

Statistical Data, December 2016

Major data

| | Assets under management | Change from September 2016 |
|---|--------------------------|----------------------------------|
| Total | Yen 261 trillion | +Yen 26 trillion (+11.1%) |
| Discretionary Accounts | Yen 247 trillion | +Yen 26 trillion (+11.7%) |
| Discretionary assets | Yen 213 trillion | +Yen 27 trillion (+14.8%) |
| Assets under advice | Yen 34 trillion | -Yen 1 trillion (-4.2%) |
| Real Estate Private Funds | Yen 7,492 billion | -Yen 198 billion (-2.6%) |
| Discretionary assets | Yen 3,519 billion | -Yen 73 billion (-2.0%) |
| Assets under advice | Yen 3,973 billion | -Yen 125 billion (-3.1%) |
| Wrap Accounts | Yen 6,414 billion | +Yen 395 billion (+6.6%) |
| Discretionary assets | Yen 6,414 billion | +Yen 395 billion (+6.6%) |
| Assets under advice | Yen 0 billion | Yen 0 billion (-) |
| Collective Investment Scheme(excluding mutual Fund) | Yen 130 billion | +Yen 16 billion (+14.2%) |

Highlights

- ✓ The total amount of assets under management (AUM) of all member firms was Yen 261 trillion as of December 2016. It increased, Yen 26 trillion, from September 2016.
- ✓ The amount of AUM of discretionary accounts (including accounts under advice) was Yen 247 trillion and it increased by Yen 26 trillion from September 2016.
- ✓ The amount of AUM of real estate private funds, both discretionary investment management and investment advice, was Yen 7,492 billion and it decreased by Yen 198 billion from September 2016.

- ✓ The amount of AUM of wrap accounts was Yen 6,414 billion and it increased by Yen 395 billion from September 2016. Number of contracts was 539,274 and it increased by 11,228.
- ✓ The amount of AUM of collective investment schemes (excluding mutual funds) was Yen 130 billion and it increased by Yen 16 billion from September 2016.
- ✓ The amount of AUM of total discretionary assets increased by Yen 27 trillion, and total assets under advice decreased by Yen 1 trillion from September 2016.

(Summary Table)

1. Assets Under Management by Investment Management Members
 (Discretionary Accounts, Real Estate Private Funds, Wrap Accounts, Collective Investment Schemes(excluding mutual funds))

(Unit : ¥ 100million)

| | Discretionary Accounts | | | | | | Real Estate Private Funds | | | | | | Wrap Accounts | | | | | | Total | | | | | | |
|---------|------------------------|-----------|---------------------|---------|--------------------|-----------|---------------------------|--------|---------------------|--------|--------------------|--------|----------------------|--------|---------------------|--------|--------------------|--------|----------------------|-----------|---------------------|---------|--------------------|-----------|-----------|
| | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | |
| 2011/3 | 50,945 | 1,259,983 | 697 | 205,334 | 51,642 | 1,465,318 | 521 | 43,729 | 464 | 46,150 | 985 | 89,879 | | | | | | | | 51,466 | 1,303,713 | 1,161 | 251,484 | 52,627 | 1,555,197 |
| 2012/3 | 49,676 | 1,260,380 | 465 | 214,407 | 50,141 | 1,474,787 | 595 | 47,344 | 396 | 42,503 | 991 | 89,847 | | | | | | | | 50,271 | 1,307,724 | 861 | 256,910 | 51,132 | 1,564,634 |
| 2013/3 | 58,990 | 1,500,028 | 495 | 252,331 | 59,485 | 1,752,360 | 597 | 45,187 | 331 | 39,402 | 928 | 84,589 | | | | | | | | 59,587 | 1,545,215 | 826 | 291,733 | 60,413 | 1,836,948 |
| 2014/3 | 113,031 | 1,645,382 | 514 | 264,970 | 113,545 | 1,910,351 | 507 | 37,108 | 238 | 29,892 | 745 | 67,000 | | | | | | | | 113,538 | 1,682,490 | 752 | 294,861 | 114,290 | 1,977,351 |
| 2015/3 | 314,545 | 1,954,263 | 521 | 298,807 | 315,066 | 2,253,070 | 478 | 37,506 | 240 | 31,020 | 718 | 68,526 | | | | | | | | 315,023 | 1,991,769 | 761 | 329,826 | 315,784 | 2,321,596 |
| 2016/3 | 490,004 | 1,956,587 | 558 | 385,896 | 490,562 | 2,342,483 | 503 | 36,234 | 299 | 40,849 | 802 | 77,084 | | | | | | | | 490,507 | 1,992,821 | 857 | 426,745 | 491,364 | 2,419,566 |
| 2016/6 | 6,807 | 1,805,377 | 555 | 357,665 | 7,362 | 2,163,042 | 518 | 37,188 | 309 | 41,081 | 827 | 78,249 | 501,977 | 57,596 | 0 | 0 | 501,977 | 57,596 | 509,302 | 1,900,141 | 864 | 398,746 | 510,166 | 2,298,887 | |
| 2016/9 | 6,802 | 1,862,634 | 564 | 356,193 | 7,366 | 2,218,827 | 509 | 35,927 | 312 | 40,986 | 821 | 76,914 | 528,046 | 60,197 | 0 | 0 | 528,046 | 60,197 | 535,357 | 1,958,759 | 876 | 397,179 | 536,233 | 2,355,938 | |
| 2016/12 | 7,090 | 2,137,977 | 560 | 341,076 | 7,650 | 2,479,052 | 489 | 35,195 | 307 | 39,732 | 796 | 74,927 | 539,274 | 64,148 | 0 | 0 | 539,274 | 64,148 | 546,853 | 2,237,319 | 867 | 380,807 | 547,720 | 2,618,127 | |

| | Collective Investment Schemes(excluding mutual funds) | | Total | |
|---------|---|--------|--------------------|-----------|
| | Number of Contract | Amount | Number of Contract | Amount |
| 2011/3 | 104 | 1,774 | 52,731 | 1,556,971 |
| 2012/3 | 93 | 1,663 | 51,225 | 1,566,297 |
| 2013/3 | 83 | 1,791 | 60,496 | 1,838,739 |
| 2014/3 | 78 | 1,962 | 114,368 | 1,979,313 |
| 2015/3 | 64 | 1,537 | 315,848 | 2,323,133 |
| 2016/3 | 53 | 1,058 | 491,417 | 2,420,624 |
| 2016/6 | 55 | 1,102 | 510,221 | 2,299,989 |
| 2016/9 | 59 | 1,140 | 536,292 | 2,357,078 |
| 2016/12 | 58 | 1,302 | 547,778 | 2,619,428 |

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

| | Discretionary Accounts | | | | | | Real Estate Private Funds | | | | | | Wrap Accounts | | | | | | Total | | | | | | |
|---------|------------------------|--------|---------------------|--------|--------------------|--------|---------------------------|--------|---------------------|--------|--------------------|--------|----------------------|--------|---------------------|--------|--------------------|--------|----------------------|--------|---------------------|--------|--------------------|--------|-------|
| | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | |
| 2012/3 | -2.5% | 0.0% | -33.3% | 4.4% | -2.9% | 0.6% | 14.2% | 8.3% | -14.7% | -7.9% | 0.6% | 0.0% | | | | | | | | -2.3% | 0.3% | -25.8% | 2.2% | -2.8% | 0.6% |
| 2013/3 | 18.7% | 19.0% | 6.5% | 17.7% | 18.6% | 18.8% | 0.3% | -4.6% | -16.4% | -7.3% | -6.4% | -5.9% | | | | | | | | 18.5% | 18.2% | -4.1% | 13.6% | 18.2% | 17.4% |
| 2014/3 | 91.6% | 9.7% | 3.8% | 5.0% | 90.9% | 9.0% | -15.1% | -17.9% | -28.1% | -24.1% | -19.7% | -20.8% | | | | | | | | 90.5% | 8.9% | -9.0% | 1.1% | 89.2% | 7.6% |
| 2015/3 | 178.3% | 18.8% | 1.4% | 12.8% | 177.5% | 17.9% | -5.7% | 1.1% | 0.8% | 3.8% | -3.6% | 2.3% | | | | | | | | 177.5% | 18.4% | 1.2% | 11.9% | 176.3% | 17.4% |
| 2016/3 | 55.8% | 0.1% | 7.0% | 29.4% | 55.7% | 4.0% | 5.8% | -3.5% | 25.2% | 29.4% | 12.2% | 11.2% | | | | | | | | 55.7% | 0.1% | 12.6% | 29.4% | 55.6% | 4.2% |
| 2016/6 | -98.6% | -7.7% | -0.5% | -7.3% | -98.5% | -7.7% | 3.0% | 2.6% | 3.3% | 0.6% | 3.1% | 1.5% | | | | | | | | 3.8% | -4.7% | 0.8% | -6.6% | 3.8% | -5.0% |
| 2016/9 | -0.1% | 3.2% | 1.6% | -0.4% | 0.1% | 2.6% | -1.7% | -3.3% | 1.0% | -0.2% | -0.7% | -1.7% | 5.2% | 4.5% | 0.0% | 0.0% | 5.2% | 4.5% | 5.1% | 3.1% | 1.4% | -0.4% | 5.1% | 2.5% | |
| 2016/12 | 4.2% | 14.8% | -0.7% | -4.2% | 3.9% | 11.7% | -3.9% | -2.0% | -1.6% | -3.1% | -3.0% | -2.6% | 2.1% | 6.6% | 0.0% | 0.0% | 2.1% | 6.6% | 2.1% | 14.2% | -1.0% | -4.1% | 2.1% | 11.1% | |

| | Collective Investment Schemes(excluding mutual funds) | | Total | |
|---------|---|--------|--------------------|--------|
| | Number of Contract | Amount | Number of Contract | Amount |
| 2012/3 | -10.6% | -6.3% | -2.9% | 0.6% |
| 2013/3 | -10.8% | 7.7% | 18.1% | 17.4% |
| 2014/3 | -6.0% | 9.5% | 89.1% | 7.6% |
| 2015/3 | -17.9% | -21.6% | 176.2% | 17.4% |
| 2016/3 | -17.2% | -31.2% | 55.6% | 4.2% |
| 2016/6 | 3.8% | 4.2% | 3.8% | -5.0% |
| 2016/9 | 7.3% | 3.4% | 5.1% | 2.5% |
| 2016/12 | -1.7% | 14.2% | 2.1% | 11.1% |

(Appendix)

Table showing Assets Under Management of "Discretionary Accounts" and "Wrap Accounts" separately.

(Unit : ¥ 100million)

| | Discretionary Accounts | | | | | | Real Estate Private Funds | | | | | | Wrap Accounts | | | | | | Total | | | | | |
|---------|------------------------|-----------|---------------------|---------|--------------------|-----------|---------------------------|--------|---------------------|--------|--------------------|--------|----------------------|--------|---------------------|--------|--------------------|--------|----------------------|-----------|---------------------|---------|--------------------|-----------|
| | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount |
| | 2011/3 | 7,436 | 1,254,094 | 437 | 205,317 | 7,873 | 1,459,411 | 521 | 43,729 | 464 | 46,150 | 985 | 89,879 | 43,509 | 5,890 | 260 | 17 | 43,769 | 5,907 | 51,466 | 1,303,713 | 1,161 | 251,484 | 52,627 |
| 2012/3 | 7,209 | 1,254,581 | 460 | 214,401 | 7,669 | 1,468,983 | 595 | 47,344 | 396 | 42,503 | 991 | 89,847 | 42,467 | 5,799 | 5 | 6 | 42,472 | 5,805 | 50,271 | 1,307,724 | 861 | 256,910 | 51,132 | 1,564,634 |
| 2013/3 | 7,232 | 1,492,339 | 495 | 252,331 | 7,727 | 1,744,671 | 597 | 45,187 | 331 | 39,402 | 928 | 84,589 | 51,758 | 7,689 | 0 | 0 | 51,758 | 7,689 | 59,587 | 1,545,215 | 826 | 291,733 | 60,413 | 1,836,948 |
| 2014/3 | 7,325 | 1,631,622 | 514 | 264,970 | 7,839 | 1,896,591 | 507 | 37,108 | 238 | 29,892 | 745 | 67,000 | 105,706 | 13,760 | 0 | 0 | 105,706 | 13,760 | 113,538 | 1,682,490 | 752 | 294,861 | 114,290 | 1,977,351 |
| 2015/3 | 7,199 | 1,915,291 | 521 | 298,807 | 7,720 | 2,214,097 | 478 | 37,506 | 240 | 31,020 | 718 | 68,526 | 307,346 | 38,973 | 0 | 0 | 307,346 | 38,973 | 315,023 | 1,991,769 | 761 | 329,826 | 315,784 | 2,321,596 |
| 2016/3 | 7,787 | 1,898,811 | 554 | 385,896 | 8,341 | 2,284,707 | 503 | 36,234 | 299 | 40,849 | 802 | 77,084 | 482,217 | 57,776 | 4 | 0 | 482,221 | 57,776 | 490,507 | 1,992,821 | 857 | 426,745 | 491,364 | 2,419,566 |
| 2016/6 | 6,807 | 1,805,377 | 555 | 357,665 | 7,362 | 2,163,042 | 518 | 37,168 | 309 | 41,081 | 827 | 78,249 | 501,977 | 57,596 | 0 | 0 | 501,977 | 57,596 | 509,302 | 1,900,141 | 864 | 398,746 | 510,166 | 2,298,887 |
| 2016/9 | 6,802 | 1,862,634 | 564 | 356,193 | 7,366 | 2,218,827 | 509 | 35,927 | 312 | 40,986 | 821 | 76,914 | 528,046 | 60,197 | 0 | 0 | 528,046 | 60,197 | 535,357 | 1,958,759 | 876 | 397,179 | 536,233 | 2,355,938 |
| 2016/12 | 7,090 | 2,137,977 | 560 | 341,076 | 7,650 | 2,479,052 | 489 | 35,195 | 307 | 39,732 | 796 | 74,927 | 539,274 | 64,148 | 0 | 0 | 539,274 | 64,148 | 546,853 | 2,237,319 | 867 | 380,807 | 547,720 | 2,618,127 |

| | Collective Investment Schemes(excluding mutual funds) | | Total | |
|---------|---|--------|--------------------|-----------|
| | Number of Contract | Amount | Number of Contract | Amount |
| | 2011/3 | 104 | 1,774 | 52,731 |
| 2012/3 | 93 | 1,663 | 51,225 | 1,566,297 |
| 2013/3 | 83 | 1,791 | 60,496 | 1,838,739 |
| 2014/3 | 78 | 1,962 | 114,368 | 1,979,313 |
| 2015/3 | 64 | 1,537 | 315,848 | 2,323,133 |
| 2016/3 | 53 | 1,058 | 491,417 | 2,420,624 |
| 2016/6 | 55 | 1,102 | 510,221 | 2,299,989 |
| 2016/9 | 59 | 1,140 | 536,292 | 2,357,078 |
| 2016/12 | 58 | 1,302 | 547,778 | 2,619,428 |

Note:"Discretionary Accounts"includes accounts under advice.

Note:This table shows the figures of "Wrap Accounts" independently which is involved in those of "Discretionary Accounts"of previous page until 2016/3.

