Statistical Releases

■ Highlights of "June 2011"

The total assets under management by Investment Management Members (244firms) was yen 158trillion as of June 30, 2011, up yen 2,380 billion (+ 1.5%) from March 31, 2011.

The discretionary investment advisory assets under management increased by yen 2,956billion ($\pm 2.3\%$), while the assets under advice decreased by yen 569 billion ($\pm 2.3\%$).

The discretionary investment advisory assets under management of domestic clients increased by 0.1%, while that of overseas clients increased by 15.0%.

The assets under management of wrap accounts was yen 582 billion, down yen 9 billion from March 31, 2011. And the number of the contracts also decreased to 43,701 by 68.

The statistics about Real Estate Related Securities Investment Management Members are as follows: the amount of the assets under management was yen 4,344 billion with 515 contracts, while the amount of assets under advice was yen 4,440 billion with 439 contracts.

Assets Under Management by Investment Management Members

Discretionary Investment Advisory Contracts and Pooled Investment Vehicles

251

277

276

249

244

422.319

563,660

688,505

591.973

578,120

Domestic Clients Overseas Clients Real Estate Related Total Pooled Investment Vehicles Sub-total Public Pension Funds Sub-total Securities Private Pension Funds Pension Funds Number of Amount Amount Amount Amount Amount Amount Amount Amount Contracts 137.345 1994/3 4.480 111 13.433 286 9.586 407 24.078 167 7.445 4.887 161.423 1995/3 138,180 18,205 15,052 387 19.301 157.481 133 537 166 6,433 4,589 1996/3 3,997 149,285 178 26,751 792 22,503 429 26,420 185 10,075 4,426 175,705 1997/3 213.970 244 64.904 1.615 576 30.934 253 11.296 244.904 4.558 50.951 5.134 1998/3 4.857 260.005 256 82.046 2.323 81.939 530 25.372 215 9.282 5.387 285.37 1999/3 248 100,875 473 9,714 327,569 5,015 300,680 3,031 125,399 26,889 182 5,488 2000/3 368.787 231 119.231 3.775 175.187 500 60.201 182 16.288 6.017 428.988 5.517 79,150 2001/3 5,863 423,331 235 133,184 4,365 219,945 632 172 18,120 6,495 502,481 2002/3 6.166 473.348 234 145.864 4.869 232.015 747 78.331 153 15,638 6.913 551.679 462.862 222 131.143 210.292 901 74.177 222 18.059 537.039 2003/3 5.993 4.829 6.894 959 225 115.951 25.971 2004/3 5.370 520.741 178.076 4.245 229.643 228 6.329 636.692 2005/3 6.935 646.255 226 204.468 4.257 242.997 150.658 209 28.332 7.899 796.913 2006/3 28.615 840,519 219 264,020 4,553 309,905 1,130 262,708 248 49,993 29,745 1,103,228 2007/3 217 252 32,582 31,439 944.337 300,980 4,635 314.421 1,143 263,815 53,193 1,208,152

174.156

106,924

127,317

192,702

221,564

267

251

160

136

128

35,366

18.411

17,198

14.868

14.591

455

521

36,901

43.729

43,440

49 399

45.104

49,501

51.466

51,382

1.168.298

1,136,35

1,320,427

1.303.713

1,333,275

Total Number of Amount 4.887 161.423 157.481 4,589 4,426 175,705 244.904 5.134 5.387 285.377 5,488 327,569 6.017 428.988 6,495 502,481 6.913 551,679 6.894 537.039 6.329 636.692

7.899

29,745

32,582

49 436

45,701

49,634

51.570

51,490

1.602

2,396

1,961

1.774

1,703

597

133

104

108

796.913

1,103,228

1,208,152

1.169.900

1.138.750

1,322,389

1,305,487

1,334,978

(Unit: ¥ 100million)

Note Assets under management are based on the contract amount until 2001/3 wheras from 2002/3 based on the market value basis.

4.980

4.991

4,926

5.028

5.003

300.102

228.318

254,544

236,603

232,522

1.226

1.147

978

991

989

Percentage changes from the previous year (or term)

