	1.4%	0.0%	49.3%	31.3%	100.09
2017/3	8.8%	21.5%	0.7%	7.9%	48.7%	12.3%	100.09
2017/6	9.2%	24.1%	0.6%	7.2%	46.6%	12.4%	100.09
2017/9	8.4%	32.8%	1.3%	5.7%	41.8%	10.2%	100.09

## (3) Assets Under Management by Country and Region

(Unit : ¥ 100million)

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Real Estate Private Funds	Short term investment s		Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others
2011/3	686	20	0	421	307	24	2	0	0	84	2	0	0	0	0	19	0	0	22	104	0	0	0	0	84
2012/3	585	14	0	367	284	16	3	0	0	108	1	0	0	0	0	27	0	0	22	234	2	0	0	0	0
2013/3	543	23	0	448	273	28	0	0	0	125	0	0	0	0	0	57	0	0	13	282	0	0	0	0	0
2014/3	507	32	0	435	278	40	0	0	0	225	0	0	0	0	0	70	0	0	5	159	0	0	0	0	209
2015/3	413	25	0	236	274	42	1	0	0	133	0	0	0	0	0	57	0	0	5	350	0	0	0	1	0
2016/3	292	3	0	80	307	10	0	0	0	114	0	0	0	0	0	18	0	0	0	234	0	0	0	0	0
2017/3	366	2	0	257	224	13	0	0	0	120	0	0	0	0	0	14	0	0	0	241	0	0	0	0	156
2017/6	373	2	7	199	272	10	0	0	0	128	0	0	0	0	0	13	0	0	0	227	0	0	0	0	153
2017/9	428	1	151	223	341	10	0	0	0	120	13	0	0	0	0	13	0	0	0	201	0	0	0	0	148

#### Percentage changes from the previous year (or term)

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds		Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others
2012/3	-14.7%	-28.7%	-	-12.7%	-7.4%	-33.8%	83.9%	-	-	29.6%	-73.5%	-100.0%	-	-	-	41.3%	-100.0%	-	-1.5%	125.6%	479.2%	-	-	-	-100.0%
2013/3	-7.2%	63.5%	-	21.9%	-4.0%	76.0%	-100.0%	_	_	15.5%	-100.0%	_	-	-	_	109.3%	-	_	-40.9%	20.9%	-100.0%	-100.0%	_	-	-
2014/3	-6.6%	41.1%	-	-2.8%	1.9%	45.8%	-	-	-	79.9%	-	-	-	-	-	24.4%	-	-	-63.2%	-43.6%	-	-	-	-	-
2015/3	-18.5%	-23.5%	-	-45.7%	-1.2%	3.5%	-	-	-	-41.1%	-	-	-	-	-	-18.7%	-	-	4.9%	119.8%	-	-	-	-	-100.0%
2016/3	-29.5%	-89.5%	-	-66.3%	12.0%	-75.0%	-72.4%	-	-	-14.1%	-	-	-	-	-	-68.4%	-	-	-93.0%	-33.3%	-100.0%	-	-	-100.0%	-
2017/3	25.7%	-5.8%	-	222.9%	-27.1%	24.1%	69.0%	-	-	5.4%	-	_	-	-	1	-22.8%	_	_	-2.9%	3.1%	-	-	_	-	_
2017/6	1.9%	-11.8%	-	-22.7%	21.3%	-19.5%	0.0%	-	-	6.7%	-	_	-	-	1	-8.8%	_	_	-70.6%	-5.6%	-	-	_	-	-1.8%
2017/9	14.6%	-36.6%	1961.1%	11.9%	25.6%	-5.4%	-100.0%	-	_	-6.4%	_	_	-	-	_	1.4%	_	_	-100.0%	-11.4%	_	_	_	_	-3.4%