Percentage changes from the previous year (or term)

| | Discretionary and Advisory Accounts | | | | | | Real Estate Private Funds | | | | | | Wrap Accounts | | | | | | Total | | | | | | |
|---------|-------------------------------------|--------|---------------------|--------|--------------------|--------|---------------------------|--------|---------------------|--------|--------------------|--------|----------------------|--------|---------------------|---------|--------------------|--------|----------------------|--------|---------------------|--------|--------------------|--------|-------|
| | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | Discretionary Assets | | Assets Under Advice | | Total | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | |
| | 2012/3 | -3.1% | 0.0% | 5.3% | 4.4% | -2.6% | 0.7% | 14.2% | 8.3% | -14.7% | -7.9% | 0.6% | 0.0% | -2.4% | -1.5% | -98.1% | -64.2% | -3.0% | -1.7% | -2.3% | 0.3% | -25.8% | 2.2% | -2.8% | 0.6% |
| 2013/3 | 0.3% | 19.0% | 7.6% | 17.7% | 0.8% | 18.8% | 0.3% | -4.6% | -16.4% | -7.3% | -6.4% | -5.9% | 21.9% | 32.6% | -100.0% | -100.0% | 21.9% | 32.5% | 18.5% | 18.2% | -4.1% | 13.6% | 18.2% | 17.4% | |
| 2014/3 | 1.3% | 9.3% | 3.8% | 5.0% | 1.4% | 8.7% | -15.1% | -17.9% | -28.1% | -24.1% | -19.7% | -20.8% | 104.2% | 79.0% | - | - | 104.2% | 79.0% | 90.5% | 8.9% | -9.0% | 1.1% | 89.2% | 7.6% | |
| 2015/3 | -1.7% | 17.4% | 1.4% | 12.8% | -1.5% | 16.7% | -5.7% | 1.1% | 0.8% | 3.8% | -3.6% | 2.3% | 190.8% | 183.2% | - | - | 190.8% | 183.2% | 177.5% | 18.4% | 1.2% | 11.9% | 176.3% | 17.4% | |
| 2016/3 | 8.2% | -0.9% | 6.3% | 29.1% | 8.0% | 3.2% | 5.2% | -3.4% | 24.6% | 31.7% | 11.7% | 12.5% | 56.9% | 48.2% | - | - | 56.9% | 48.2% | 55.7% | 0.1% | 12.6% | 29.4% | 55.6% | 4.2% | |
| 2016/6 | -12.6% | -4.9% | 0.2% | -7.3% | -11.7% | -5.3% | 3.0% | 2.6% | 3.3% | 0.6% | 3.1% | 1.5% | 4.1% | -0.3% | -100.0% | - | - | 4.1% | -0.3% | 3.8% | -4.7% | 0.8% | -6.6% | 3.8% | -5.0% |
| 2016/9 | -0.1% | 3.2% | 1.6% | -0.4% | 0.1% | 2.6% | -1.7% | -3.3% | 1.0% | -0.2% | -0.7% | -1.7% | 5.2% | 4.5% | - | - | 5.2% | 4.5% | 9.1% | -1.7% | 2.2% | -6.9% | 9.1% | -2.6% | |
| 2016/12 | 4.2% | 14.8% | -0.7% | -4.2% | 3.9% | 11.7% | -3.9% | -2.0% | -1.6% | -3.1% | -3.0% | -2.6% | 2.1% | 6.6% | - | - | 2.1% | 6.6% | 2.1% | 14.2% | -1.0% | -4.1% | 2.1% | 11.1% | |

| | Collective Investment Schemes(excluding mutual funds) | | Total | |
|---------|---|--------|--------------------|--------|
| | Number of Contract | Amount | Number of Contract | Amount |
| | 2012/3 | -10.6% | -6.3% | -2.9% |
| 2013/3 | -10.8% | 7.7% | 18.1% | 17.4% |
| 2014/3 | -6.0% | 9.5% | 89.1% | 7.6% |
| 2015/3 | -17.9% | -21.6% | 176.2% | 17.4% |
| 2016/3 | -17.2% | -31.2% | 55.6% | 4.2% |
| 2016/6 | 3.8% | 4.2% | 3.8% | -5.0% |
| 2016/9 | 7.3% | 3.4% | 5.1% | 2.5% |
| 2016/12 | -1.7% | 14.2% | 2.1% | 11.1% |

2.Discretionary Accounts

(1)Assets Under Management (Discretionary Assets)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | | | | | | | Overseas Clients | | | | | | | | | | Total (Discretionary Assets) | | | | | |
|---------|----------------------|-----------|-----------------------|---------|--------------------|---------|--------------------|-----------|--------------------|--------|--------------------|-----------|--------------------|--------|--------------------|---------|--------------------|---------|--------------------|--------|--------------------|---------|---------------------------------|-----------|--------------------|--------|--------------------|--------|
| | Corporations | | | | | | | | Individuals | | | | Total | | | | Corporations | | | | | | | | Individuals | | Total | |
| | Public Pension Funds | | Private Pension Funds | | Others | | Sub-total | | | | | | | | Pension Funds | | Others | | Sub-total | | | | | | | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | | Number of Contract | Amount | Number of Contract | Amount |
| 2011/3 | 249 | 591,973 | 5,028 | 236,603 | 1,554 | 233,522 | 6,831 | 1,062,098 | 43,123 | 5,184 | 49,954 | 1,067,282 | 136 | 14,868 | 772 | 177,619 | 908 | 192,487 | 83 | 215 | 991 | 192,702 | 50,945 | 1,259,983 | | | | |
| 2012/3 | 249 | 564,303 | 4,990 | 226,744 | 1,403 | 265,712 | 6,642 | 1,056,759 | 42,098 | 5,136 | 48,740 | 1,061,895 | 116 | 13,799 | 737 | 184,475 | 853 | 198,274 | 83 | 211 | 936 | 198,485 | 49,676 | 1,260,380 | | | | |
| 2013/3 | 234 | 670,079 | 4,960 | 252,753 | 1,435 | 372,535 | 6,629 | 1,295,367 | 51,442 | 6,740 | 58,071 | 1,302,107 | 123 | 16,490 | 760 | 181,332 | 883 | 197,822 | 36 | 99 | 919 | 197,921 | 58,990 | 1,500,028 | | | | |
| 2014/3 | 220 | 783,901 | 4,822 | 264,224 | 1,786 | 346,258 | 6,828 | 1,394,382 | 105,173 | 12,373 | 112,001 | 1,406,755 | 125 | 21,209 | 867 | 217,278 | 992 | 238,487 | 38 | 139 | 1,030 | 238,626 | 113,031 | 1,645,382 | | | | |
| 2015/3 | 260 | 877,976 | 4,537 | 283,596 | 4,687 | 433,066 | 9,484 | 1,594,638 | 303,967 | 35,264 | 313,451 | 1,629,902 | 126 | 26,572 | 938 | 297,661 | 1,064 | 324,233 | 30 | 128 | 1,094 | 324,361 | 314,545 | 1,954,263 | | | | |
| 2016/3 | 482 | 938,304 | 4,256 | 250,863 | 6,959 | 433,194 | 11,697 | 1,622,361 | 477,165 | 52,644 | 488,862 | 1,675,006 | 127 | 19,955 | 987 | 261,503 | 1,114 | 281,458 | 28 | 123 | 1,142 | 281,581 | 490,004 | 1,956,587 | | | | |
| 2016/6 | 490 | 884,525 | 4,203 | 239,718 | 973 | 425,547 | 5,666 | 1,549,791 | 165 | 181 | 5,831 | 1,549,972 | 67 | 14,749 | 886 | 240,555 | 953 | 255,305 | 23 | 101 | 976 | 255,406 | 6,807 | 1,805,377 | | | | |
| 2016/9 | 508 | 914,475 | 4,200 | 241,748 | 965 | 421,097 | 5,673 | 1,577,320 | 122 | 179 | 5,795 | 1,577,499 | 74 | 16,593 | 910 | 268,435 | 984 | 285,028 | 23 | 107 | 1,007 | 285,135 | 6,802 | 1,862,634 | | | | |
| 2016/12 | 528 | 1,019,473 | 4,182 | 252,920 | 1,237 | 552,769 | 5,947 | 1,825,161 | 123 | 195 | 6,070 | 1,825,356 | 77 | 19,821 | 919 | 292,698 | 996 | 312,519 | 24 | 101 | 1,020 | 312,620 | 7,090 | 2,137,977 | | | | |

Note:Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | | | | | | | Overseas Clients | | | | | | | | | | Total (Discretionary Assets) | | | | | |
|---------|----------------------|--------|-----------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|-------|--------------------|--------|--------------------|--------|
| | Corporations | | | | | | | | Individuals | | | | Total | | | | Corporations | | | | | | | | Individuals | | Total | |
| | Public Pension Funds | | Private Pension Funds | | Others | | Sub-total | | | | | | | | Pension Funds | | Others | | Sub-total | | | | | | | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | | Number of Contract | Amount | Number of Contract | Amount |
| 2012/3 | 0.0% | -4.7% | -0.8% | -4.2% | -9.7% | 13.8% | -2.8% | -0.5% | -2.4% | -0.9% | -2.4% | -0.5% | -14.7% | -7.2% | -4.5% | 3.9% | -6.1% | 3.0% | 0.0% | -1.8% | -5.5% | 3.0% | -2.5% | 0.0% | | | | |
| 2013/3 | -6.0% | 18.7% | -0.6% | 11.5% | 2.3% | 40.2% | -0.2% | 22.6% | 22.2% | 31.2% | 19.1% | 22.6% | 6.0% | 19.5% | 3.1% | -1.7% | 3.5% | -0.2% | -56.6% | -52.8% | -1.8% | -0.3% | 18.7% | 19.0% | | | | |
| 2014/3 | -6.0% | 17.0% | -2.8% | 4.5% | 24.5% | -7.1% | 3.0% | 7.6% | 104.4% | 83.6% | 92.9% | 8.0% | 1.6% | 28.6% | 14.1% | 19.8% | 12.3% | 20.6% | 5.6% | 39.7% | 12.1% | 20.6% | 91.6% | 9.7% | | | | |
| 2015/3 | 18.2% | 12.0% | -5.9% | 7.3% | 162.4% | 25.1% | 38.9% | 14.4% | 189.0% | 185.0% | 179.9% | 15.9% | 0.8% | 25.3% | 8.2% | 37.0% | 7.3% | 36.0% | -21.1% | -7.8% | 6.2% | 35.9% | 178.3% | 18.8% | | | | |
| 2016/3 | 85.4% | 6.9% | -6.2% | -11.5% | 48.5% | 0.0% | 23.3% | 1.7% | 57.0% | 49.3% | 56.0% | 2.8% | 0.8% | -24.9% | 5.2% | -12.1% | 4.7% | -13.2% | -6.7% | -3.8% | 4.4% | -13.2% | 55.8% | 0.1% | | | | |
| 2016/6 | 1.7% | -5.7% | -1.2% | -4.4% | -86.0% | -1.8% | -51.6% | -4.5% | -100.0% | -99.7% | -98.8% | -7.5% | -47.2% | -26.1% | -10.2% | -8.0% | -14.5% | -9.3% | -17.9% | -18.1% | -14.5% | -9.3% | -98.6% | -7.7% | | | | |
| 2016/9 | 3.7% | 3.4% | -0.1% | 0.8% | -0.8% | -1.0% | 0.1% | 1.8% | -26.1% | -1.3% | -0.6% | 1.8% | 10.4% | 12.5% | 2.7% | 11.6% | 3.3% | 11.6% | 0.0% | 5.7% | 3.2% | 11.6% | -0.1% | 3.2% | | | | |
| 2016/12 | 3.9% | 11.5% | -0.4% | 4.6% | 28.2% | 31.3% | 4.8% | 15.7% | 0.8% | 9.2% | 4.7% | 15.7% | 4.1% | 19.5% | 1.0% | 9.0% | 1.2% | 9.6% | 4.3% | -5.1% | 1.3% | 9.6% | 4.2% | 14.8% | | | | |

2. Discretionary Accounts

(2) Assets Under Management (Assets Under Advice)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | | | | | | | Overseas Clients | | | | | | | | | | Total (Discretionary Assets) | |
|---------|----------------------|--------|-----------------------|--------|--------------------|---------|--------------------|---------|--------------------|--------|--------------------|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|---------|
| | Corporations | | | | | | | | Individuals | | Total | | Corporations | | | | | | Individuals | | Total | | | |
| | Public Pension Funds | | Private Pension Funds | | Others | | Sub-total | | | | | | Pension Funds | | Others | | Sub-total | | | | | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | |
| 2011/3 | 0 | 0 | 5 | 14,743 | 210 | 158,069 | 215 | 172,812 | 257 | 15 | 472 | 172,827 | 13 | 6,348 | 212 | 26,159 | 225 | 32,507 | 0 | 0 | 225 | 32,507 | 697 | 205,334 |
| 2012/3 | 0 | 0 | 7 | 17,311 | 213 | 163,570 | 220 | 180,881 | 8 | 10 | 228 | 180,891 | 10 | 5,799 | 227 | 27,718 | 237 | 33,517 | 0 | 0 | 237 | 33,517 | 465 | 214,407 |
| 2013/3 | 0 | 0 | 7 | 19,290 | 258 | 199,177 | 265 | 218,468 | 7 | 28 | 272 | 218,495 | 14 | 7,331 | 209 | 26,505 | 223 | 33,836 | 0 | 0 | 223 | 33,836 | 495 | 252,331 |
| 2014/3 | 0 | 0 | 7 | 21,782 | 274 | 209,205 | 281 | 230,986 | 10 | 147 | 291 | 231,133 | 20 | 8,229 | 203 | 25,608 | 223 | 33,837 | 0 | 0 | 223 | 33,837 | 514 | 264,970 |
| 2015/3 | 0 | 0 | 5 | 22,422 | 291 | 237,849 | 296 | 260,270 | 9 | 112 | 305 | 260,382 | 16 | 8,566 | 200 | 29,859 | 216 | 38,425 | 0 | 0 | 216 | 38,425 | 521 | 298,807 |
| 2016/3 | 0 | 0 | 5 | 22,449 | 321 | 324,061 | 326 | 346,510 | 15 | 47 | 341 | 346,557 | 14 | 3,504 | 203 | 35,835 | 217 | 39,339 | 0 | 0 | 217 | 39,339 | 558 | 385,896 |
| 2016/6 | 0 | 0 | 5 | 22,045 | 325 | 303,819 | 330 | 325,864 | 11 | 44 | 341 | 325,908 | 11 | 3,291 | 203 | 28,466 | 214 | 31,757 | 0 | 0 | 214 | 31,757 | 555 | 357,665 |
| 2016/9 | 0 | 0 | 5 | 22,297 | 333 | 300,857 | 338 | 323,154 | 11 | 43 | 349 | 323,197 | 11 | 3,688 | 203 | 29,303 | 214 | 32,990 | 1 | 5 | 215 | 32,995 | 564 | 356,193 |
| 2016/12 | 0 | 0 | 5 | 22,366 | 329 | 282,607 | 334 | 304,973 | 12 | 48 | 346 | 305,021 | 11 | 4,104 | 202 | 31,944 | 213 | 36,048 | 1 | 6 | 214 | 36,054 | 560 | 341,076 |

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | | | | | | | Overseas Clients | | | | | | | | | | Total (Discretionary Assets) | |
|---------|----------------------|--------|-----------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|-------|
| | Corporations | | | | | | | | Individuals | | Total | | Corporations | | | | | | Individuals | | Total | | | |
| | Public Pension Funds | | Private Pension Funds | | Others | | Sub-total | | | | | | Pension Funds | | Others | | Sub-total | | | | | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | |
| 2012/3 | - | - | 40.0% | 17.4% | 1.4% | 3.5% | 2.3% | 4.7% | -96.9% | -37.3% | -51.7% | 4.7% | -23.1% | -8.7% | 7.1% | 6.0% | 5.3% | 3.1% | - | - | 5.3% | 3.1% | -33.3% | 4.4% |
| 2013/3 | - | - | 0.0% | 11.4% | 21.1% | 21.8% | 20.4% | 20.8% | -12.5% | 186.6% | 19.3% | 20.8% | 40.0% | 26.4% | -7.9% | -4.4% | -5.9% | 1.0% | - | - | -5.9% | 1.0% | 6.4% | 17.7% |
| 2014/3 | - | - | 0.0% | 12.9% | 6.2% | 5.0% | 6.0% | 5.7% | 42.9% | 432.9% | 7.0% | 5.8% | 42.9% | 12.2% | -2.9% | -3.4% | 0.0% | 0.0% | - | - | 0.0% | 0.0% | 3.8% | 5.0% |
| 2015/3 | - | - | -28.6% | 2.9% | 6.2% | 13.7% | 5.3% | 12.7% | -10.0% | -23.9% | 4.8% | 12.7% | -20.0% | 4.1% | -1.5% | 16.6% | -3.1% | 13.6% | - | - | -3.1% | 13.6% | 1.4% | 12.8% |
| 2016/3 | - | - | 0.0% | 0.1% | 10.3% | 36.2% | 10.1% | 33.1% | 66.7% | -58.0% | 11.8% | 33.1% | -12.5% | -59.1% | 1.5% | 20.0% | 0.5% | 2.4% | - | - | 0.5% | 2.4% | 7.1% | 29.1% |
| 2016/6 | - | - | 0.0% | -1.8% | 1.2% | -6.2% | 1.2% | -6.0% | -26.7% | -5.5% | 0.0% | -6.0% | -21.4% | -6.1% | 0.0% | -20.6% | -1.4% | -19.3% | - | - | -1.4% | -19.3% | -0.5% | -7.3% |
| 2016/9 | - | - | 0.0% | 1.1% | 2.5% | -1.0% | 2.4% | -0.8% | 0.0% | -3.3% | 2.3% | -0.8% | 0.0% | 12.1% | 0.0% | 2.9% | 0.0% | 3.9% | - | - | 0.5% | 3.9% | 1.6% | -0.4% |
| 2016/12 | - | - | 0.0% | 0.3% | -1.2% | -6.1% | -1.2% | -5.6% | 9.1% | 12.7% | -0.9% | -5.6% | 0.0% | 11.3% | -0.5% | 9.0% | -0.5% | 9.3% | 0.0% | 15.2% | -0.5% | 9.3% | -0.7% | -4.2% |