994.142

1,029,429

1,156,209

1.067.282

1,068,271

2008/3

2009/3

2010/3

2011/3

2011/6

48 173

43.957

48,068

49.954

49.878

			Domestic	Clients				Oversea	s Clients		Real Estat	e Related	Та	otal	Pooled Investr	mont Vahialaa	Tota
	Sub-1	total	Public Pens	ion Funds	Private Pens	ion Funds	Sub-t	otal	Pension	Funds	Secur	rities	10	otai	Fooled Investi	nent venicles	100
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	 umber of contracts
1995/3	-6.21%	0.61%	19.82%	35.53%	87.76%	57.02%	-4.91%	-19.84%	-0.60%	-13.59%			-6.10%	-2.44%	ó		-6.10%
1996/3	-4.88%	8.04%	33.83%	46.94%	47.49%	49.50%	10.85%	36.88%	11.45%	56.61%		/	-3.55%	11.57%	ó	/ I	-3.55%
1997/3	14.04%	43.33%	37.08%	142.62%	103.91%	126.42%	34.27%	17.09%	36.76%	12.12%			16.00%	39.38%	ó	/	16.00%
1998/3	6.56%	21.51%	4.92%	26.41%	43.84%	60.82%	-7.99%	-17.98%	-15.02%	-17.83%		/	4.93%	16.53%	ó	/_	4.93%
1999/3	3.25%	15.64%	-3.13%	22.95%	30.48%	53.04%	-10.75%	5.98%	-15.35%	4.65%			1.87%	14.78%	ó	/	1.87%
2000/3	10.01%	22.65%	-6.85%	18.20%	24.55%	39.70%	5.71%	123.89%	0.00%	67.68%			9.64%	30.96%	ó	/	9.64%
2001/3	6.27%	14.79%	1.73%	11.70%	15.63%	25.55%	26.40%	31.48%	-5.49%	11.25%			7.94%	17.13%	ó	/ I	7.94%
2002/3	5.17%	(11.82%)	-0.43%	(9.52%)	11.55%	(5.49%)	18.20%	(-1.04%)	-11.05%	(-13.70%)	,	/	6.44%	(9.79%)] /		6.44%
2003/3	-2.81%	-2.22%	-5.13%	-10.09%	-0.82%	-9.36%	20.62%	-5.30%	45.10%	15.48%	/		-0.27%	-2.65%	6		-0.27%
2004/3	-10.40%	12.50%	1.35%	35.79%	-12.09%	9.20%	6.44%	56.32%	2.70%	43.81%	/		-8.20%	18.56%			-8.20%
2005/3	29.14%	24.10%	0.44%	14.82%	0.28%	5.82%	0.52%	29.93%	-8.33%	9.09%	/		24.81%	25.16%	6 /		24.81%
2006/3	312.62%	30.06%	-3.10%	29.13%	6.95%	27.53%	17.22%	74.37%	18.66%	76.46%	/		276.57%	38.44%	6 /		 276.57%
2007/3	9.87%	12.35%	-0.91%	14.00%	1.80%	1.46%	1.15%	0.42%	1.61%	6.40%			9.54%	9.51%			9.54%
2008/3	53.23%	5.27%	15.67%	40.31%	7.44%	-4.55%	7.26%	-33.99%	5.95%	-33.51%			51.61%	-3.30%	6/		51.73%
2009/3	-8.75%	3.55%	10.36%	33.47%	0.22%	-23.92%	-6.44%	-38.60%	-5.99%	-47.94%			-8.69%	-2.73%	1513.51%	49.62%	-7.56%
2010/3	9.35%	12.32%	-0.36%	22.15%	-1.30%	11.49%	-14.73%	19.07%	-36.25%	-6.59%	/		9.75%	16.20%	-77.72%	-18.16%	8.61%
2011/3	3.92%	-7.69%	-9.78%	-14.02%	2.07%	-7.05%	1.33%	51.36%	-15.00%	-13.55%	14.51%	18.50%	3.97%	-1.27%	-21.80%	-9.53%	3.90%
2011/6	-0.15%	0.09%	-2.01%	-2.34%	-0.50%	-1.72%	-0.20%	14.98%	-5.88%	-1.86%	-1.15%	-0.66%	-0.16%	2.27%	3.85%	-4.04%	-0.16%

Amount -2.44%11.57% 39.38% 16.53% 14.78% 30 96% 17.13% 9.79%) -2.65%18.56% 25.16% 38.44% 9.51% -3.17% -2.66% 16.13% -1.28%2.26%

Assets Under Advice by Investment Management Members

(Unit: ¥ 100million)