#### Percentage

			Japan					USA					Europe					Asia					Others		
	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others	Stocks	Bonds	Real Estate Private Funds	Short term investment s	Others
2011/3	38.7%	1.1%	0.0%	23.7%	17.3%	1.3%	0.1%	0.0%	0.0%	4.7%	0.1%	0.0%	0.0%	0.0%	0.0%	1.1%	0.0%	0.0%	1.3%	5.8%	0.0%	0.0%	0.0%	0.0%	4.7%
2012/3	35.2%	0.8%	0.0%	22.1%	17.1%	0.9%	0.2%	0.0%	0.0%	6.5%	0.0%	0.0%	0.0%	0.0%	0.0%	1.6%	0.0%	0.0%	1.3%	14.1%	0.1%	0.0%	0.0%	0.0%	0.0%
2013/3	30.3%	1.3%	0.0%	25.0%	15.2%	1.5%	0.0%	0.0%	0.0%	7.0%	0.0%	0.0%	0.0%	0.0%	0.0%	3.2%	0.0%	0.0%	0.7%	15.8%	0.0%	0.0%	0.0%	0.0%	0.0%
2014/3	25.9%	1.6%	0.0%	22.2%	14.2%	2.1%	0.0%	0.0%	0.0%	11.5%	0.0%	0.0%	0.0%	0.0%	0.0%	3.6%	0.0%	0.0%	0.2%	8.1%	0.0%	0.0%	0.0%	0.0%	10.7%
2015/3	26.9%	1.6%	0.0%	15.4%	17.9%	2.7%	0.1%	0.0%	0.0%	8.6%	0.0%	0.0%	0.0%	0.0%	0.0%	3.7%	0.0%	0.0%	0.3%	22.8%	0.0%	0.0%	0.0%	0.0%	0.0%
2016/3	27.6%	0.2%	0.0%	7.5%	29.1%	1.0%	0.0%	0.0%	0.0%	10.8%	0.0%	0.0%	0.0%	0.0%	0.0%	1.7%	0.0%	0.0%	0.0%	22.1%	0.0%	0.0%	0.0%	0.0%	0.0%
2017/3	26.3%	0.2%	0.0%	18.4%	16.1%	0.9%	0.0%	0.0%	0.0%	8.6%	0.0%	0.0%	0.0%	0.0%	0.0%	1.0%	0.0%	0.0%	0.0%	17.3%	0.0%	0.0%	0.0%	0.0%	11.2%
2017/6	26.9%	0.2%	0.5%	14.4%	19.6%	0.8%	0.0%	0.0%	0.0%	9.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.9%	0.0%	0.0%	0.0%	16.4%	0.0%	0.0%	0.0%	0.0%	11.0%
2017/9	25.9%	0.1%	9.1%	13.5%	20.7%	0.6%	0.0%	0.0%	0.0%	7.3%	0.8%	0.0%	0.0%	0.0%	0.0%	0.8%	0.0%	0.0%	0.0%	12.2%	0.0%	0.0%	0.0%	0.0%	9.0%

## (4) Derivative Transactions

Exchange traded derivatives

(Unit: ¥ 100million)

	Futi	ıres	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

Over-the-counter derivatives

(Unit: ¥ 100million)

	Forwards	Options	Swaps	Others
2011/3	0	0	0	0
2012/3	0	0	0	0
2013/3	0	0	0	0
2014/3	0	0	0	0
2015/3	0	0	0	0
2016/3	0	0	0	0
2017/3	0	0	0	0
2017/6	0	0	0	0
2017/9	0	0	0	0

Overseas exchange traded derivatives

(Unit: ¥ 100million)

	Futı	ıres	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2011/3	0	0	0	0	0
2012/3	0	0	0	0	0
2013/3	0	0	0	0	0
2014/3	0	0	0	0	0
2015/3	0	0	0	0	0
2016/3	0	0	0	0	0
2017/3	0	0	0	0	0
2017/6	0	0	0	0	0
2017/9	0	0	0	0	0

# 6.Change of No.of Members

	No.of Discretionary Investment Advisory Firms	No.of Investment Advisory Firms
1994/3	150	150
1995/3	150	145
1996/3	147	142
1997/3	145	138
1998/3	137	121
1999/3	127	98
2000/3	125	90
2001/3	125	90
2002/3	128	86
2003/3	121	77
2004/3	118	76
2005/3	121	86
2006/3	133	116
2007/3	138	123
2008/3	155	145
2009/3	214	122
2010/3	227	123
2011/3	247	502
2012/3	246	543
2013/3	239	516
2014/3	245	490
2017/6	258	477
2017/9	269	476
2017/3	273	478
2017/6	273	484
2017/9	275	483

	Complaints			Consultations			Mediation			Total		
	To JIAA's members	To non- JIAA's members	Total	To JIAA's members	To non- JIAA's members	Total	To JIAA's members	To non- JIAA's members	Total	To JIAA's members	To non- JIAA's members	Total
1999/3	10	13	23	1	1	2				11	14	25
2000/3	6	4	10	4	5	9				10	9	19
2001/3	8	16	24	7	13	20				15	29	44
2002/3	15	6	21	13	27	40				28	33	61
2003/3	33	11	44	13	12	25		/	/	46	23	69
2004/3	31	15	46	21	13	34				52	28	80
2005/3	29	7	36	17	16	33				46	23	69
2006/3	19	6	25	14	13	27				33	19	52
2007/3	32	9	41	15	8	23	] /	/		47	17	64
2008/3	22	8	30	6	9	15				28	17	45
2009/3	30	5	35	5	6	11				35	11	46
2010/3	15	1	16	18	0	18				33	1	34
2011/3	16	0	16	42	9	51	5	0	5	63	9	72
2012/3	26	0	26	65	20	85	2	0	2	93	20	113
2013/3	21	0	21	71	17	88	1	0	1	93	17	110
2014/3	21	0	21	107	15	122	0	0	0	128	15	143
2015/3	17	0	17	117	16	133	1	0	1	135	16	151
2016/3	44	0	44	138	11	149	3	0	3	185	11	196
2017/3	54	0	54	150	19	169	5	0	5	209	19	228
2017/6	7	0	7	37	6	43	2	0	2	46	6	52
2017/9	14	0	14	31	13	44	1	0	1	46	13	59

<sup>\*</sup>The activities concerning complaints and consultations have been outsourced to Financial Instruments Mediation Assistance Center (FINMAC) since February 2010.