2. Discretionary Accounts

(3) Total Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | | | | | | | Overseas Clients | | | | | | | | | | Total (Discretionary Assets) | |
|---------|----------------------|-----------|-----------------------|---------|--------------------|---------|--------------------|-----------|--------------------|--------|--------------------|-----------|--------------------|--------|--------------------|---------|--------------------|---------|--------------------|--------|--------------------|---------|---------------------------------|-----------|
| | Corporations | | | | | | | | Individuals | | Total | | Corporations | | | | | | Individuals | | Total | | | |
| | Public Pension Funds | | Private Pension Funds | | Others | | Sub-total | | | | | | Pension Funds | | Others | | Sub-total | | | | | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | |
| 2011/3 | 249 | 591,973 | 5,033 | 251,345 | 1,764 | 391,591 | 7,046 | 1,234,910 | 43,380 | 5,199 | 50,426 | 1,240,109 | 149 | 21,216 | 984 | 203,779 | 1,133 | 224,994 | 83 | 215 | 1,216 | 225,209 | 51,642 | 1,465,318 |
| 2012/3 | 249 | 564,303 | 4,997 | 244,055 | 1,616 | 429,282 | 6,862 | 1,237,640 | 42,106 | 5,146 | 48,968 | 1,242,786 | 126 | 19,598 | 964 | 212,193 | 1,090 | 231,791 | 83 | 211 | 1,173 | 232,001 | 50,141 | 1,474,787 |
| 2013/3 | 234 | 670,079 | 4,967 | 272,044 | 1,693 | 571,712 | 6,894 | 1,513,835 | 51,449 | 6,767 | 58,343 | 1,520,602 | 137 | 23,821 | 969 | 207,837 | 1,106 | 231,658 | 36 | 99 | 1,142 | 231,757 | 59,485 | 1,752,360 |
| 2014/3 | 220 | 783,901 | 4,829 | 286,005 | 2,060 | 555,462 | 7,109 | 1,625,368 | 105,183 | 12,520 | 112,292 | 1,637,888 | 145 | 29,438 | 1,070 | 242,886 | 1,215 | 272,324 | 38 | 139 | 1,253 | 272,463 | 113,545 | 1,910,351 |
| 2015/3 | 260 | 877,976 | 4,542 | 306,017 | 4,978 | 670,915 | 9,780 | 1,854,908 | 303,976 | 35,376 | 313,756 | 1,890,284 | 142 | 35,137 | 1,138 | 327,520 | 1,280 | 362,658 | 30 | 128 | 1,310 | 362,786 | 315,066 | 2,253,070 |
| 2016/3 | 482 | 938,304 | 4,261 | 273,312 | 7,280 | 757,255 | 12,023 | 1,968,872 | 477,180 | 52,691 | 489,203 | 2,021,563 | 141 | 23,459 | 1,190 | 297,338 | 1,331 | 320,797 | 28 | 123 | 1,359 | 320,920 | 490,562 | 2,342,483 |
| 2016/6 | 490 | 884,525 | 4,208 | 261,763 | 1,298 | 729,366 | 5,996 | 1,875,654 | 176 | 226 | 6,172 | 1,875,880 | 78 | 18,040 | 1,089 | 269,021 | 1,167 | 287,061 | 23 | 101 | 1,190 | 287,162 | 7,362 | 2,163,042 |
| 2016/9 | 508 | 914,475 | 4,205 | 264,045 | 1,298 | 721,954 | 6,011 | 1,900,475 | 133 | 222 | 6,144 | 1,900,697 | 85 | 20,281 | 1,113 | 297,738 | 1,198 | 318,019 | 24 | 112 | 1,222 | 318,130 | 7,366 | 2,218,827 |
| 2016/12 | 528 | 1,019,473 | 4,187 | 275,286 | 1,566 | 835,376 | 6,281 | 2,130,134 | 135 | 244 | 6,416 | 2,130,378 | 88 | 23,926 | 1,121 | 324,642 | 1,209 | 348,568 | 25 | 107 | 1,234 | 348,675 | 7,650 | 2,479,052 |

Note: "Discretionary Accounts" includes accounts under advice.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | | | | | | | Overseas Clients | | | | | | | | | | Total (Discretionary Assets) | |
|---------|----------------------|--------|-----------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|-------|
| | Corporations | | | | | | | | Individuals | | Total | | Corporations | | | | | | Individuals | | Total | | | |
| | Public Pension Funds | | Private Pension Funds | | Others | | Sub-total | | | | | | Pension Funds | | Others | | Sub-total | | | | | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | |
| 2012/3 | 0.0% | -4.7% | -0.7% | -2.9% | -8.4% | 9.6% | -2.6% | 0.2% | -2.9% | -1.0% | -2.9% | 0.2% | -15.4% | -7.6% | -2.0% | 4.1% | -3.8% | 3.0% | 0.0% | -1.8% | -3.5% | 3.0% | -2.9% | 0.6% |
| 2013/3 | -6.0% | 18.7% | -0.6% | 11.5% | 4.8% | 33.2% | 0.5% | 22.3% | 22.2% | 31.5% | 19.1% | 22.4% | 8.7% | 21.6% | 0.5% | -2.1% | 1.5% | -0.1% | -56.6% | -52.8% | -2.6% | -0.1% | 18.6% | 18.8% |
| 2014/3 | -6.0% | 17.0% | -2.8% | 5.1% | 21.7% | -2.8% | 3.1% | 7.4% | 104.4% | 85.0% | 92.5% | 7.7% | 5.8% | 23.6% | 10.4% | 16.9% | 9.9% | 17.6% | 5.6% | 39.7% | 9.7% | 17.6% | 90.9% | 9.0% |
| 2015/3 | 18.2% | 12.0% | -5.9% | 7.0% | 141.7% | 20.8% | 37.6% | 14.1% | 189.0% | 182.6% | 179.4% | 15.4% | -2.1% | 19.4% | 6.4% | 34.8% | 5.3% | 33.2% | -21.1% | -7.8% | 4.5% | 33.2% | 177.5% | 17.9% |
| 2016/3 | 85.4% | 6.9% | -6.2% | -10.7% | 46.2% | 12.9% | 22.9% | 6.1% | 57.0% | 48.9% | 55.9% | 6.9% | -0.7% | -33.2% | 4.6% | -9.2% | 4.0% | -11.5% | -6.7% | -3.8% | 3.7% | -11.5% | 55.7% | 4.0% |
| 2016/6 | 1.7% | -5.7% | -1.2% | -4.2% | -82.2% | -3.7% | -50.1% | -4.7% | -100.0% | -99.6% | -98.7% | -7.2% | -44.7% | -23.1% | -8.5% | -9.5% | -12.3% | -10.5% | -17.9% | -18.1% | -12.4% | -10.5% | -98.5% | -7.7% |
| 2016/9 | 3.7% | 3.4% | -0.1% | 0.9% | 0.0% | -1.0% | 0.3% | 1.3% | -24.4% | -1.7% | -0.5% | 1.3% | 9.0% | 12.4% | 2.2% | 10.7% | 2.7% | 10.8% | 4.3% | 10.7% | 2.7% | 10.8% | 0.1% | 2.6% |
| 2016/12 | 3.9% | 11.5% | -0.4% | 4.3% | 20.6% | 15.7% | 4.5% | 12.1% | 1.5% | 9.9% | 4.4% | 12.1% | 3.5% | 18.0% | 0.7% | 9.0% | 0.9% | 9.6% | 4.2% | -4.1% | 1.0% | 9.6% | 3.9% | 11.7% |

2. Discretionary Accounts

(4) Assets Under Management by Asset Class

① Number of Contract

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|---------|----------|-------|--------|--------|-------|---------|---------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2011/3 | 3,015 | 546 | 20,191 | 707 | 781 | 740 | 351 | 320 | 24,294 | 50,945 |
| 2012/3 | 2,499 | 510 | 19,347 | 711 | 835 | 778 | 348 | 375 | 24,273 | 49,676 |
| 2013/3 | 2,180 | 586 | 24,080 | 640 | 912 | 805 | 352 | 441 | 28,994 | 58,990 |
| 2014/3 | 2,208 | 567 | 41,159 | 637 | 1,010 | 808 | 323 | 494 | 65,825 | 113,031 |
| 2015/3 | 2,641 | 658 | 87,657 | 596 | 1,047 | 804 | 308 | 524 | 220,310 | 314,545 |
| 2016/3 | 2,893 | 587 | 118,504 | 594 | 1,098 | 897 | 313 | 574 | 364,544 | 490,004 |
| 2016/6 | 1,438 | 550 | 412 | 574 | 1,061 | 758 | 308 | 319 | 1,387 | 6,807 |
| 2016/9 | 1,392 | 588 | 411 | 554 | 1,062 | 763 | 307 | 327 | 1,398 | 6,802 |
| 2016/12 | 1,467 | 724 | 416 | 578 | 1,089 | 786 | 292 | 328 | 1,410 | 7,090 |

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|--------|--------|----------|-------|--------|--------|--------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2012/3 | -17.1% | -6.6% | -4.2% | 0.6% | 6.9% | 5.1% | -0.9% | 17.2% | -0.1% | -2.5% |
| 2013/3 | -12.8% | 14.9% | 24.5% | -10.0% | 9.2% | 3.5% | 1.1% | 17.6% | 19.4% | 18.7% |
| 2014/3 | 1.3% | -3.2% | 70.9% | -0.5% | 10.7% | 0.4% | -8.2% | 12.0% | 127.0% | 91.6% |
| 2015/3 | 19.6% | 16.0% | 113.0% | -6.4% | 3.7% | -0.5% | -4.6% | 6.1% | 234.7% | 178.3% |
| 2016/3 | 9.5% | -10.8% | 35.2% | -0.3% | 4.9% | 11.6% | 1.6% | 9.5% | 65.5% | 55.8% |
| 2016/6 | -50.3% | -6.3% | -99.7% | -3.4% | -3.4% | -15.5% | -1.6% | -44.4% | -99.6% | -98.6% |
| 2016/9 | -3.2% | 6.9% | -0.2% | -3.5% | 0.1% | 0.7% | -0.3% | 2.5% | 0.8% | -0.1% |
| 2016/12 | 5.4% | 23.1% | 1.2% | 4.3% | 2.5% | 3.0% | -4.9% | 0.3% | 0.9% | 4.2% |

(Unit : ¥ 100million)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|---------|--------|----------|---------|--------|--------|--------|---------|-----------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2011/3 | 203,890 | 411,006 | 22,835 | 124,631 | 277,552 | 15,262 | 31,068 | 62,463 | 111,276 | 1,259,983 |
| 2012/3 | 200,102 | 384,832 | 22,630 | 118,708 | 289,187 | 15,022 | 29,031 | 61,601 | 139,267 | 1,260,380 |
| 2013/3 | 266,100 | 402,713 | 27,173 | 141,416 | 291,351 | 66,637 | 70,569 | 80,489 | 153,580 | 1,500,028 |
| 2014/3 | 347,276 | 419,646 | 28,780 | 176,486 | 307,187 | 69,771 | 82,152 | 83,249 | 130,835 | 1,645,382 |
| 2015/3 | 493,438 | 297,948 | 37,136 | 290,150 | 387,373 | 25,100 | 71,073 | 85,227 | 266,816 | 1,954,263 |
| 2016/3 | 484,417 | 325,143 | 41,066 | 299,860 | 384,086 | 28,194 | 54,870 | 88,690 | 250,262 | 1,956,587 |
| 2016/6 | 449,133 | 329,741 | 27,740 | 275,064 | 366,994 | 27,169 | 48,452 | 69,208 | 211,876 | 1,805,377 |
| 2016/9 | 476,694 | 313,348 | 27,905 | 283,855 | 367,470 | 28,523 | 48,906 | 95,098 | 220,836 | 1,862,634 |
| 2016/12 | 585,742 | 345,765 | 28,301 | 346,218 | 417,967 | 33,300 | 51,162 | 95,367 | 234,156 | 2,137,977 |

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|--------|--------|----------|-------|--------|--------|--------|--------|-------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2012/3 | -1.9% | -6.4% | -0.9% | -4.8% | 4.2% | -1.6% | -6.6% | -1.4% | 25.2% | 0.0% |
| 2013/3 | 33.0% | 4.6% | 20.1% | 19.1% | 0.7% | 343.6% | 143.1% | 30.7% | 10.3% | 19.0% |
| 2014/3 | 30.5% | 4.2% | 5.9% | 24.8% | 5.4% | 4.7% | 16.4% | 3.4% | -14.8% | 9.7% |
| 2015/3 | 42.1% | -29.0% | 29.0% | 64.4% | 26.1% | -64.0% | -13.5% | 2.4% | 103.9% | 18.8% |
| 2016/3 | -1.8% | 9.1% | 10.6% | 3.3% | -0.8% | 12.3% | -22.8% | 4.1% | -6.2% | 0.1% |
| 2016/6 | -7.3% | 1.4% | -32.5% | -8.3% | -4.4% | -3.6% | -11.7% | -22.0% | -15.3% | -7.7% |
| 2016/9 | 6.1% | -5.0% | 0.6% | 3.2% | 0.1% | 5.0% | 0.9% | 37.4% | 4.2% | 3.2% |
| 2016/12 | 22.9% | 10.3% | 1.4% | 22.0% | 13.7% | 16.7% | 4.6% | 0.3% | 6.0% | 14.8% |

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2011/3 | 16.2% | 32.6% | 1.8% | 9.9% | 22.0% | 1.2% | 2.5% | 5.0% | 8.8% | 100.0% |
| 2012/3 | 15.9% | 30.5% | 1.8% | 9.4% | 22.9% | 1.2% | 2.3% | 4.9% | 11.0% | 100.0% |
| 2013/3 | 17.7% | 26.8% | 1.8% | 9.4% | 19.4% | 4.4% | 4.7% | 5.4% | 10.2% | 100.0% |
| 2014/3 | 21.1% | 25.5% | 1.7% | 10.7% | 18.7% | 4.2% | 5.0% | 5.1% | 8.0% | 100.0% |
| 2015/3 | 25.2% | 15.2% | 1.9% | 14.8% | 19.8% | 1.3% | 3.6% | 4.4% | 13.7% | 100.0% |
| 2016/3 | 24.8% | 16.6% | 2.1% | 15.3% | 19.6% | 1.4% | 2.8% | 4.5% | 12.8% | 100.0% |
| 2016/6 | 24.9% | 18.3% | 1.5% | 15.2% | 20.3% | 1.5% | 2.7% | 3.8% | 11.7% | 100.0% |
| 2016/9 | 25.6% | 16.8% | 1.5% | 15.2% | 19.7% | 1.5% | 2.6% | 5.1% | 11.9% | 100.0% |
| 2016/12 | 27.4% | 16.2% | 1.3% | 16.2% | 19.5% | 1.6% | 2.4% | 4.5% | 11.0% | 100.0% |

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

2. Discretionary Accounts

(5) Assets Under Management by Size

① Number of Contract

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|---------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|---------|
| 2011/3 | 46,083 | 3,063 | 734 | 780 | 117 | 168 | 50,945 |
| 2012/3 | 44,999 | 2,951 | 682 | 773 | 107 | 164 | 49,676 |
| 2013/3 | 54,092 | 3,008 | 697 | 863 | 132 | 198 | 58,990 |
| 2014/3 | 107,999 | 3,003 | 758 | 894 | 172 | 205 | 113,031 |
| 2015/3 | 309,353 | 2,970 | 803 | 963 | 210 | 246 | 314,545 |
| 2016/3 | 484,854 | 2,846 | 777 | 1,070 | 199 | 258 | 490,004 |
| 2016/6 | 1,937 | 2,670 | 763 | 999 | 191 | 247 | 6,807 |
| 2016/9 | 1,917 | 2,659 | 754 | 1,031 | 190 | 251 | 6,802 |
| 2016/12 | 1,876 | 2,716 | 767 | 1,216 | 223 | 292 | 7,090 |

② Amount of Assets

(Unit : ¥ 100million)