														iit : # Toomillion)
			Domestic	Clients				Oversea	s Clients		Real Estate	e Related	T	otal
	Sub-t	otal	Public Pens	ion Funds	Private Pen	sion Funds	Sub−	total	Pension	Funds	Secur	ities	10	otai
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
1994/3	892	75,956	4	13,935	5	105	2,159	156,440	1,154	47,514			3,051	232,396
1995/3	740	75,035	4	15,073	5	105	1,703	149,885	785	52,603		/	2,443	224,920
1996/3	644	89,622	4	19,108	5	105	1,920	192,480	778	69,036			2,564	282,102
1997/3	614	104,914	5	21,822	5	105	1,812	210,007	787	90,428		/	2,426	314,921
1998/3	397	114,020	5	23,475	5	105	1,753	207,963	876	101,033			2,150	321,983
1999/3	4,778	218,398	6	28,187	4	80	1,481	167,750	834	92,070			6,259	386,148
2000/3	10,481	279,333	7	29,628	5	323	1,315	198,327	758	109,120			11,796	477,660
2001/3	9,308	309,914	4	16,227	5	1,120	409	98,251	39	33,220	/	/	9,717	408,165
2002/3	8,622	271,883	0	0	6	879	303	64,185	26	11,822	/		8,925	336,068
2003/3	3,549	243,170	0	0	2	70	249	56,573	24	10,447			3,798	299,743
2004/3	1,923	238,038	0	0	2	4	223	56,875	24	8,543	/		2,146	294,913
2005/3	1,449	228,528	0	0	1	3	205	53,701	25	8,679	/		1,654	282,229
2006/3	1,031	282,816	0	0	1	9,239	218	66,291	24	9,402	/		1,249	349,107
2007/3	778	399,456	0	0	1	10,166	254	70,166	20	6,878	/		1,032	469,622
2008/3	764	278,912	0	0	1	9,607	270	58,079	14	5,725			1,034	336,991
2009/3	1,072	244,164	0	0	3	9,489	303	32,113	17	4,102	/		1,375	276,277
2010/3	567	202,268	0	0	3	10,548	242	33,166	12	6,229	463	48,172	1,272	283,606
2011/3	472	172,827	0	0	5	14,743	225	32,507	13	6,348	464	46,150	1,161	251,484
2011/6	460	168,659	0	0	6	14,953	228	32,739	13	6,156	439	44,398	1,127	245,796

Note: Assets under management are based on the contract amount until 2001/3, wheras from 2002/3 based on the market value basis.

Percentage changes from the previous year (or term)

			Domesti	c Clients				Overseas	s Clients		Real Estat	e Related	Ta	otal
	Sub-t	otal	Public Pen	sion Funds	Private Per	sion Funds	Sub-t	otal	Pension	Funds	Secur	rities	10	Ital
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
1995/3	-17.04%	-1.21%	0.00%	8.17%	0.00%	0.00%	-21.12%	-4.19%	-31.98%	10.71%			-19.93%	-3.22%
1996/3	-12.97%	19.44%	0.00%	26.77%	0.00%	0.00%	12.74%	28.42%	-0.89%	31.24%			4.95%	25.42%
1997/3	-4.66%	17.06%	25.00%	14.20%	0.00%	0.00%	-5.63%	9.11%	1.16%	30.99%		/	-5.38%	11.63%
1998/3	-35.34%	8.68%	0.00%	7.58%	0.00%	0.00%	-3.26%	-0.97%	11.31%	11.73%			-11.38%	2.24%
1999/3	1103.53%	91.54%	20.00%	20.07%	-20.00%	-23.81%	-15.52%	-19.34%	-4.79%	-8.87%		/	191.12%	19.93%
2000/3	119.36%	27.90%	16.67%	5.11%	25.00%	303.13%	-11.21%	18.23%	-9.11%	18.52%		/	88.46%	23.70%
2001/3	-11.19%	10.95%	-42.86%	-45.23%	0.00%	247.13%	-68.90%	-50.46%	-94.85%	-69.56%			-17.62%	-14.55%
2002/3	-7.37% ((-12.27%)	-100.00%	(-100.00%)	20.00%	(-21.51%)	-25.92%	(-34.67%)	-33.33%	(-64.41%)	,	/	-8.15%	(-17.66%)
2003/3	-58.84%	-10.56%			-66.67%	-92.00%	-17.82%	-11.86%	-7.69%	-11.63%	/		-57.45%	-10.81%
2004/3	-45.82%	-2.11%			0.00%	-94.82%	-10.44%	0.54%	0.00%	-18.22%	/		-43.50%	-1.61%
2005/3	-24.65%	-4.00%			-50.00%	-29.67%	-8.07%	-5.58%	4.17%	1.59%	/		-22.93%	-4.30%
2006/3	-28.85%	23.76%			0.00%	360817.58%	6.34%	23.44%	-4.00%	8.32%			-24.49%	23.70%
2007/3	-24.54%	41.24%			0.00%	10.03%	16.51%	5.84%	-16.67%	-26.84%	/		-17.37%	34.52%
2008/3	-1.80%	-30.18%			0.00%	-5.50%	6.30%	-17.23%	-30.00%	-16.77%			0.19%	-28.24%
2009/3	40.31%	-12.46%			200.00%	-1.22%	12.22%	-44.71%	21.43%	-28.34%	/		32.98%	-18.02%
2010/3	-47.11%	-17.16%			0.00%	11.16%	-20.13%	3.28%	-29.41%	51.85%	/		-7.49%	2.65%
2011/3	-16.75%	-14.56%			66.67%	39.76%	-7.02%	-1.99%	8.33%	1.90%	0.22%	-4.20%	-8.73%	-11.33%
2011/6	-2.54%	-2.41%			20.00%	1.43%	1.33%	0.71%	0.00%	-3.03%	-5.39%	-3.80%	-2.93%	-2.26%

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Total Assets Under Management and Advice by Investment Management Members

																	(Unit :	¥ 100million)
			Domestic (Clients				Overseas	Clients		Real Estate	Related	То	tal	Pooled Investm	ent Vehicles	To	tal.
	Sub-to	otal	Public Pension	n Funds	Private Pensi	on Funds	Sub-t	otal	Pension	Funds	Securi	ties	10	itai	Fooled Investin	ent venicles	10	.aı
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
1994/3	5,372	213,301	115	27,368	291	9,691	2,566	180,518	1,321	54,959			7,938	393,819			7,938	393,819
1995/3	4,942	213,215	137	33,278	542	15,157	2,090	169,186	951	59,036		/	7,032	382,401		/	7,032	382,401
1996/3	4,641	238,907	182	45,859	797	22,608	2,349	218,900	963	79,111			6,990	457,807		/	6,990	457,807
1997/3	5,172	318,884	249	86,726	1,620	51,056	2,388	240,941	1,040	101,724		/	7,560	559,825		/	7,560	559,825
1998/3	5,254	374,025	261	105,521	2,328	82,044	2,283	233,335	1,091	110,315			7,537	607,360		/	7,537	607,360
1999/3	9,793	519,078	254	129,062	3,035	125,479	1,954	194,639	1,016	101,784			11,747	713,717		/ 1	11,747	713,717
2000/3	15,998	648,120	238	148,859	3,780	175,509	1,815	258,528	940	125,408		/	17,813	906,648	/	/ I	17,813	906,648
2001/3	15,171	733,245	239	149,411	4,370	221,064	1,041	177,401	211	51,340	/	/	16,212	910,646	/		16,212	910,646
2002/3	14,788	745,231	234	145,864	4,875	232,894	1,050	142,515	179	27,460	/		15,838	887,747			15,838	887,747
2003/3	9,542	706,032	222	131,143	4,831	210,362	1,150	130,750	246	28,506			10,692	836,781			10,692	836,781
2004/3	7,293	758,779	225	178,076	4,247	229,646	1,182	172,827	252	34,514	/		8,475	931,605			8,475	931,605
2005/3	8,384	874,783	226	204,468	4,258	242,999	1,169	204,359	234	37,011	/		9,553	1,079,142			9,553	1,079,142
2006/3	29,646	1,123,335	219	264,020	4,554	319,145	1,348	329,000	272	59,394	/		30,994	1,452,335	/		30,994	1,452,335
2007/3	32,217	1,343,793	217	300,980	4,636	324,587	1,397	333,981	272	60,071	/		33,614	1,677,774	/		33,614	1,677,774
2008/3	48,937	1,273,054	251	422,319	4,981	309,709	1,496	232,235	281	41,091			50,433	1,505,289	37	1,602	50,470	1,506,891
2009/3	45,029	1,273,593	277	563,660	4,994	237,807	1,450	139,037	268	22,514	/		46,479	1,412,630	597	2,396	47,076	1,415,027
2010/3	48,635	1,358,476	276	688,505	4,929	265,093	1,220	160,483	172	23,428	918	85,074	50,773	1,604,033	133	1,961	50,906	1,605,995
2011/3	50,426	1,240,109	249	591,973	5,033	251,345	1,216	225,209	149	21,216	985	89,879	52,627	1,555,197	104	1,774	52,731	1,556,971
2011/6	50,338	1,236,930	244	578,120	5,009	247,475	1,217	254,303	141	20,746	954	87,838	52,509	1,579,071	108	1,703	52,617	1,580,774

Note: Assets under management are based on the contract amount until 2001/3, wheras from 2002/3 based on the market value basis.