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|---------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|-----------|
| 2011/3 | 15,979 | 70,427 | 51,267 | 165,996 | 82,592 | 873,721 | 1,259,983 |
| 2012/3 | 16,184 | 67,114 | 47,015 | 166,903 | 75,083 | 888,082 | 1,260,380 |
| 2013/3 | 16,583 | 69,054 | 48,265 | 190,049 | 91,215 | 1,084,863 | 1,500,028 |
| 2014/3 | 21,916 | 68,869 | 52,422 | 199,195 | 118,565 | 1,184,414 | 1,645,382 |
| 2015/3 | 45,024 | 68,276 | 55,641 | 214,893 | 147,611 | 1,422,818 | 1,954,263 |
| 2016/3 | 64,089 | 65,180 | 54,837 | 226,756 | 138,149 | 1,407,575 | 1,956,587 |
| 2016/6 | 8,644 | 60,894 | 54,066 | 213,454 | 131,105 | 1,337,215 | 1,805,377 |
| 2016/9 | 8,709 | 60,863 | 53,404 | 222,276 | 130,884 | 1,386,499 | 1,862,634 |
| 2016/12 | 8,151 | 62,704 | 53,544 | 265,536 | 158,521 | 1,589,521 | 2,137,977 |

Percentage changes from the previous year (or term)

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|---------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|--------|
| 2012/3 | -2.4% | -3.7% | -7.1% | -0.9% | -8.5% | -2.4% | -2.5% |
| 2013/3 | 20.2% | 1.9% | 2.2% | 11.6% | 23.4% | 20.7% | 18.7% |
| 2014/3 | 99.7% | -0.2% | 8.8% | 3.6% | 30.3% | 3.5% | 91.6% |
| 2015/3 | 186.4% | -1.1% | 5.9% | 7.7% | 22.1% | 20.0% | 178.3% |
| 2016/3 | 56.7% | -4.2% | -3.2% | 11.1% | -5.2% | 4.9% | 55.8% |
| 2016/6 | -99.6% | -6.2% | -1.8% | -6.6% | -4.0% | -4.3% | -98.6% |
| 2016/9 | -1.0% | -0.4% | -1.2% | 3.2% | -0.5% | 1.6% | -0.1% |
| 2016/12 | -2.1% | 2.1% | 1.7% | 17.9% | 17.4% | 16.3% | 4.2% |

Percentage changes from the previous year (or term)

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|---------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|-------|
| 2012/3 | 1.3% | -4.7% | -8.3% | 0.5% | -9.1% | 1.6% | 0.0% |
| 2013/3 | 2.5% | 2.9% | 2.7% | 13.9% | 21.5% | 22.2% | 19.0% |
| 2014/3 | 32.2% | -0.3% | 8.6% | 4.8% | 30.0% | 9.2% | 9.7% |
| 2015/3 | 105.4% | -0.9% | 6.1% | 7.9% | 24.5% | 20.1% | 18.8% |
| 2016/3 | 42.3% | -4.5% | -1.4% | 5.5% | -6.4% | -1.1% | 0.1% |
| 2016/6 | -86.5% | -6.6% | -1.4% | -5.9% | -5.1% | -5.0% | -7.7% |
| 2016/9 | 0.7% | -0.1% | -1.2% | 4.1% | -0.2% | 3.7% | 3.2% |
| 2016/12 | -6.4% | 3.0% | 0.3% | 19.5% | 21.1% | 14.6% | 14.8% |

Percentage

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|---------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|--------|
| 2011/3 | 90.5% | 6.0% | 1.4% | 1.5% | 0.2% | 0.3% | 100.0% |
| 2012/3 | 90.6% | 5.9% | 1.4% | 1.6% | 0.2% | 0.3% | 100.0% |
| 2013/3 | 91.7% | 5.1% | 1.2% | 1.5% | 0.2% | 0.3% | 100.0% |
| 2014/3 | 95.5% | 2.7% | 0.7% | 0.8% | 0.2% | 0.2% | 100.0% |
| 2015/3 | 98.3% | 0.9% | 0.3% | 0.3% | 0.1% | 0.1% | 100.0% |
| 2016/3 | 98.9% | 0.6% | 0.2% | 0.2% | 0.0% | 0.1% | 100.0% |
| 2016/6 | 28.5% | 39.2% | 11.2% | 14.7% | 2.8% | 3.6% | 100.0% |
| 2016/9 | 28.2% | 39.1% | 11.1% | 15.2% | 2.8% | 3.7% | 100.0% |
| 2016/12 | 26.5% | 38.3% | 10.8% | 17.2% | 3.1% | 4.1% | 100.0% |

Percentage

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|---------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|--------|
| 2011/3 | 1.3% | 5.6% | 4.1% | 13.2% | 6.6% | 69.3% | 100.0% |
| 2012/3 | 1.3% | 5.3% | 3.7% | 13.2% | 6.0% | 70.5% | 100.0% |
| 2013/3 | 1.1% | 4.6% | 3.2% | 12.7% | 6.1% | 72.3% | 100.0% |
| 2014/3 | 1.3% | 4.2% | 3.2% | 12.1% | 7.2% | 72.0% | 100.0% |
| 2015/3 | 2.3% | 3.5% | 2.8% | 11.0% | 7.6% | 72.8% | 100.0% |
| 2016/3 | 3.3% | 3.3% | 2.8% | 11.6% | 7.1% | 71.9% | 100.0% |
| 2016/6 | 0.5% | 3.4% | 3.0% | 11.8% | 7.3% | 74.1% | 100.0% |
| 2016/9 | 0.5% | 3.3% | 2.9% | 11.9% | 7.0% | 74.4% | 100.0% |
| 2016/12 | 0.4% | 2.9% | 2.5% | 12.4% | 7.4% | 74.3% | 100.0% |

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

2. Discretionary Accounts

(6) Assets Under Management by Country and Region

(Unit : ¥ 100million)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|---------|---------|---------------------------|------------------------|--------|---------|---------|---------------------------|------------------------|--------|--------|---------|---------------------------|------------------------|--------|--------|--------|---------------------------|------------------------|--------|--------|---------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2011/3 | 226,878 | 467,855 | 217 | 14,204 | 10,643 | 74,079 | 118,238 | 6 | 8,352 | 6,155 | 51,824 | 76,530 | 8 | 741 | 2,468 | 9,798 | 2,935 | 3 | 240 | 413 | 33,872 | 89,078 | 2 | 1,486 | 63,958 |
| 2012/3 | 219,850 | 461,283 | 577 | 13,111 | 9,489 | 75,197 | 128,836 | 7 | 11,291 | 5,685 | 43,954 | 74,711 | 6 | 1,354 | 2,366 | 10,526 | 7,955 | 3 | 118 | 544 | 32,867 | 92,994 | 0 | 1,796 | 65,860 |
| 2013/3 | 286,286 | 496,680 | 684 | 16,800 | 13,185 | 97,725 | 159,840 | 13 | 13,414 | 2,485 | 57,312 | 96,563 | 0 | 1,428 | 3,438 | 13,776 | 10,344 | 1 | 185 | 408 | 43,102 | 96,765 | 14 | 2,764 | 86,816 |
| 2014/3 | 367,125 | 477,271 | 895 | 17,600 | 13,208 | 127,667 | 166,327 | 39 | 10,377 | 7,418 | 73,699 | 125,457 | 0 | 2,982 | 2,874 | 13,987 | 8,256 | 1 | 254 | 652 | 49,474 | 97,572 | 12 | 3,300 | 78,934 |
| 2015/3 | 516,898 | 398,358 | 1,533 | 25,545 | 20,109 | 182,619 | 209,046 | 11 | 15,386 | 16,347 | 89,633 | 141,536 | 0 | 6,705 | 4,618 | 34,839 | 10,820 | 1 | 61 | 2,142 | 63,622 | 120,028 | 8 | 4,121 | 90,278 |
| 2016/3 | 498,556 | 439,187 | 1,194 | 22,666 | 20,282 | 188,519 | 213,819 | 104 | 13,501 | 14,116 | 87,369 | 136,364 | 21 | 1,090 | 4,368 | 31,301 | 11,633 | 2 | 108 | 1,605 | 56,407 | 125,686 | 21 | 4,690 | 83,979 |
| 2016/6 | 459,406 | 440,169 | 1,334 | 22,257 | 13,861 | 175,357 | 202,192 | 175 | 12,153 | 9,128 | 76,418 | 124,870 | 30 | 1,415 | 4,260 | 28,310 | 8,434 | 3 | 213 | 1,165 | 50,971 | 103,105 | 54 | 5,322 | 64,775 |
| 2016/9 | 489,626 | 454,170 | 1,438 | 18,551 | 20,991 | 180,426 | 201,052 | 234 | 15,150 | 4,679 | 79,624 | 125,388 | 64 | 1,219 | 3,976 | 30,234 | 8,677 | 5 | 312 | 1,115 | 50,511 | 105,178 | 75 | 4,664 | 65,276 |
| 2016/12 | 596,512 | 478,330 | 1,729 | 18,584 | 36,993 | 220,378 | 234,502 | 452 | 13,813 | 2,560 | 92,176 | 140,987 | 116 | 966 | 4,192 | 33,248 | 9,743 | 21 | 54 | 1,600 | 58,914 | 116,768 | 133 | 4,298 | 70,903 |

Percentage changes from the previous year (or term)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|--------|--------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|--------|---------------------------|------------------------|--------|--------|--------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2012/3 | -3.1% | -1.4% | 165.8% | -7.7% | -10.8% | 1.5% | 9.0% | 4.7% | 35.2% | -7.6% | -15.2% | -2.4% | -25.6% | 82.6% | -4.1% | 7.4% | 171.0% | -8.2% | -50.9% | 31.8% | -3.0% | 4.4% | -70.5% | 20.9% | 3.0% |
| 2013/3 | 30.2% | 7.7% | 18.5% | 28.1% | 38.9% | 30.0% | 24.1% | 90.0% | 18.8% | -56.3% | 30.4% | 29.2% | -100.0% | 5.5% | 45.3% | 30.9% | 30.0% | -59.8% | 57.2% | -25.0% | 31.1% | 4.1% | 2705.3% | 53.9% | 31.8% |
| 2014/3 | 28.2% | -3.9% | 30.9% | 4.8% | 0.2% | 30.6% | 4.1% | 201.6% | -22.6% | 198.5% | 28.6% | 29.9% | - | 108.7% | -16.4% | 1.5% | -20.2% | 6.0% | 36.9% | 59.7% | 14.8% | 0.8% | -11.5% | 19.4% | -9.1% |
| 2015/3 | 40.8% | -16.5% | 71.3% | 45.1% | 52.2% | 43.0% | 25.7% | -70.7% | 48.3% | 120.4% | 21.6% | 12.8% | - | 124.9% | 60.7% | 149.1% | 31.1% | -50.6% | -76.0% | 228.7% | 28.6% | 23.0% | -34.0% | 24.9% | 14.4% |
| 2016/3 | -3.5% | 10.2% | -22.1% | -11.3% | 0.9% | 3.2% | 2.3% | 814.3% | -12.3% | -13.7% | -2.5% | -3.7% | - | -83.7% | -5.4% | -10.2% | 7.5% | 280.6% | 77.4% | -25.1% | -11.3% | 4.7% | 167.9% | 13.8% | -7.0% |
| 2016/6 | -7.9% | 0.2% | 11.7% | -1.8% | -31.7% | -7.0% | -5.4% | 68.5% | -10.0% | -35.3% | -12.5% | -8.4% | 40.8% | 29.9% | -2.5% | -9.6% | -27.5% | 39.4% | 97.2% | -27.4% | -9.6% | -18.0% | 152.8% | 13.5% | -22.9% |
| 2016/9 | 6.6% | 3.2% | 7.8% | -16.7% | 51.4% | 2.9% | -0.6% | 33.9% | 24.7% | -48.7% | 4.2% | 0.4% | 112.9% | -13.8% | -6.7% | 6.8% | 2.9% | 40.8% | 46.5% | -4.4% | -0.9% | 2.0% | 38.0% | -12.4% | 0.8% |
| 2016/12 | 21.8% | 5.3% | 20.3% | 0.2% | 76.2% | 22.1% | 16.6% | 93.5% | -8.8% | -45.3% | 15.8% | 12.4% | 81.5% | -20.8% | 5.4% | 10.0% | 12.3% | 360.9% | -82.6% | 43.6% | 16.6% | 11.0% | 78.3% | -7.8% | 8.6% |

Percentage

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2011/3 | 18.0% | 37.1% | 0.0% | 1.1% | 0.8% | 5.9% | 9.4% | 0.0% | 0.7% | 0.5% | 4.1% | 6.1% | 0.0% | 0.1% | 0.2% | 0.8% | 0.2% | 0.0% | 0.0% | 0.0% | 2.7% | 7.1% | 0.0% | 0.1% | 5.1% |
| 2012/3 | 17.4% | 36.6% | 0.0% | 1.0% | 0.8% | 6.0% | 10.2% | 0.0% | 0.9% | 0.5% | 3.5% | 5.9% | 0.0% | 0.1% | 0.2% | 0.8% | 0.6% | 0.0% | 0.0% | 0.0% | 2.6% | 7.4% | 0.0% | 0.1% | 5.2% |
| 2013/3 | 19.1% | 33.1% | 0.0% | 1.1% | 0.9% | 6.5% | 10.7% | 0.0% | 0.9% | 0.2% | 3.8% | 6.4% | 0.0% | 0.1% | 0.2% | 0.9% | 0.7% | 0.0% | 0.0% | 0.0% | 2.9% | 6.5% | 0.0% | 0.2% | 5.8% |
| 2014/3 | 22.3% | 29.0% | 0.1% | 1.1% | 0.8% | 7.8% | 10.1% | 0.0% | 0.6% | 0.5% | 4.5% | 7.6% | 0.0% | 0.2% | 0.2% | 0.9% | 0.5% | 0.0% | 0.0% | 0.0% | 3.0% | 5.9% | 0.0% | 0.2% | 4.8% |
| 2015/3 | 26.4% | 20.4% | 0.1% | 1.3% | 1.0% | 9.3% | 10.7% | 0.0% | 0.8% | 0.8% | 4.6% | 7.2% | 0.0% | 0.3% | 0.2% | 1.8% | 0.6% | 0.0% | 0.0% | 0.1% | 3.3% | 6.1% | 0.0% | 0.2% | 4.6% |
| 2016/3 | 25.5% | 22.4% | 0.1% | 1.2% | 1.0% | 9.6% | 10.9% | 0.0% | 0.7% | 0.7% | 4.5% | 7.0% | 0.0% | 0.1% | 0.2% | 1.6% | 0.6% | 0.0% | 0.0% | 0.1% | 2.9% | 6.4% | 0.0% | 0.2% | 4.3% |
| 2016/6 | 25.4% | 24.4% | 0.1% | 1.2% | 0.8% | 9.7% | 11.2% | 0.0% | 0.7% | 0.5% | 4.2% | 6.9% | 0.0% | 0.1% | 0.2% | 1.6% | 0.5% | 0.0% | 0.0% | 0.1% | 2.8% | 5.7% | 0.0% | 0.3% | 3.6% |
| 2016/9 | 26.3% | 24.4% | 0.1% | 1.0% | 1.1% | 9.7% | 10.8% | 0.0% | 0.8% | 0.3% | 4.3% | 6.7% | 0.0% | 0.1% | 0.2% | 1.6% | 0.5% | 0.0% | 0.0% | 0.1% | 2.7% | 5.6% | 0.0% | 0.3% | 3.5% |
| 2016/12 | 27.9% | 22.4% | 0.1% | 0.9% | 1.7% | 10.3% | 11.0% | 0.0% | 0.6% | 0.1% | 4.3% | 6.6% | 0.0% | 0.0% | 0.2% | 1.6% | 0.5% | 0.0% | 0.0% | 0.1% | 2.8% | 5.5% | 0.0% | 0.2% | 3.3% |

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

2. Discretionary Accounts

(7) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|--------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2011/3 | 7,890 | 2,383 | 873 | 0 | 30,290 |
| 2012/3 | 5,429 | 2,772 | 920 | 8 | 176 |
| 2013/3 | 6,099 | 4,738 | 3,412 | 19 | 772 |
| 2014/3 | 6,642 | 4,153 | 1,162 | 0 | 38 |
| 2015/3 | 10,686 | 4,372 | 1,863 | 0 | 2,269 |
| 2016/3 | 9,354 | 7,573 | 943 | 2 | 1,303 |
| 2016/6 | 9,792 | 9,275 | 738 | 0 | 404 |
| 2016/9 | 9,912 | 10,785 | 1,223 | 0 | 223 |
| 2016/12 | 24,294 | 12,504 | 1,462 | 61 | 264 |

Over-the-counter derivatives

(Unit : ¥ 100million)

| | Forwards | Options | Swaps | Others |
|---------|----------|---------|--------|--------|
| 2011/3 | 163,838 | 8,838 | 76,220 | 5,375 |
| 2012/3 | 156,856 | 11,269 | 84,449 | 4,341 |
| 2013/3 | 162,108 | 12,242 | 89,728 | 8,522 |
| 2014/3 | 150,541 | 10,737 | 42,977 | 11,900 |
| 2015/3 | 169,693 | 23,762 | 37,436 | 5,396 |
| 2016/3 | 162,426 | 26,418 | 72,872 | 2,952 |
| 2016/6 | 139,006 | 30,609 | 55,074 | 3,002 |
| 2016/9 | 132,138 | 33,685 | 64,530 | 3,033 |
| 2016/12 | 142,332 | 5,504 | 70,389 | 3,905 |

Overseas exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|--------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2011/3 | 3,553 | 6,566 | 5 | 117 | 2,245 |
| 2012/3 | 1,709 | 7,302 | 3 | 498 | 1,404 |
| 2013/3 | 3,926 | 13,490 | 17 | 41 | 3,624 |
| 2014/3 | 4,165 | 12,262 | 217 | 52 | 5,505 |
| 2015/3 | 7,137 | 14,681 | 409 | 225 | 17,344 |
| 2016/3 | 5,681 | 27,444 | 65 | 178 | 9,916 |
| 2016/6 | 6,070 | 22,797 | 42 | 104 | 7,785 |
| 2016/9 | 6,393 | 22,472 | 128 | 13 | 12,677 |
| 2016/12 | 7,279 | 33,399 | 64 | 547 | 12,943 |

Note: Assets under management is based on discretionary investment management contracts.