Percentage changes from the previous year (or term)

			Domestic	Clients				Overseas	Clients		Real Estate	e Related	То	t a l	Pooled Investr	ant Vakialaa	Tot	ial.
	Sub-to	otal	Public Pens	ion Funds	Private Pens	ion Funds	Sub-to	otal	Pension	Funds	Secur	ities	10	Lai	Fooled Investi	nent venicles	101	Lai
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
1995/3	-8.00%	-0.04%	19.13%	21.60%	86.25%	56.40%	-18.55%	-6.28%	-28.01%	7.42%			-11.41%	-2.90%			-11.41%	-2.90%
1996/3	-6.09%	12.05%	32.85%	37.81%	47.05%	49.16%	12.39%	29.38%	1.26%	34.00%		/	-0.60%	19.72%		/ [-0.60%	19.72%
1997/3	11.44%	33.48%	36.81%	89.12%	103.26%	125.83%	1.66%	10.07%	8.00%	28.58%		/	8.15%	22.28%		/	8.15%	22.28%
1998/3	1.59%	17.29%	4.82%	21.67%	43.70%	60.69%	-4.40%	-3.16%	4.90%	8.45%		/	-0.30%	8.49%		/	-0.30%	8.49%
1999/3	86.39%	38.78%	-2.68%	22.31%	30.37%	52.94%	-14.41%	-16.58%	-6.87%	-7.73%			55.86%	17.51%		/	55.86%	17.51%
2000/3	63.36%	24.86%	-6.30%	15.34%	24.55%	39.87%	-7.11%	32.82%	-7.48%	23.21%			51.64%	27.03%			51.64%	27.03%
2001/3	-5.17%	13.13%	0.42%	0.37%	15.61%	25.96%	-42.64%	-31.38%	-77.55%	-59.06%		/	-8.99%	0.44%		/	-8.99%	0.44%
2002/3	-2.52%	(1.63%)	-2.09%	(-2.37%)	11.56%	(5.35%)	0.86% (-19.66%)	-15.17% (-46.51%)	/	/	-2.31%	(-2.51%)	/		-2.31%	(-2.51%)
2003/3	-35.47%	-5.26%	-5.13%	-10.09%	-0.90%	-9.67%	9.52%	-8.26%	37.43%	3.81%	/		-32.49%	-5.74%			-32.49%	-5.74%
2004/3	-23.57%	7.47%	1.35%	35.79%	-12.09%	9.17%	2.78%	32.18%	2.44%	21.08%	/		-20.74%	11.33%			-20.74%	11.33%
2005/3	14.96%	15.29%	0.44%	14.82%	0.26%	5.81%	-1.10%	18.25%	-7.14%	7.23%	/		12.72%	15.84%			12.72%	15.84%
2006/3	253.60%	28.41%	-3.10%	29.13%	6.95%	31.34%	15.31%	60.99%	16.24%	60.48%	/		224.44%	34.58%			224.44%	34.58%
2007/3	8.67%	19.63%	-0.91%	14.00%	1.80%	1.71%	3.64%	1.51%	0.00%	1.14%	/		8.45%	15.52%			8.45%	15.52%
2008/3	51.90%	-5.26%	15.67%	40.31%	7.44%	-4.58%	7.09%	-30.46%	3.31%	-31.60%	/		50.04%	-10.28%	/		50.15%	-10.19%
2009/3	-7.99%	0.04%	10.36%	33.47%	0.26%	-23.22%	-3.07%	-40.13%	-4.63%	-45.21%			-7.84%	-6.16%	1513.51%	49.62%	-6.72%	-6.10%
2010/3	8.01%	6.66%	-0.36%	22.15%	-1.30%	11.47%	-15.86%	15.43%	-35.82%	4.06%	/		9.24%	13.55%	-77.72%	-18.16%	8.14%	13.50%
2011/3	3.68%	-8.71%	-9.78%	-14.02%	2.11%	-5.19%	-0.33%	40.33%	-13.37%	-9.44%	7.30%	5.65%	3.65%	-3.04%	-21.80%	-9.53%	3.59%	-3.05%
2011/6	-0.17%	-0.26%	-2.01%	-2.34%	-0.48%	-1.54%	0.08%	12.92%	-5.37%	-2.21%	-3.15%	-2.27%	-0.22%	1.54%	3.85%	-4.04%	-0.22%	1.53%

Assets Under Management of Wrap Accounts by Investment Management Members

(Unit: ¥ 100million)

	Discretiona	ary Assets	Assets Un	der Advice	To	tal
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
2006/3	22,689	3,364	861	77	23,550	3,441
2007/3	25,286	5,636	580	52	25,866	5,688
2008/3	41,615	7,469	496	40	42,111	7,508
2009/3	37,138	4,571	417	22	37,555	4,593
2010/3	41,773	5,696	317	22	42,090	5,718
2011/3	43,509	5,890	260	17	43,769	5,907
2011/6	43,455	5,805	246	16	43,701	5,821

Note: Above figures are included in those of the previous pages.

* "Wrap Accounts" mean those accounts in which clients pay one comprehensive asset-based fee which includes management fees, commissions for the execution of transactions, administrative fees, etc.

Percentage changes from the previous year (or term)

	Discretiona	ary Assets	Assets Un	der Advice	То	tal
	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
2007/3	11.45%	67.57%	-32.64%	-32.61%	9.83%	65.32%
2008/3	64.58%	32.51%	-14.48%	-24.19%	62.80%	31.99%
2009/3	-10.76%	-38.80%	-15.93%	-44.19%	-10.82%	-38.83%
2010/3	12.48%	24.63%	-23.98%	0.63%	12.08%	24.51%
2011/3	4.16%	3.40%	-17.98%	-22.22%	3.99%	3.30%
2011/6	-0.12%	-1.44%	-5.38%	-5.39%	-0.16%	-1.45%

Assets Under Management of Real Estate Investment Management Members

												(Unit: ¥ 100million)
		Discretiona	ary Assets			Assets Ur	ider Advice			To	otal	
	Number of Contracts	Of which the number of contracts with the parent SPC under the two-tier scheme	Amount	Of which the amount of assets under management for the parent SPC under the two-tier scheme	Number of Contracts	Of which the number of contracts with the parent SPC under the two-tier scheme	Amount	Of which the amount of assets under management for the parent SPC under the two-tier scheme	Number of Contracts	Of which the number of contracts with the parent SPC under the two-tier scheme	Amount	Of which the amount of assets under management for the parent SPC under the two-tier scheme
2008/3	31		2,353		47		3,438		78		5,791	
2009/3	406		32,283		414		43,824		820		76,107	
2010/3	462		37,184		479		49,219		941		86,403	
2011/3	521	52	43,729	4,353	464	30	46,150	4,075	985	82	89,879	8,428
2011/6	515	54	43,440	4,295	439	30	44,398	3,862	954	84	87,838	8,157

Note: Above figures are included in those of the previous pages.

Percentage changes from the previous year (or term)

		Discretions	ary Assets			Assets Un	der Advice			То	tal	
	Number of Contracts	Of which the number of contracts with the parent SPC under the two-tier scheme	Amount	Of which the amount of assets under management for the parent SPC under the two-tier scheme	Number of Contracts	Of which the number of contracts with the parent SPC under the two-tier scheme	Amount	Of which the amount of assets under management for the parent SPC under the two-tier scheme	Number of Contracts	Of which the number of contracts with the parent SPC under the two-tier scheme	Amount	Of which the amount of assets under management for the parent SPC under the two-tier scheme
2009/3	1209.68%		1272.00%		780.85%		1174.70%		951.28%		1214.24%	
2010/3	13.79%		15.18%		15.70%		12.31%		14.76%		13.53%	
2011/3	12.77%		17.60%		-3.13%		-6.24%		4.68%		4.02%	
2011/6	-1.15%	3.85%	-0.66%	-1.32%	-5.39%	0.00%	-3.80%	-5.24%	-3.15%	2.44%	-2.27%	-3.22%

Assets Under Management by Investment Type

Number of Contracts

		Domestic			Overseas			Global		Real Estate Related	Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Securities	Total
2004/3	2,278	362	259	660	410	175	338	211	1,636		6,329
2005/3	3,735	373	263	633	418	263	310	216	1,688		7,899
2006/3	22,301	411	349	657	494	356	309	389	4,479		29,745
2007/3	14,417	431	562	701	514	445	305	356	14,851		32,582
2008/3	7,280	455	7,145	780	506	435	359	461	32,015		49,436
2009/3	4,047	484	11,473	746	529	1,028	334	371	26,689		45,701
2010/3	3,583	508	17,851	706	645	628	354	259	24,640	460	49,634
2010/3	3,583	508	17,851	706	645	628	354	259	24,640	460	49,634
2011/3	3,038	546	20,202	718	781	748	376	320	24,320	521	51,570
2011/6	2,990	550	19,834	725	800	759	381	332	24,604	515	51,490

Percentage changes from the previous year (or term)