Note: Assets under management of "Wrap Accounts" is included in those of "Discretionary Accounts" until 2016/3.

3. Real Estate Private Funds

(1) Assets Under Management (Discretionary Assets)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | | | Overseas Clients | | | | | | | | Total | | | | | | | | | | | |
|---------|-------------------------------------|--|--------|--|---------------------|--|--------|--|-------------------------------------|--|--------|--|---------------------|--|--------|--|-------------------------------------|--|--------|--|---------------------|--|--------|--|----|---|-----|----|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | | | | |
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | | | | |
| 2011/3 | 521 | 52 | 43,729 | 4,353 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 521 | 52 | 43,729 | 4,353 | 0 | 0 | 0 | 0 |
| 2012/3 | 589 | 55 | 47,290 | 4,716 | 6 | 3 | 54 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 589 | 55 | 47,290 | 4,716 | 6 | 3 | 54 | 9 |
| 2013/3 | 588 | 54 | 45,086 | 4,312 | 7 | 3 | 84 | 29 | 0 | 0 | 0 | 0 | 2 | 2 | 16 | 16 | 588 | 54 | 45,086 | 4,312 | 9 | 5 | 100 | 45 | 9 | 5 | 100 | 45 |
| 2014/3 | 499 | 52 | 36,997 | 2,404 | 6 | 3 | 94 | 30 | 0 | 0 | 0 | 0 | 2 | 2 | 17 | 17 | 499 | 52 | 36,997 | 2,404 | 8 | 5 | 111 | 47 | 8 | 5 | 111 | 47 |
| 2015/3 | 468 | 39 | 37,328 | 1,566 | 7 | 2 | 148 | 6 | 1 | 0 | 15 | 0 | 2 | 2 | 15 | 15 | 468 | 39 | 37,328 | 1,566 | 9 | 4 | 163 | 21 | 9 | 4 | 163 | 21 |
| 2016/3 | 495 | 39 | 36,016 | 1,571 | 5 | 0 | 197 | 0 | 1 | 0 | 14 | 0 | 2 | 2 | 8 | 8 | 495 | 39 | 36,016 | 1,571 | 7 | 2 | 205 | 8 | 7 | 2 | 205 | 8 |
| 2016/6 | 508 | 42 | 36,885 | 1,672 | 7 | 1 | 264 | 3 | 1 | 0 | 12 | 0 | 2 | 2 | 7 | 7 | 509 | 42 | 36,897 | 1,672 | 9 | 3 | 271 | 10 | 9 | 3 | 271 | 10 |
| 2016/9 | 494 | 44 | 35,646 | 1,686 | 12 | 5 | 267 | 38 | 1 | 0 | 11 | 0 | 2 | 2 | 4 | 4 | 495 | 44 | 35,657 | 1,686 | 14 | 7 | 271 | 42 | 14 | 7 | 271 | 42 |
| 2016/12 | 475 | 40 | 34,907 | 1,541 | 12 | 5 | 273 | 44 | 1 | 0 | 12 | 0 | 1 | 1 | 3 | 3 | 476 | 40 | 34,919 | 1,541 | 13 | 6 | 276 | 47 | 13 | 6 | 276 | 47 |

| Total (Discretionary Assets) | | | | |
|------------------------------|--|--------|--|-------|
| Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | |
| 2011/3 | 521 | 52 | 43,729 | 4,353 |
| 2012/3 | 595 | 58 | 47,344 | 4,724 |
| 2013/3 | 597 | 59 | 45,187 | 4,358 |
| 2014/3 | 507 | 57 | 37,108 | 2,451 |
| 2015/3 | 478 | 43 | 37,506 | 1,587 |
| 2016/3 | 503 | 41 | 36,234 | 1,580 |
| 2016/6 | 518 | 45 | 37,168 | 1,683 |
| 2016/9 | 509 | 51 | 35,927 | 1,727 |
| 2016/12 | 489 | 46 | 35,195 | 1,588 |

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | | | Overseas Clients | | | | | | | | Total | | | | | | | |
|---------|-------------------------------------|--|--------|--|---------------------|--|--------|--|-------------------------------------|--|--------|--|---------------------|--|--------|--|-------------------------------------|--|--------|--|---------------------|--|--------|--|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2012/3 | 13.1% | 5.8% | 8.1% | 8.3% | - | - | - | - | - | - | - | - | - | - | - | - | 13.1% | 5.8% | 8.1% | 8.3% | - | - | - | - |
| 2013/3 | -0.2% | -1.8% | -4.7% | -8.6% | 16.7% | 0.0% | 56.9% | 235.9% | - | - | - | - | - | - | - | - | -0.2% | -1.8% | -4.7% | -8.6% | 50.0% | 66.7% | 87.0% | 420.8% |
| 2014/3 | -15.1% | -3.7% | -17.9% | -44.2% | -14.3% | 0.0% | 11.5% | 1.5% | - | - | - | - | 0.0% | 0.0% | 4.5% | 4.5% | -15.1% | -3.7% | -17.9% | -44.2% | -11.1% | 0.0% | 10.4% | 2.6% |
| 2015/3 | -6.2% | -25.0% | 0.9% | -34.9% | 16.7% | -33.3% | 57.1% | -79.7% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2016/3 | 5.8% | 0.0% | -3.5% | 0.3% | -28.6% | -100.0% | 33.0% | -100.0% | 0.0% | - | -9.1% | - | 0.0% | 0.0% | -45.4% | -45.4% | 5.8% | 0.0% | -3.5% | 0.3% | -22.2% | -50.0% | 25.7% | -60.9% |
| 2016/6 | 2.6% | 7.7% | 2.4% | 6.4% | 40.0% | - | 34.2% | - | 0.0% | - | -14.5% | - | 0.0% | 0.0% | -10.8% | -10.8% | 2.6% | 7.7% | 2.4% | 6.4% | 28.6% | 50.0% | 32.4% | 25.8% |
| 2016/9 | -2.8% | 4.8% | -3.4% | 0.8% | 71.4% | 400.0% | 1.1% | 1139.3% | 0.0% | - | -5.3% | - | 0.0% | 0.0% | -48.0% | -48.0% | -2.8% | 4.8% | -3.4% | 0.8% | 55.6% | 133.3% | -0.2% | 297.9% |
| 2016/12 | -3.9% | -9.1% | -2.1% | -8.6% | 0.0% | 0.0% | 2.4% | 16.7% | 0.0% | - | 9.2% | - | -50.0% | -50.0% | -22.5% | -22.5% | -3.8% | -9.1% | -2.1% | -8.6% | -7.1% | -14.3% | 2.1% | 13.1% |

| Total (Discretionary Assets) | | | | |
|------------------------------|--|--------|--|--------|
| Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | |
| 2012/3 | 14.2% | 11.5% | 8.3% | 8.5% |
| 2013/3 | 0.3% | 1.7% | -4.6% | -7.8% |
| 2014/3 | -15.1% | -3.4% | -17.9% | -43.8% |
| 2015/3 | -5.7% | -24.6% | 1.1% | -35.2% |
| 2016/3 | 5.2% | -4.7% | -3.4% | -0.5% |
| 2016/6 | 3.0% | 9.8% | 2.6% | 6.5% |
| 2016/9 | -1.7% | 13.3% | -3.3% | 2.7% |
| 2016/12 | -3.9% | -9.8% | -2.0% | -8.1% |

3. Real Estate Private Funds

(2) Assets Under Management (Assets Under Advice)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | | | Overseas Clients | | | | | | | | Total | | | | | | | |
|---------|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2011/3 | 464 | 30 | 46,150 | 4,075 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 464 | 30 | 46,150 | 4,075 | 0 | 0 | 0 | 0 |
| 2012/3 | 391 | 22 | 42,057 | 2,832 | 2 | 1 | 49 | 0 | 0 | 0 | 0 | 0 | 3 | 1 | 397 | 40 | 391 | 22 | 42,057 | 2,832 | 5 | 2 | 446 | 40 |
| 2013/3 | 324 | 19 | 38,416 | 2,715 | 3 | 0 | 352 | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 634 | 580 | 324 | 19 | 38,416 | 2,715 | 7 | 3 | 986 | 580 |
| 2014/3 | 231 | 11 | 28,530 | 1,188 | 2 | 0 | 336 | 0 | 0 | 0 | 0 | 0 | 5 | 3 | 1,026 | 886 | 231 | 11 | 28,530 | 1,188 | 7 | 3 | 1,362 | 886 |
| 2015/3 | 234 | 16 | 30,033 | 882 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 986 | 985 | 234 | 16 | 30,033 | 882 | 6 | 3 | 986 | 985 |
| 2016/3 | 293 | 20 | 38,862 | 1,179 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 1,987 | 1,987 | 293 | 20 | 38,862 | 1,179 | 6 | 3 | 1,987 | 1,987 |
| 2016/6 | 303 | 20 | 39,251 | 1,202 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 3 | 1,830 | 1,830 | 303 | 20 | 39,251 | 1,202 | 6 | 3 | 1,831 | 1,830 |
| 2016/9 | 307 | 20 | 38,947 | 1,181 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 2,039 | 2,039 | 307 | 20 | 38,947 | 1,181 | 5 | 3 | 2,039 | 2,039 |
| 2016/12 | 302 | 17 | 37,778 | 751 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 | 1,954 | 1,954 | 302 | 17 | 37,778 | 751 | 5 | 3 | 1,954 | 1,954 |

| Total (Discretionary Assets) | | | | |
|------------------------------|---------------------|---|--------|---|
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2011/3 | 464 | 30 | 46,150 | 4,075 |
| 2012/3 | 396 | 24 | 42,503 | 2,872 |
| 2013/3 | 331 | 22 | 39,402 | 3,294 |
| 2014/3 | 238 | 14 | 29,892 | 2,074 |
| 2015/3 | 240 | 19 | 31,020 | 1,867 |
| 2016/3 | 299 | 23 | 40,849 | 3,166 |
| 2016/6 | 309 | 23 | 41,081 | 3,032 |
| 2016/9 | 312 | 23 | 40,986 | 3,220 |
| 2016/12 | 307 | 20 | 39,732 | 2,705 |

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | | | Overseas Clients | | | | | | | | Total | | | | | | | |
|---------|-------------------------------------|---|--------|---|---------------------|---|---------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2012/3 | -15.7% | -26.7% | -8.9% | -30.5% | - | - | - | - | - | - | - | - | - | - | - | - | -15.7% | -26.7% | -8.9% | -30.5% | - | - | - | - |
| 2013/3 | -17.1% | -13.6% | -8.7% | -4.1% | 50.0% | -100.0% | 617.6% | -100.0% | - | - | - | - | 33.3% | 200.0% | 59.6% | 1350.3% | -17.1% | -13.6% | -8.7% | -4.1% | 40.0% | 50.0% | 121.0% | 1349.9% |
| 2014/3 | -28.7% | -42.1% | -25.7% | -56.3% | - | - | -4.5% | - | - | - | - | - | -25.0% | 0.0% | 61.9% | 53.0% | -28.7% | -42.1% | -25.7% | -56.3% | 0.0% | 0.0% | 38.2% | 53.0% |
| 2015/3 | 1.3% | 45.5% | 5.3% | -25.7% | 0.0% | - | -100.0% | - | - | - | - | - | -20.0% | 0.0% | -3.8% | 11.1% | 1.3% | 45.5% | 5.3% | -25.7% | -14.3% | 0.0% | -27.6% | 11.1% |
| 2016/3 | 25.2% | 25.0% | 29.4% | 33.7% | 0.0% | - | 0.0% | - | - | - | - | - | 0.0% | 0.0% | 101.5% | 101.8% | 25.2% | 25.0% | 29.4% | 33.7% | 0.0% | 0.0% | 101.5% | 101.8% |
| 2016/6 | 3.4% | 0.0% | 1.0% | 1.9% | 0.0% | - | 0.0% | - | - | - | - | - | 0.0% | 0.0% | -7.9% | -7.9% | 3.4% | 0.0% | 1.0% | 1.9% | 0.0% | 0.0% | -7.9% | -7.9% |
| 2016/9 | 1.3% | 0.0% | -0.8% | -1.7% | 0.0% | - | 0.0% | - | - | - | - | - | -25.0% | 0.0% | 11.4% | 11.4% | 1.3% | 0.0% | -0.8% | -1.7% | -16.7% | 0.0% | 11.4% | 11.4% |
| 2016/12 | -1.6% | -15.0% | -3.0% | -36.4% | 0.0% | - | 0.0% | - | - | - | - | - | 0.0% | 0.0% | -4.2% | -4.2% | -1.6% | -15.0% | -3.0% | -36.4% | 0.0% | 0.0% | -4.2% | -4.2% |

| Total (Discretionary Assets) | | | | |
|------------------------------|---------------------|---|--------|---|
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2012/3 | -14.7% | -20.0% | -7.9% | -29.5% |
| 2013/3 | -16.4% | -8.3% | -7.3% | 14.7% |
| 2014/3 | -28.1% | -36.4% | -24.1% | -37.0% |
| 2015/3 | 0.8% | 35.7% | 3.8% | -10.0% |
| 2016/3 | 24.6% | 21.1% | 31.7% | 69.6% |
| 2016/6 | 3.3% | 0.0% | 0.6% | -4.2% |
| 2016/9 | 1.0% | 0.0% | -0.2% | 6.2% |
| 2016/12 | -1.6% | -13.0% | -3.1% | -16.0% |

3. Real Estate Private Funds

(1) Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | | | Overseas Clients | | | | | | | | Total | | | | | | | |
|---------|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2011/3 | 985 | 82 | 89,879 | 8,428 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 985 | 82 | 89,879 | 8,428 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 980 | 77 | 89,347 | 7,547 | 8 | 4 | 103 | 9 | 0 | 0 | 0 | 0 | 3 | 1 | 397 | 40 | 980 | 77 | 89,347 | 7,547 | 11 | 5 | 500 | 49 |
| 2013/3 | 912 | 73 | 83,503 | 7,027 | 10 | 3 | 436 | 29 | 0 | 0 | 0 | 0 | 6 | 5 | 650 | 596 | 912 | 73 | 83,503 | 7,027 | 16 | 8 | 1,086 | 625 |
| 2014/3 | 730 | 63 | 65,527 | 3,592 | 8 | 3 | 430 | 30 | 0 | 0 | 0 | 0 | 7 | 5 | 1,043 | 903 | 730 | 63 | 65,527 | 3,592 | 15 | 8 | 1,473 | 933 |
| 2015/3 | 702 | 55 | 67,361 | 2,448 | 9 | 2 | 148 | 6 | 1 | 0 | 15 | 0 | 6 | 5 | 1,002 | 1,000 | 703 | 55 | 67,376 | 2,448 | 15 | 7 | 1,149 | 1,006 |
| 2016/3 | 788 | 59 | 74,878 | 2,751 | 7 | 0 | 197 | 0 | 1 | 0 | 14 | 0 | 6 | 5 | 1,996 | 1,995 | 789 | 59 | 74,891 | 2,751 | 13 | 5 | 2,192 | 1,995 |
| 2016/6 | 811 | 62 | 76,136 | 2,874 | 9 | 1 | 264 | 3 | 1 | 0 | 12 | 0 | 6 | 5 | 1,838 | 1,838 | 812 | 62 | 76,147 | 2,874 | 15 | 6 | 2,102 | 1,841 |
| 2016/9 | 801 | 64 | 74,593 | 2,867 | 14 | 5 | 267 | 38 | 1 | 0 | 11 | 0 | 5 | 5 | 2,043 | 2,043 | 802 | 64 | 74,604 | 2,867 | 19 | 10 | 2,309 | 2,080 |
| 2016/12 | 777 | 57 | 72,684 | 2,292 | 14 | 5 | 273 | 44 | 1 | 0 | 12 | 0 | 4 | 4 | 1,957 | 1,957 | 778 | 57 | 72,696 | 2,292 | 18 | 9 | 2,230 | 2,001 |

| Total (Discretionary Assets) | | | | |
|------------------------------|---------------------|---|--------|---|
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2011/3 | 985 | 82 | 89,879 | 8,428 |
| 2012/3 | 991 | 82 | 89,847 | 7,596 |
| 2013/3 | 928 | 81 | 84,589 | 7,652 |
| 2014/3 | 745 | 71 | 67,000 | 4,525 |
| 2015/3 | 718 | 62 | 68,526 | 3,454 |
| 2016/3 | 802 | 64 | 77,084 | 4,746 |
| 2016/6 | 827 | 68 | 78,249 | 4,715 |
| 2016/9 | 821 | 74 | 76,914 | 4,947 |
| 2016/12 | 796 | 66 | 74,927 | 4,293 |