		Domestic			Overseas			Global		Real Estate Related	Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Securities	Total
2005/3	63.96%	3.04%	1.54%	-4.09%	1.95%	50.29%	-8.28%	2.37%	3.18%		24.81%
2006/3	497.08%	10.19%	32.70%	3.79%	18.18%	35.36%	-0.32%	80.09%	165.34%		276.57%
2007/3	-35.35%	4.87%	61.03%	6.70%	4.05%	25.00%	-1.29%	-8.48%	231.57%	/ [9.54%
2008/3	-49.50%	5.57%	1171.35%	11.27%	-1.56%	-2.25%	17.70%	29.49%	115.57%		51.73%
2009/3	-44.41%	6.37%	60.57%	-4.36%	4.55%	136.32%	-6.96%	-19.52%	-16.64%		-7.56%
2010/3	-11.47%	4.96%	55.59%	-5.36%	21.93%	-38.91%	5.99%	-30.19%	-7.68%		8.61%
2011/3	-15.21%	7.48%	13.17%	1.70%	21.09%	19.11%	6.21%	23.55%	-1.30%	13.26%	3.90%
2011/6	-1.58%	0.73%	-1.82%	0.97%	2.43%	1.47%	1.33%	3.75%	1.17%	-1.15%	-0.16%

		Domestic			Overseas			Global		Real Estate Related	Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Securities	Total
2004/3	206,020	82,761	16,243	61,434	92,407	7,579	29,832	17,751	122,664		636,692
2005/3	252,155	102,189	17,229	79,718	91,578	10,682	31,541	81,071	130,751		796,913
2006/3	420,137	110,502	27,757	118,513	115,815	14,723	38,023	104,742	153,015		1,103,228
2007/3	406,225	131,631	35,698	136,261	127,842	20,287	41,104	124,037	185,066		1,208,152
2008/3	265,668	255,282	32,630	130,557	147,692	16,859	30,264	126,789	164,159		1,169,899
2009/3	184,831	333,624	60,844	114,362	173,111	13,502	20,123	115,031	123,321	/	1,138,750
2010/3	229,493	404,072	23,108	162,544	205,988	15,471	25,504	89,112	129,884	37,214	1,322,389
2011/3	204,019	411,006	22,913	124,651	277,552	15,320	32,057	62,463	111,777	43,729	1,305,487
2011/6	199,909	405,817	24,083	116,640	278,415	15,514	32,392	79,584	139,183	43,440	1,334,978

Percentage changes from the previous year (or term)

		Domestic			Overseas			Global		Real Estate Related	Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Securities	Total
2005/3	22.39%	23.47%	6.07%	29.76%	-0.90%	40.94%	5.73%	356.71%	6.59%		25.16%
2006/3	66.62%	8.13%	61.11%	48.67%	26.47%	37.83%	20.55%	29.20%	17.03%		38.44%
2007/3	-3.31%	19.12%	28.61%	14.98%	10.38%	37.79%	8.10%	18.42%	20.95%		9.51%
2008/3	-34.60%	93.94%	-8.60%	-4.19%	15.53%	-16.90%	-26.37%	2.22%	-11.30%		-3.17%
2009/3	-30.43%	30.69%	86.47%	-12.40%	17.21%	-19.92%	-33.51%	-9.27%	-24.88%		-2.66%
2010/3	24.16%	21.12%	-62.02%	42.13%	18.99%	14.59%	26.74%	-22.53%	5.32%		16.13%
2011/3	-11.10%	1.72%	-0.84%	-23.31%	34.74%	-0.98%	25.70%	-29.91%	-13.94%	17.51%	-1.28%
2011/6	-2.01%	-1.26%	5.11%	-6.43%	0.31%	1.27%	1.04%	27.41%	24.52%	-0.66%	2.26%

Percentage

	Domestic				Overseas			Global		Real Estate Related	Total
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others	Securities	Total
2004/3	32.36%	13.00%	2.55%	9.65%	14.51%	1.19%	4.69%	2.79%	19.27%		100.00%
2005/3	31.64%	12.82%	2.16%	10.00%	11.49%	1.34%	3.96%	10.17%	16.41%		100.00%
2006/3	38.08%	10.02%	2.52%	10.74%	10.50%	1.33%	3.45%	9.49%	13.87%		100.00%
2007/3	33.62%	10.90%	2.95%	11.28%	10.58%	1.68%	3.40%	10.27%	15.32%		100.00%
2008/3	22.71%	21.82%	2.79%	11.16%	12.62%	1.44%	2.59%	10.84%	14.03%		100.00%
2009/3	16.23%	29.30%	5.34%	10.04%	15.20%	1.19%	1.77%	10.10%	10.83%		100.00%
2010/3	17.35%	30.56%	1.75%	12.29%	15.58%	1.17%	1.93%	6.74%	9.82%	2.81%	100.00%
2011/3	15.63%	31.48%	1.76%	9.55%	21.26%	1.17%	2.46%	4.78%	8.56%	3.35%	100.00%
2011/6	14.97%	30.40%	1.80%	8.74%	20.86%	1.16%	2.43%	5.96%	10.43%	3.25%	100.00%