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | | | Overseas Clients | | | | | | | | Total | | | | | | | |
|---------|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2012/3 | -0.5% | -6.1% | -0.6% | -10.4% | - | - | - | - | - | - | - | - | - | - | - | -0.5% | -6.1% | -0.6% | -10.4% | - | - | - | - | - |
| 2013/3 | -6.9% | -5.2% | -6.5% | -6.9% | 25.0% | -25.0% | 324.6% | 235.5% | - | - | - | - | -100.0% | 400.0% | 63.7% | 1390.7% | -6.9% | -5.2% | -6.5% | -6.9% | 45.5% | 60.0% | 117.4% | 1183.4% |
| 2014/3 | -20.0% | -13.7% | -21.5% | -48.9% | -20.0% | 0.0% | -1.4% | 1.5% | - | - | - | - | 16.7% | 0.0% | 60.4% | 51.6% | -20.0% | -13.7% | -21.5% | -48.9% | -6.3% | 0.0% | 35.6% | 49.3% |
| 2015/3 | -3.8% | -12.7% | 2.8% | -31.8% | 12.5% | -33.3% | -65.7% | -79.7% | - | - | - | - | -14.3% | 0.0% | -3.9% | 10.7% | -3.7% | -12.7% | 2.8% | -31.8% | 0.0% | -12.5% | -22.0% | 7.8% |
| 2016/3 | 12.3% | 7.3% | 11.2% | 12.4% | -22.2% | -100.0% | 33.0% | -100.0% | 0.0% | - | -9.1% | - | 0.0% | 0.0% | 99.2% | 99.5% | 12.2% | 7.3% | 11.2% | 12.4% | -13.3% | -28.6% | 90.7% | 98.3% |
| 2016/6 | 2.9% | 5.1% | 1.7% | 4.5% | 28.6% | - | 34.2% | - | 0.0% | - | -14.5% | - | 0.0% | 0.0% | -7.9% | -7.9% | 2.9% | 5.1% | 1.7% | 4.5% | 15.4% | 20.0% | -4.1% | -7.7% |
| 2016/9 | -1.2% | 3.2% | -2.0% | -0.3% | 55.6% | 400.0% | 1.1% | 1139.3% | 0.0% | - | -5.3% | - | -16.7% | 0.0% | 11.1% | 11.2% | -1.2% | 3.2% | -2.0% | -0.3% | 26.7% | 66.7% | 9.9% | 13.0% |
| 2016/12 | -3.0% | -10.9% | -2.6% | -20.1% | 0.0% | 0.0% | 2.4% | 16.7% | 0.0% | - | 9.2% | - | -20.0% | -20.0% | -4.2% | -4.2% | -3.0% | -10.9% | -2.6% | -20.1% | -5.3% | -10.0% | -3.4% | -3.8% |

| Total (Discretionary Assets) | | | | |
|------------------------------|---------------------|---|--------|---|
| | Number of Contracts | In double layer scheme No. of contracts with master scheme | Amount | In double layer scheme Amount of assets under management for master scheme |
| 2012/3 | 0.6% | 0.0% | 0.0% | -9.9% |
| 2013/3 | -6.4% | -1.2% | -5.9% | 0.7% |
| 2014/3 | -19.7% | -12.3% | -20.8% | -40.9% |
| 2015/3 | -3.6% | -12.7% | 2.3% | -23.7% |
| 2016/3 | 11.7% | 3.2% | 12.5% | 37.4% |
| 2016/6 | 3.1% | 6.3% | 1.5% | -0.7% |
| 2016/9 | -0.7% | 8.8% | -1.7% | 4.9% |
| 2016/12 | -3.0% | -10.8% | -2.6% | -13.2% |

3. Real Estate Private Funds

(4) Assets Under Management by Asset Class

(Unit : ¥ 100million)

| | Japan | | | | | | | | Overseas | | | | | | | | Global | | | | | | | |
|---------|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme |
| 2011/3 | 521 | 52 | 43,729 | 4,353 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 589 | 55 | 47,290 | 4,716 | 6 | 3 | 54 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2013/3 | 588 | 54 | 45,086 | 4,312 | 7 | 3 | 84 | 29 | 0 | 0 | 0 | 0 | 2 | 2 | 16 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 499 | 52 | 36,997 | 2,404 | 6 | 3 | 94 | 30 | 0 | 0 | 0 | 0 | 2 | 2 | 17 | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2015/3 | 468 | 39 | 37,328 | 1,566 | 7 | 2 | 148 | 6 | 1 | 0 | 15 | 0 | 2 | 2 | 15 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/3 | 495 | 39 | 36,016 | 1,571 | 5 | 0 | 197 | 0 | 1 | 0 | 14 | 0 | 2 | 2 | 8 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 508 | 42 | 36,885 | 1,672 | 7 | 1 | 264 | 3 | 1 | 0 | 12 | 0 | 2 | 2 | 7 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 494 | 44 | 35,646 | 1,686 | 12 | 5 | 267 | 38 | 1 | 0 | 11 | 0 | 2 | 2 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 475 | 40 | 34,907 | 1,541 | 12 | 5 | 273 | 44 | 1 | 0 | 12 | 0 | 1 | 1 | 3 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Percentage changes from the previous year (or term)

| | Japan | | | | | | | | Overseas | | | | | | | | Global | | | | | | | |
|---------|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|-------------------------------------|---|--------|---|---------------------|---|--------|---|
| | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | | Securities related to real property | | | | Others | | | |
| | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme | Number of Contracts | IN double layer scheme No. of contracts with master scheme | Amount | IN double layer scheme Amount of assets under management for master scheme |
| 2012/3 | 13.1% | 5.8% | 8.1% | 8.3% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2013/3 | -0.2% | -1.8% | -4.7% | -8.6% | 16.7% | 0.0% | 56.9% | 235.9% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2014/3 | -15.1% | -3.7% | -17.9% | -44.2% | -14.3% | 0.0% | 11.5% | 1.5% | - | - | - | - | 0.0% | 0.0% | 4.5% | 4.5% | - | - | - | - | - | - | - | - |
| 2015/3 | -6.2% | -25.0% | 0.9% | -34.9% | 16.7% | -33.3% | 57.1% | -79.7% | - | - | - | - | 0.0% | 0.0% | -9.7% | -9.7% | - | - | - | - | - | - | - | - |
| 2016/3 | 5.8% | 0.0% | -3.5% | 0.3% | -28.6% | -100.0% | 33.0% | -100.0% | 0.0% | - | -9.1% | - | 0.0% | 0.0% | -45.4% | -45.4% | - | - | - | - | - | - | - | - |
| 2016/6 | 2.6% | 7.7% | 2.4% | 6.4% | 40.0% | - | 34.2% | - | 0.0% | - | -14.5% | - | 0.0% | 0.0% | -10.8% | -10.8% | - | - | - | - | - | - | - | - |
| 2016/9 | -2.8% | 4.8% | -3.4% | 0.8% | 71.4% | 400.0% | 1.1% | 1139.3% | 0.0% | - | -5.3% | - | 0.0% | 0.0% | -48.0% | -48.0% | - | - | - | - | - | - | - | - |
| 2016/12 | -3.8% | -9.1% | -2.1% | -8.6% | 0.0% | 0.0% | 2.4% | 16.7% | 0.0% | - | 9.2% | - | -50.0% | -50.0% | -22.5% | -22.5% | - | - | - | - | - | - | - | - |

Note: Assets under management is based on discretionary investment management contracts.

3. Real Estate Private Funds

(5) Assets Under Management by Country and Region

(Unit : ¥ 100million)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | | | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|---|---|---|---|---|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | | | | | |
| 2011/3 | 0 | 0 | 42,807 | 622 | 301 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 0 | 0 | 46,223 | 624 | 476 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2013/3 | 0 | 0 | 44,377 | 677 | 92 | 0 | 0 | 0 | 0 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 0 | 32 | 36,446 | 503 | 70 | 0 | 0 | 0 | 0 | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 28 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2015/3 | 72 | 44 | 37,053 | 260 | 46 | 0 | 0 | 0 | 0 | 15 | 0 | 0 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/3 | 0 | 0 | 35,838 | 171 | 204 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 0 | 0 | 36,724 | 153 | 271 | 0 | 0 | 0 | 0 | 7 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 35,290 | 349 | 274 | 0 | 0 | 0 | 0 | 4 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 1 | 0 | 34,739 | 161 | 279 | 0 | 0 | 0 | 0 | 3 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Percentage changes from the previous year (or term)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | | | | | | |
|---------|---------|---------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|---|---|---|---|---|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | | | | | |
| 2012/3 | - | - | 8.0% | 0.4% | 58.2% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2013/3 | - | - | -4.0% | 8.5% | -80.7% | - | - | - | - | - | - | - | - | - | - | - | - | 19.0% | -60.1% | - | - | - | - | - | - | - | - | - | - | - |
| 2014/3 | - | - | -17.9% | -25.8% | -23.7% | - | - | - | - | 4.5% | - | - | - | - | - | - | - | 13.9% | 16.7% | - | - | - | - | - | - | - | - | - | - | - |
| 2015/3 | - | 37.3% | 1.7% | -48.2% | -34.7% | - | - | - | - | -9.7% | - | - | - | - | - | - | - | -100.0% | -100.0% | - | - | - | - | - | - | - | - | - | - | - |
| 2016/3 | -100.0% | -100.0% | -3.3% | -34.5% | 346.2% | - | - | - | - | -45.4% | - | - | -9.1% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2016/6 | - | - | 2.5% | -10.0% | 32.7% | - | - | - | - | -10.8% | - | - | -100.0% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2016/9 | - | - | -3.9% | 127.4% | 1.1% | - | - | - | - | -48.0% | -5.3% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2016/12 | - | - | -1.6% | -53.9% | 1.9% | - | - | - | - | -22.5% | 9.2% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

Percentage

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | | | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|------|------|------|------|------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | | | | | |
| 2011/3 | 0.0% | 0.0% | 97.9% | 1.4% | 0.7% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2012/3 | 0.0% | 0.0% | 97.6% | 1.3% | 1.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2013/3 | 0.0% | 0.0% | 98.2% | 1.5% | 0.2% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2014/3 | 0.0% | 0.1% | 98.2% | 1.4% | 0.2% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2015/3 | 0.2% | 0.1% | 98.8% | 0.7% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/3 | 0.0% | 0.0% | 98.9% | 0.5% | 0.6% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/6 | 0.0% | 0.0% | 98.8% | 0.4% | 0.7% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/9 | 0.0% | 0.0% | 98.2% | 1.0% | 0.8% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/12 | 0.0% | 0.0% | 98.7% | 0.5% | 0.8% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |

Note: Assets under management is based on discretionary investment management contracts.

3. Real Estate Private Funds

(6) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|-------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2011/3 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 0 | 0 | 0 | 0 | 0 |
| 2013/3 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 0 | 0 | 0 | 0 | 0 |
| 2015/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 |

Over-the-counter derivatives

(Unit : ¥ 100million)

| | Forwards | Options | Swaps | Others |
|---------|----------|---------|-------|--------|
| 2011/3 | 0 | 2,283 | 553 | 0 |
| 2012/3 | 0 | 835 | 794 | 169 |
| 2013/3 | 0 | 587 | 504 | 169 |
| 2014/3 | 0 | 471 | 436 | 98 |
| 2015/3 | 0 | 97 | 767 | 0 |
| 2016/3 | 0 | 0 | 875 | 0 |
| 2016/6 | 0 | 0 | 923 | 0 |
| 2016/9 | 0 | 0 | 808 | 0 |
| 2016/12 | 0 | 0 | 807 | 0 |

Overseas exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|-------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2011/3 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 0 | 0 | 0 | 0 | 0 |
| 2013/3 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 0 | 0 | 0 | 0 | 0 |
| 2015/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 |

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(1) Assets Under Management (Discretionary Assets)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | Overseas Clients | | | | | | Total (Discretionary Assets) | |
|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|--------|
| | Corporations | | Individuals | | Total | | Corporations | | Individuals | | Total | | Number of Contract | Amount |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | |
| 2016/6 | 6,158 | 5,443 | 495,817 | 52,151 | 501,975 | 57,594 | 0 | 0 | 2 | 2 | 2 | 2 | 501,977 | 57,596 |
| 2016/9 | 6,345 | 5,650 | 521,699 | 54,546 | 528,044 | 60,196 | 0 | 0 | 2 | 2 | 2 | 2 | 528,046 | 60,197 |
| 2016/12 | 6,394 | 5,791 | 532,878 | 58,355 | 539,272 | 64,146 | 0 | 0 | 2 | 2 | 2 | 2 | 539,274 | 64,148 |

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | Overseas Clients | | | | | | Total (Discretionary Assets) | |
|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|--------|
| | Corporations | | Individuals | | Total | | Corporations | | Individuals | | Total | | Number of Contract | Amount |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | | |
| 2016/9 | 3.0% | 3.8% | 5.2% | 4.6% | 5.2% | 4.5% | - | - | 0.0% | 4.0% | 0.0% | 4.0% | 5.2% | 4.5% |
| 2016/12 | 0.8% | 2.5% | 2.1% | 7.0% | 2.1% | 6.6% | - | - | 0.0% | 10.8% | 0.0% | 10.8% | 2.1% | 6.6% |

※ “Wrap Accounts” mean those accounts in which clients pay one comprehensive asset-based fee which includes management fees, commissions for the execution of transactions, administrative fees, etc.

4. Wrap Accounts

(2) Assets Under Management (Assets Under Advice)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | Overseas Clients | | | | | |
|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|
| | Corporations | | Individuals | | Total | | Corporations | | Individuals | | Total | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount |
| 2016/6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| Total (Discretionary Assets) | |
|---------------------------------|--------|
| Number of Contract | Amount |
| 0 | 0 |
| 0 | 0 |
| 0 | 0 |

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | Overseas Clients | | | | | |
|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|
| | Corporations | | Individuals | | Total | | Corporations | | Individuals | | Total | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount |
| 2016/9 | - | - | - | - | - | - | - | - | - | - | - | - |
| 2016/12 | - | - | - | - | - | - | - | - | - | - | - | - |

| Total (Discretionary Assets) | |
|---------------------------------|--------|
| Number of Contract | Amount |
| - | - |
| - | - |

4. Wrap Accounts

(3) Assets Under Management (Discretionary Assets + Assets Under Management)

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | Overseas Clients | | | | | | Total (Discretionary Assets) | |
|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|--------|
| | Corporations | | Individuals | | Total | | Corporations | | Individuals | | Total | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount |
| 2016/6 | 6,158 | 5,443 | 495,817 | 52,151 | 501,975 | 57,594 | 0 | 0 | 2 | 2 | 2 | 2 | 501,977 | 57,596 |
| 2016/9 | 6,345 | 5,650 | 521,699 | 54,546 | 528,044 | 60,196 | 0 | 0 | 2 | 2 | 2 | 2 | 528,046 | 60,197 |
| 2016/12 | 6,394 | 5,791 | 532,878 | 58,355 | 539,272 | 64,146 | 0 | 0 | 2 | 2 | 2 | 2 | 539,274 | 64,148 |

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | Overseas Clients | | | | | | Total (Discretionary Assets) | |
|---------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|--------------------|--------|---------------------------------|--------|
| | Corporations | | Individuals | | Total | | Corporations | | Individuals | | Total | | | |
| | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount | Number of Contract | Amount |
| 2016/9 | 3.0% | 3.8% | 5.2% | 4.6% | 5.2% | 4.5% | - | - | 0.0% | 4.0% | 0.0% | 4.0% | 5.2% | 4.5% |
| 2016/12 | 0.8% | 2.5% | 2.1% | 7.0% | 2.1% | 6.6% | - | - | 0.0% | 10.8% | 0.0% | 10.8% | 2.1% | 6.6% |

4. Wrap Accounts

(4) Assets Under Management by Asset Class

① Mutual Fund Wrap Accounts

① Number of Contract

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|---------|----------|-------|--------|--------|-------|---------|---------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/6 | 0 | 87 | 119,242 | 0 | 32 | 161 | 3 | 134 | 379,648 | 499,307 |
| 2016/9 | 0 | 93 | 121,549 | 0 | 34 | 175 | 2 | 168 | 403,620 | 525,641 |
| 2016/12 | 7 | 100 | 120,861 | 0 | 41 | 155 | 3 | 182 | 415,794 | 537,143 |

Percentage changes from the previous year (or term)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|-------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/9 | - | 6.9% | 1.9% | - | 6.3% | 8.7% | -33.3% | 25.4% | 6.3% | 5.3% |
| 2016/12 | - | 7.5% | -0.6% | - | 20.6% | -11.4% | 50.0% | 8.3% | 3.0% | 2.2% |

② Amount of Assets

(Unit : ¥ 100million)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/6 | 0 | 121 | 13,437 | 0 | 81 | 4 | 6 | 499 | 41,624 | 55,772 |
| 2016/9 | 0 | 91 | 13,886 | 0 | 77 | 3 | 3 | 554 | 43,830 | 58,444 |
| 2016/12 | 1 | 71 | 14,643 | 0 | 84 | 3 | 7 | 526 | 47,150 | 62,484 |

Percentage changes from the previous year (or term)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|--------|--------|----------|-------|--------|--------|-------|--------|-------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/9 | - | -24.7% | 3.3% | - | -4.5% | -25.8% | -51.2% | 10.9% | 5.3% | 4.8% |
| 2016/12 | - | -22.7% | 5.5% | - | 8.5% | -10.8% | 147.8% | -5.1% | 7.6% | 6.9% |

Percentage

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/6 | 0.0% | 0.2% | 24.1% | 0.0% | 0.1% | 0.0% | 0.0% | 0.9% | 74.6% | 100.0% |
| 2016/9 | 0.0% | 0.2% | 23.8% | 0.0% | 0.1% | 0.0% | 0.0% | 0.9% | 75.0% | 100.0% |
| 2016/12 | 0.0% | 0.1% | 23.4% | 0.0% | 0.1% | 0.0% | 0.0% | 0.8% | 75.5% | 100.0% |

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(4) Assets Under Management by Asset Class

② Wrap Accounts(excluding Mutual Fund Wrap Accounts)

① Number of Contract

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|-------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/6 | 1,372 | 0 | 505 | 0 | 0 | 0 | 5 | 8 | 780 | 2,670 |
| 2016/9 | 1,322 | 0 | 484 | 0 | 0 | 0 | 6 | 9 | 584 | 2,405 |
| 2016/12 | 1,238 | 0 | 439 | 0 | 0 | 0 | 6 | 7 | 441 | 2,131 |

Percentage changes from the previous year (or term)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|--------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/9 | -3.6% | - | -4.2% | - | - | - | 20.0% | 12.5% | -25.1% | -9.9% |
| 2016/12 | -6.4% | - | -9.3% | - | - | - | 0.0% | -22.2% | -24.5% | -11.4% |

② Amount of Assets

(Unit : ¥ 100million)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|-------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/6 | 162 | 0 | 970 | 0 | 0 | 0 | 2 | 5 | 685 | 1,824 |
| 2016/9 | 156 | 0 | 905 | 0 | 0 | 0 | 3 | 6 | 684 | 1,753 |
| 2016/12 | 159 | 0 | 847 | 0 | 0 | 0 | 3 | 4 | 650 | 1,664 |

Percentage changes from the previous year (or term)

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|--------|--------|-------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/9 | -3.7% | - | -6.7% | - | - | - | 28.8% | 8.1% | -0.1% | -3.9% |
| 2016/12 | 2.4% | - | -6.4% | - | - | - | 15.9% | -30.8% | -5.0% | -5.1% |

Percentage

| | Domestic | | | Overseas | | | Global | | | Total |
|---------|----------|-------|--------|----------|-------|--------|--------|-------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 2016/6 | 8.9% | 0.0% | 53.2% | 0.0% | 0.0% | 0.0% | 0.1% | 0.3% | 37.6% | 100.0% |
| 2016/9 | 8.9% | 0.0% | 51.6% | 0.0% | 0.0% | 0.0% | 0.2% | 0.3% | 39.0% | 100.0% |
| 2016/12 | 9.6% | 0.0% | 50.9% | 0.0% | 0.0% | 0.0% | 0.2% | 0.2% | 39.1% | 100.0% |

Note: Assets under management is based on discretionary investment management contracts.

4.Wrap Accounts

(5) Assets Under Management by Size

①Number of Contract

| | Less than ¥10 million | Over ¥10 million | Over ¥20 million | Over ¥50 million | Over ¥1 billion | Over ¥10 billion | Total |
|---------|--------------------------|---------------------|---------------------|---------------------|--------------------|---------------------|---------|
| 2016/6 | 366,304 | 87,531 | 38,707 | 6,850 | 2,488 | 97 | 501,977 |
| 2016/9 | 382,146 | 94,308 | 41,563 | 7,351 | 2,566 | 112 | 528,046 |
| 2016/12 | 365,439 | 114,318 | 47,853 | 8,504 | 3,037 | 123 | 539,274 |

Percentage changes from the previous year (or term)

| | Less than ¥10 million | Over ¥10 million | Over ¥20 million | Over ¥50 million | Over ¥1 billion | Over ¥10 billion | Total |
|---------|--------------------------|---------------------|---------------------|---------------------|--------------------|---------------------|-------|
| 2016/9 | 4.3% | 7.7% | 7.4% | 7.3% | 3.1% | 15.5% | 5.2% |
| 2016/12 | -4.4% | 21.2% | 15.1% | 15.7% | 18.4% | 9.8% | 2.1% |

Percentage

| | Less than ¥10 million | Over ¥10 million | Over ¥20 million | Over ¥50 million | Over ¥1 billion | Over ¥10 billion | Total |
|---------|--------------------------|---------------------|---------------------|---------------------|--------------------|---------------------|--------|
| 2016/6 | 73.0% | 17.4% | 7.7% | 1.4% | 0.5% | 0.0% | 100.0% |
| 2016/9 | 72.4% | 17.9% | 7.9% | 1.4% | 0.5% | 0.0% | 100.0% |
| 2016/12 | 67.8% | 21.2% | 8.9% | 1.6% | 0.6% | 0.0% | 100.0% |

②Amount of Assets

(Unit : ¥ 100million)

| | Less than ¥10 million | Over ¥10 million | Over ¥20 million | Over ¥50 million | Over ¥1 billion | Over ¥10 billion | Total |
|---------|--------------------------|---------------------|---------------------|---------------------|--------------------|---------------------|--------|
| 2016/6 | 21,146 | 12,373 | 11,593 | 4,692 | 5,456 | 2,336 | 57,596 |
| 2016/9 | 21,466 | 13,253 | 12,435 | 5,030 | 5,631 | 2,382 | 60,197 |
| 2016/12 | 20,317 | 15,357 | 14,013 | 5,660 | 6,297 | 2,504 | 64,148 |

Percentage changes from the previous year (or term)

| | Less than ¥10 million | Over ¥10 million | Over ¥20 million | Over ¥50 million | Over ¥1 billion | Over ¥10 billion | Total |
|---------|--------------------------|---------------------|---------------------|---------------------|--------------------|---------------------|-------|
| 2016/9 | 1.5% | 7.1% | 7.3% | 7.2% | 3.2% | 2.0% | 4.5% |
| 2016/12 | -5.4% | 15.9% | 12.7% | 12.5% | 11.8% | 5.1% | 6.6% |

Percentage

| | Less than ¥10 million | Over ¥10 million | Over ¥20 million | Over ¥50 million | Over ¥1 billion | Over ¥10 billion | Total |
|---------|--------------------------|---------------------|---------------------|---------------------|--------------------|---------------------|--------|
| 2016/6 | 36.7% | 21.5% | 20.1% | 8.1% | 9.5% | 4.1% | 100.0% |
| 2016/9 | 35.7% | 22.0% | 20.7% | 8.4% | 9.4% | 4.0% | 100.0% |
| 2016/12 | 31.7% | 23.9% | 21.8% | 8.8% | 9.8% | 3.9% | 100.0% |

Note:Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(6) Assets Under Management by Country and Region

(Unit : ¥ 100million)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|--------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2016/6 | 3,888 | 7,569 | 0 | 1,460 | 15,064 | 24 | 29 | 0 | 7 | 1 | 3 | 7 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2,374 | 11,492 | 0 | 104 | 15,573 |
| 2016/9 | 4,134 | 7,726 | 0 | 1,551 | 15,454 | 30 | 42 | 0 | 7 | 1 | 3 | 7 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2,456 | 11,844 | 0 | 109 | 16,833 |
| 2016/12 | 4,565 | 7,572 | 1 | 1,819 | 16,125 | 35 | 52 | 2 | 5 | 1 | 6 | 8 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 2,785 | 12,549 | 0 | 94 | 18,528 |

Percentage changes from the previous year (or term)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|---------|--------|---------|---------------------------|------------------------|---------|--------|-------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2016/9 | 6.3% | 2.1% | - | 6.3% | 2.6% | 24.4% | 45.1% | 65.4% | -7.2% | 10.3% | 6.0% | -2.0% | - | - | 68.7% | 38.1% | -100.0% | - | - | -100.0% | 3.5% | 3.1% | 134.1% | 5.2% | 8.1% |
| 2016/12 | 10.4% | -2.0% | - | 17.3% | 4.3% | 19.1% | 23.3% | 687.9% | -32.8% | 1.6% | 91.5% | 6.6% | - | - | -100.0% | 167.3% | - | - | - | - | 13.4% | 6.0% | 1991.7% | -13.9% | 10.1% |

Percentage

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2016/6 | 6.8% | 13.1% | 0.0% | 2.5% | 26.2% | 0.0% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 4.1% | 20.0% | 0.0% | 0.2% | 27.0% |
| 2016/9 | 6.9% | 12.8% | 0.0% | 2.6% | 25.7% | 0.0% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 4.1% | 19.7% | 0.0% | 0.2% | 28.0% |
| 2016/12 | 7.1% | 11.8% | 0.0% | 2.8% | 25.1% | 0.1% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 4.3% | 19.6% | 0.0% | 0.1% | 28.9% |

Note: Assets under management is based on discretionary investment management contracts.

4. Wrap Accounts

(7) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|-------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2016/6 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 |

Over-the-counter derivatives

(Unit : ¥ 100million)

| | Forwards | Options | Swaps | Others |
|---------|----------|---------|-------|--------|
| 2016/6 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 |

Overseas exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|-------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2016/6 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 |

Note: Assets under management is based on discretionary investment management contracts.

5. Collective Investment Schemes(excluding mutual funds)

(1) Assets Under Management

(Unit : ¥ 100million)

| | Collective Investment Schemes (excluding mutual funds) | | | Assets Under Advice | | | Total | | |
|---------|---|----------|-------|---------------------|----------|-------|-------|----------|-------|
| | Japan | Overseas | Total | Japan | Overseas | Total | Japan | Overseas | Total |
| 2011/3 | 1,456 | 318 | 1,774 | 0 | 0 | 0 | 1,456 | 318 | 1,774 |
| 2012/3 | 1,272 | 391 | 1,663 | 0 | 0 | 0 | 1,272 | 391 | 1,663 |
| 2013/3 | 1,311 | 480 | 1,791 | 0 | 0 | 0 | 1,311 | 480 | 1,791 |
| 2014/3 | 1,276 | 686 | 1,962 | 0 | 0 | 0 | 1,276 | 686 | 1,962 |
| 2015/3 | 972 | 566 | 1,537 | 0 | 0 | 0 | 972 | 566 | 1,537 |
| 2016/3 | 682 | 376 | 1,058 | 0 | 0 | 0 | 682 | 376 | 1,058 |
| 2016/6 | 765 | 337 | 1,102 | 0 | 0 | 0 | 765 | 337 | 1,102 |
| 2016/9 | 811 | 329 | 1,139 | 0 | 0 | 0 | 811 | 329 | 1,139 |
| 2016/12 | 914 | 388 | 1,302 | 0 | 0 | 0 | 914 | 388 | 1,302 |

| | Collective Investment Schemes (excluding mutual funds) | | | Assets Under Advice | | | Total | | |
|---------|---|----------|--------|---------------------|----------|-------|--------|----------|--------|
| | Japan | Overseas | Total | Japan | Overseas | Total | Japan | Overseas | Total |
| 2012/3 | -12.7% | 22.9% | -6.3% | - | - | - | -12.7% | 22.9% | -6.3% |
| 2013/3 | 3.1% | 22.8% | 7.7% | - | - | - | 3.1% | 22.8% | 7.7% |
| 2014/3 | -2.7% | 42.9% | 9.5% | - | - | - | -2.7% | 42.9% | 9.5% |
| 2015/3 | -23.9% | -17.5% | -21.6% | - | - | - | -23.9% | -17.5% | -21.6% |
| 2016/3 | -29.8% | -33.5% | -31.2% | - | - | - | -29.8% | -33.5% | -31.2% |
| 2016/6 | 12.3% | -10.5% | 4.2% | - | - | - | 12.3% | -10.5% | 4.2% |
| 2016/9 | 5.9% | -2.4% | 3.4% | - | - | - | 5.9% | -2.4% | 3.4% |
| 2016/12 | 12.7% | 18.0% | 14.2% | - | - | - | 12.7% | 18.0% | 14.2% |

5. Collective Investment Schemes(excluding mutual funds)

(2) Assets Under Management by Asset Class

① Number of Contract

| | Japan | | Overseas | | Global | | Total |
|---------|--------|--------|----------|--------|--------|--------|-------|
| | Stocks | Others | Stocks | Others | Stocks | Others | |
| 2011/3 | 23 | 11 | 11 | 8 | 25 | 26 | 104 |
| 2012/3 | 17 | 11 | 11 | 7 | 21 | 26 | 93 |
| 2013/3 | 12 | 8 | 11 | 4 | 25 | 23 | 83 |
| 2014/3 | 14 | 6 | 11 | 4 | 25 | 18 | 78 |
| 2015/3 | 10 | 2 | 11 | 4 | 23 | 14 | 64 |
| 2016/3 | 10 | 2 | 11 | 0 | 23 | 7 | 53 |
| 2016/6 | 12 | 3 | 10 | 0 | 23 | 7 | 55 |
| 2016/9 | 12 | 3 | 10 | 0 | 27 | 7 | 59 |
| 2016/12 | 12 | 3 | 9 | 1 | 27 | 6 | 58 |

| | Japan | | Overseas | | Global | | Total |
|---------|--------|--------|----------|---------|--------|--------|--------|
| | Stocks | Others | Stocks | Others | Stocks | Others | |
| 2012/3 | -26.1% | 0.0% | 0.0% | -12.5% | -16.0% | 0.0% | -10.6% |
| 2013/3 | -29.4% | -27.3% | 0.0% | -42.9% | 19.0% | -11.5% | -10.8% |
| 2014/3 | 16.7% | -25.0% | 0.0% | 0.0% | 0.0% | -21.7% | -6.0% |
| 2015/3 | -28.6% | -66.7% | 0.0% | 0.0% | -8.0% | -22.2% | -17.9% |
| 2016/3 | 0.0% | 0.0% | 0.0% | -100.0% | 0.0% | -50.0% | -17.2% |
| 2016/6 | 20.0% | 50.0% | -9.1% | - | 0.0% | 0.0% | 3.8% |
| 2016/9 | 0.0% | 0.0% | 0.0% | - | 17.4% | 0.0% | 7.3% |
| 2016/12 | 0.0% | 0.0% | -10.0% | - | 0.0% | -14.3% | -1.7% |

(Unit : ¥ 100million)

| | Japan | | Overseas | | Global | | Total |
|---------|--------|--------|----------|--------|--------|--------|-------|
| | Stocks | Others | Stocks | Others | Stocks | Others | |
| 2011/3 | 129 | 78 | 20 | 58 | 989 | 501 | 1,774 |
| 2012/3 | 66 | 61 | 30 | 51 | 1,011 | 445 | 1,663 |
| 2013/3 | 67 | 36 | 42 | 34 | 1,127 | 485 | 1,791 |
| 2014/3 | 70 | 17 | 42 | 33 | 1,269 | 530 | 1,962 |
| 2015/3 | 56 | 6 | 29 | 43 | 897 | 507 | 1,537 |
| 2016/3 | 78 | 112 | 15 | 0 | 522 | 331 | 1,058 |
| 2016/6 | 115 | 182 | 10 | 0 | 488 | 307 | 1,102 |
| 2016/9 | 95 | 237 | 9 | 0 | 509 | 289 | 1,139 |
| 2016/12 | 101 | 249 | 10 | 100 | 664 | 177 | 1,302 |

| | Japan | | Overseas | | Global | | Total |
|---------|--------|---------|----------|---------|--------|--------|--------|
| | Stocks | Others | Stocks | Others | Stocks | Others | |
| 2012/3 | -49.1% | -21.9% | 50.2% | -12.3% | 2.2% | -11.1% | -6.3% |
| 2013/3 | 1.4% | -39.9% | 43.2% | -32.9% | 11.5% | 8.8% | 7.7% |
| 2014/3 | 5.2% | -52.2% | -0.5% | -1.5% | 12.6% | 9.3% | 9.5% |
| 2015/3 | -20.5% | -64.1% | -32.1% | 28.8% | -29.3% | -4.3% | -21.6% |
| 2016/3 | 40.5% | 1689.2% | -49.4% | -100.0% | -41.8% | -34.7% | -31.2% |
| 2016/6 | 47.4% | 62.4% | -31.9% | - | -6.6% | -7.3% | 4.2% |
| 2016/9 | -17.4% | 30.4% | -4.6% | - | 4.3% | -6.0% | 3.4% |
| 2016/12 | 6.2% | 5.0% | 3.7% | - | 30.5% | -38.6% | 14.2% |

| | Japan | | Overseas | | Global | | Total |
|---------|--------|--------|----------|--------|--------|--------|--------|
| | Stocks | Others | Stocks | Others | Stocks | Others | |
| 2011/3 | 7.3% | 4.4% | 1.1% | 3.2% | 55.7% | 28.2% | 100.0% |
| 2012/3 | 3.9% | 3.7% | 1.8% | 3.0% | 60.8% | 26.8% | 100.0% |
| 2013/3 | 3.7% | 2.0% | 2.4% | 1.9% | 62.9% | 27.1% | 100.0% |
| 2014/3 | 3.6% | 0.9% | 2.2% | 1.7% | 64.7% | 27.0% | 100.0% |
| 2015/3 | 3.6% | 0.4% | 1.9% | 2.8% | 58.3% | 33.0% | 100.0% |
| 2016/3 | 7.4% | 10.6% | 1.4% | 0.0% | 49.3% | 31.3% | 100.0% |
| 2016/6 | 10.5% | 16.5% | 0.9% | 0.0% | 44.2% | 27.9% | 100.0% |
| 2016/9 | 8.4% | 20.8% | 0.8% | 0.0% | 44.7% | 25.3% | 100.0% |
| 2016/12 | 7.8% | 19.1% | 0.8% | 7.7% | 51.0% | 13.6% | 100.0% |

5. Collective Investment Schemes(excluding mutual funds)

(3) Assets Under Management by Country and Region

(Unit : ¥ 100million)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others |
| 2011/3 | 686 | 20 | 0 | 421 | 307 | 24 | 2 | 0 | 0 | 84 | 2 | 0 | 0 | 0 | 0 | 19 | 0 | 0 | 22 | 104 | 0 | 0 | 0 | 0 | 84 |
| 2012/3 | 585 | 14 | 0 | 367 | 284 | 16 | 3 | 0 | 0 | 108 | 1 | 0 | 0 | 0 | 0 | 27 | 0 | 0 | 22 | 234 | 2 | 0 | 0 | 0 | 0 |
| 2013/3 | 543 | 23 | 0 | 448 | 273 | 28 | 0 | 0 | 0 | 125 | 0 | 0 | 0 | 0 | 0 | 57 | 0 | 0 | 13 | 282 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 507 | 32 | 0 | 435 | 278 | 40 | 0 | 0 | 0 | 225 | 0 | 0 | 0 | 0 | 0 | 70 | 0 | 0 | 5 | 159 | 0 | 0 | 0 | 0 | 209 |
| 2015/3 | 413 | 25 | 0 | 236 | 274 | 42 | 1 | 0 | 0 | 133 | 0 | 0 | 0 | 0 | 0 | 57 | 0 | 0 | 5 | 350 | 0 | 0 | 0 | 1 | 0 |
| 2016/3 | 292 | 3 | 0 | 80 | 307 | 10 | 0 | 0 | 0 | 114 | 0 | 0 | 0 | 0 | 0 | 18 | 0 | 0 | 0 | 234 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 343 | 3 | 0 | 51 | 369 | 7 | 0 | 0 | 0 | 110 | 0 | 0 | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 207 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 327 | 2 | 0 | 114 | 195 | 11 | 0 | 0 | 0 | 104 | 0 | 0 | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 201 | 0 | 0 | 0 | 0 | 172 |
| 2016/12 | 338 | 3 | 0 | 206 | 202 | 10 | 1 | 0 | 0 | 126 | 0 | 0 | 0 | 0 | 0 | 14 | 0 | 0 | 0 | 238 | 0 | 0 | 0 | 0 | 163 |

Percentage changes from the previous year (or term)

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | | |
|---------|--------|--------|---------------------------|------------------------|--------|--------|---------|---------------------------|------------------------|--------|---------|---------|---------------------------|------------------------|--------|--------|---------|---------------------------|------------------------|--------|---------|---------|---------------------------|------------------------|--------|---------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | |
| 2012/3 | -14.7% | -28.7% | - | -12.7% | -7.4% | -33.8% | 83.9% | - | - | 29.6% | -73.5% | -100.0% | - | - | - | 41.3% | -100.0% | - | -1.5% | 125.6% | 479.2% | - | - | - | - | -100.0% |
| 2013/3 | -7.2% | 63.5% | - | 21.9% | -4.0% | 76.0% | -100.0% | - | - | 15.5% | -100.0% | - | - | - | - | 109.3% | - | - | -40.9% | 20.9% | -100.0% | -100.0% | - | - | - | - |
| 2014/3 | -6.6% | 41.1% | - | -2.8% | 1.9% | 45.8% | - | - | - | 79.9% | - | - | - | - | - | 24.4% | - | - | -63.2% | -43.6% | - | - | - | - | - | - |
| 2015/3 | -18.5% | -23.5% | - | -45.7% | -1.2% | 3.5% | - | - | - | -41.1% | - | - | - | - | - | -18.7% | - | - | 4.9% | 119.8% | - | - | - | - | - | -100.0% |
| 2016/3 | -29.5% | -89.5% | - | -66.3% | 12.0% | -75.0% | -72.4% | - | - | -14.1% | - | - | - | - | - | -68.4% | - | - | -93.0% | -33.3% | -100.0% | - | - | - | - | -100.0% |
| 2016/6 | 17.5% | 0.0% | - | -35.7% | 19.9% | -29.6% | -27.6% | - | - | -3.8% | - | - | - | - | - | -26.9% | - | - | -20.0% | -11.6% | - | - | - | - | - | - |
| 2016/9 | -4.5% | -6.9% | - | 123.0% | -47.1% | 47.9% | 0.0% | - | - | -4.8% | - | - | - | - | - | -3.9% | - | - | -3.6% | -2.7% | - | - | - | - | - | - |
| 2016/12 | 3.4% | 41.3% | - | 80.7% | 3.8% | -10.8% | 138.1% | - | - | 20.8% | - | - | - | - | - | 7.8% | - | - | 14.8% | 18.6% | - | - | - | - | - | -4.9% |

Percentage

| | Japan | | | | | USA | | | | | Europe | | | | | Asia | | | | | Others | | | | | |
|---------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|--------|-------|---------------------------|------------------------|--------|-------|
| | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | Stocks | Bonds | Real Estate Private Funds | Short term investments | Others | |
| 2011/3 | 38.7% | 1.1% | 0.0% | 23.7% | 17.3% | 1.3% | 0.1% | 0.0% | 0.0% | 4.7% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 1.1% | 0.0% | 0.0% | 1.3% | 5.8% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 4.7% |
| 2012/3 | 35.2% | 0.8% | 0.0% | 22.1% | 17.1% | 0.9% | 0.2% | 0.0% | 0.0% | 6.5% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 1.6% | 0.0% | 0.0% | 1.3% | 14.1% | 0.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2013/3 | 30.3% | 1.3% | 0.0% | 25.0% | 15.2% | 1.5% | 0.0% | 0.0% | 0.0% | 7.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 3.2% | 0.0% | 0.0% | 0.7% | 15.8% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2014/3 | 25.9% | 1.6% | 0.0% | 22.2% | 14.2% | 2.1% | 0.0% | 0.0% | 0.0% | 11.5% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 3.6% | 0.0% | 0.0% | 0.2% | 8.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 10.7% |
| 2015/3 | 26.9% | 1.6% | 0.0% | 15.4% | 17.9% | 2.7% | 0.1% | 0.0% | 0.0% | 8.6% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 3.7% | 0.0% | 0.0% | 0.3% | 22.8% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/3 | 27.6% | 0.2% | 0.0% | 7.5% | 29.1% | 1.0% | 0.0% | 0.0% | 0.0% | 10.8% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 1.7% | 0.0% | 0.0% | 0.0% | 22.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/6 | 31.1% | 0.2% | 0.0% | 4.6% | 33.4% | 0.7% | 0.0% | 0.0% | 0.0% | 9.9% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 1.2% | 0.0% | 0.0% | 0.0% | 18.7% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| 2016/9 | 28.7% | 0.2% | 0.0% | 10.0% | 17.1% | 1.0% | 0.0% | 0.0% | 0.0% | 9.1% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 1.1% | 0.0% | 0.0% | 0.0% | 17.6% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 15.1% |
| 2016/12 | 26.0% | 0.3% | 0.0% | 15.8% | 15.5% | 0.7% | 0.0% | 0.0% | 0.0% | 9.7% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 1.1% | 0.0% | 0.0% | 0.0% | 18.3% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 12.5% |

5. Collective Investment Schemes(excluding mutual funds)

(4) Derivative Transactions

Exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|-------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2011/3 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 0 | 0 | 0 | 0 | 0 |
| 2013/3 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 0 | 0 | 0 | 0 | 0 |
| 2015/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 |

Over-the-counter derivatives

(Unit : ¥ 100million)

| | Forwards | Options | Swaps | Others |
|---------|----------|---------|-------|--------|
| 2011/3 | 0 | 0 | 0 | 0 |
| 2012/3 | 0 | 0 | 0 | 0 |
| 2013/3 | 0 | 0 | 0 | 0 |
| 2014/3 | 0 | 0 | 0 | 0 |
| 2015/3 | 0 | 0 | 0 | 0 |
| 2016/3 | 0 | 0 | 0 | 0 |
| 2016/6 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 |

Overseas exchange traded derivatives

(Unit : ¥ 100million)

| | Futures | | Options | | Others |
|---------|---------|-------|---------|-------|--------|
| | Stocks | Bonds | Stocks | Bonds | |
| 2011/3 | 0 | 0 | 0 | 0 | 0 |
| 2012/3 | 0 | 0 | 0 | 0 | 0 |
| 2013/3 | 0 | 0 | 0 | 0 | 0 |
| 2014/3 | 0 | 0 | 0 | 0 | 0 |
| 2015/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/3 | 0 | 0 | 0 | 0 | 0 |
| 2016/6 | 0 | 0 | 0 | 0 | 0 |
| 2016/9 | 0 | 0 | 0 | 0 | 0 |
| 2016/12 | 0 | 0 | 0 | 0 | 0 |

6.Change of No.of Members

| | No.of Discretionary Investment Advisory Firms | No.of Investment Advisory Firms |
|---------|---|------------------------------------|
| 1994/3 | 150 | 150 |
| 1995/3 | 150 | 145 |
| 1996/3 | 147 | 142 |
| 1997/3 | 145 | 138 |
| 1998/3 | 137 | 121 |
| 1999/3 | 127 | 98 |
| 2000/3 | 125 | 90 |
| 2001/3 | 125 | 90 |
| 2002/3 | 128 | 86 |
| 2003/3 | 121 | 77 |
| 2004/3 | 118 | 76 |
| 2005/3 | 121 | 86 |
| 2006/3 | 133 | 116 |
| 2007/3 | 138 | 123 |
| 2008/3 | 155 | 145 |
| 2009/3 | 214 | 122 |
| 2010/3 | 227 | 123 |
| 2011/3 | 247 | 502 |
| 2012/3 | 246 | 543 |
| 2013/3 | 239 | 516 |
| 2014/3 | 245 | 490 |
| 2015/3 | 258 | 477 |
| 2016/3 | 269 | 476 |
| 2016/6 | 273 | 478 |
| 2016/9 | 269 | 486 |
| 2016/12 | 270 | 484 |

| | Complaints | | | Consultations | | | Mediation | | | Total | | |
|---------|-------------------|-----------------------|-------|-------------------|-----------------------|-------|-------------------|-----------------------|-------|-------------------|-----------------------|-------|
| | To JIAA's members | To non-JIAA's members | Total | To JIAA's members | To non-JIAA's members | Total | To JIAA's members | To non-JIAA's members | Total | To JIAA's members | To non-JIAA's members | Total |
| 1999/3 | 10 | 13 | 23 | 1 | 1 | 2 | | | | 11 | 14 | 25 |
| 2000/3 | 6 | 4 | 10 | 4 | 5 | 9 | | | | 10 | 9 | 19 |
| 2001/3 | 8 | 16 | 24 | 7 | 13 | 20 | | | | 15 | 29 | 44 |
| 2002/3 | 15 | 6 | 21 | 13 | 27 | 40 | | | | 28 | 33 | 61 |
| 2003/3 | 33 | 11 | 44 | 13 | 12 | 25 | | | | 46 | 23 | 69 |
| 2004/3 | 31 | 15 | 46 | 21 | 13 | 34 | | | | 52 | 28 | 80 |
| 2005/3 | 29 | 7 | 36 | 17 | 16 | 33 | | | | 46 | 23 | 69 |
| 2006/3 | 19 | 6 | 25 | 14 | 13 | 27 | | | | 33 | 19 | 52 |
| 2007/3 | 32 | 9 | 41 | 15 | 8 | 23 | | | | 47 | 17 | 64 |
| 2008/3 | 22 | 8 | 30 | 6 | 9 | 15 | | | | 28 | 17 | 45 |
| 2009/3 | 30 | 5 | 35 | 5 | 6 | 11 | | | | 35 | 11 | 46 |
| 2010/3 | 15 | 1 | 16 | 18 | 0 | 18 | 33 | 1 | 34 | | | |
| 2011/3 | 16 | 0 | 16 | 42 | 9 | 51 | 5 | 0 | 5 | 63 | 9 | 72 |
| 2012/3 | 26 | 0 | 26 | 65 | 20 | 85 | 2 | 0 | 2 | 93 | 20 | 113 |
| 2013/3 | 21 | 0 | 21 | 71 | 17 | 88 | 1 | 0 | 1 | 93 | 17 | 110 |
| 2014/3 | 21 | 0 | 21 | 107 | 15 | 122 | 0 | 0 | 0 | 128 | 15 | 143 |
| 2015/3 | 17 | 0 | 17 | 117 | 16 | 133 | 1 | 0 | 1 | 135 | 16 | 151 |
| 2016/3 | 11 | 0 | 11 | 34 | 0 | 34 | 0 | 0 | 0 | 45 | 0 | 45 |
| 2016/6 | 11 | 0 | 11 | 30 | 5 | 35 | 1 | 0 | 1 | 42 | 5 | 47 |
| 2016/9 | 16 | 0 | 16 | 44 | 6 | 50 | 1 | 0 | 1 | 61 | 6 | 67 |
| 2016/12 | 16 | 0 | 16 | 41 | 6 | 47 | 2 | 0 | 2 | 59 | 6 | 65 |

※The activities concerning complaints and consultations have been outsourced to Financial Instruments Mediation Assistance Center (FINMAC) since February 2010.