Assets Under Management by Size

Number of Contracts

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2004/3	1,576	2,958	834	748	110	103	6,329
2005/3	3,012	2,931	874	849	110	123	7,899
2006/3	24,298	3,080	962	1,066	166	174	29,746
2007/3	27,019	3,087	995	1,134	163	184	32,582
2008/3	43,802	3,388	948	1,023	122	153	49,436
2009/3	40,682	3,204	754	822	118	121	45,701
2010/3	44,384	3,261	822	904	104	159	49,634
2011/3	46,083	3,063	734	780	117	168	50,945
2011/6	45,998	3,053	740	792	121	160	50,864

Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2005/3	91.12%	-0.91%	4.80%	13.50%		19.42%	24.81%
2006/3	706.71%	5.08%	10.07%	25.56%	50.91%	41.46%	276.58%
2007/3	11.20%	0.23%	3.43%	6.38%	-1.81%	5.75%	9.53%
2008/3	62.12%	9.75%	-4.72%	-9.79%	-25.15%	-16.85%	51.73%
2009/3	-7.12%	-5.43%	-20.46%	-19.65%	-3.28%	-20.92%	-7.56%
2010/3	9.10%	1.78%	9.02%	9.98%	-11.86%	31.40%	8.61%
2011/3	3.83%	-6.07%	-10.71%	-13.72%	12.50%	5.66%	2.64%
2011/6	-0.18%	-0.33%	0.82%	1.54%	3.42%	-4.76%	-0.16%

Amount of Assets (Unit: ¥100 million)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2004/3	6,602	71,773	59,274	154,245	78,250	266,549	636,692
2005/3	6,027	70,913	60,306	176,392	77,541	405,734	796,913
2006/3	8,852	77,754	67,620	225,773	113,138	610,090	1,103,228
2007/3	11,542	77,154	69,082	243,264	110,873	696,237	1,208,152
2008/3	15,760	83,637	66,092	218,314	84,849	701,247	1,169,900
2009/3	16,951	75,420	52,230	183,703	82,255	728,190	1,138,750
2010/3	16,733	76,902	57,833	193,731	73,862	903,326	1,322,389
2011/3	15,979	70,427	51,267	165,996	82,592	873,721	1,259,983
2011/6	16,445	68,945	51,380	167,909	86,881	898,230	1,289,790

Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2005/3	-8.70%	-1.20%	1.74%	14.36%	-0.91%	52.22%	25.16%
2006/3	46.87%	9.65%	12.13%	28.00%	45.91%	50.37%	38.44%
2007/3	30.38%	-0.77%	2.16%	7.75%	-2.00%	14.12%	9.51%
2008/3	36.55%	8.40%	-4.33%	-10.26%	-23.47%	0.72%	-3.17%
2009/3	7.55%	-9.82%	-20.97%	-15.85%	-3.06%	3.84%	-2.66%
2010/3	-1.29%	1.97%	10.73%	5.46%	-10.20%	24.05%	16.13%
2011/3	-4.51%	-8.42%	-11.35%	-14.32%	11.82%	-3.28%	-4.72%
2011/6	2.92%	-2.10%	0.22%	1.15%	5.19%	2.81%	2.37%

Percentage

I CI CCIIILAGE							
	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
2004/3	1.04%	11.27%	9.31%	24.23%	12.29%	41.86%	100.00%
2005/3	0.76%	8.90%	7.57%	22.13%	9.73%	50.91%	100.00%
2006/3	0.80%	7.05%	6.13%	20.46%	10.26%	55.30%	100.00%
2007/3	0.96%	6.39%	5.72%	20.14%	9.18%	57.63%	100.00%
2008/3	1.35%	7.15%	5.65%	18.66%	7.25%	59.94%	100.00%
2009/3	1.49%	6.62%	4.59%	16.13%	7.22%	63.95%	100.00%
2010/3	1.27%	5.82%	4.37%	14.65%	5.59%	68.31%	100.00%
2011/3	1.27%	5.59%	4.07%	13.17%	6.56%	69.34%	100.00%
2011/6	1.28%	5.35%	3.98%	13.02%	6.74%	69.64%	100.00%

Assets Under Management by Country and Area

Amount of A	ssets																		(Unit :	¥100 million)
	_	Jap	an			U	SA			E	urope				Asia			C)thers	
	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others
2004/3	250,563	135,647		26,798	45,502	57,753		1,190	26,692	37,491		879					19,446	15,174		19,556
2005/3	286,072	170,186		27,998	54,448	79,103		3,213	35,134	53,524		1,475					21,892	21,766		42,103
2006/3	473,552	192,863		32,245	72,421	98,853		4,541	46,689	64,704		1,398					33,995	32,210		49,757
2007/3	469,027	220,173		30,599	79,687	115,286		3,400	56,131	80,792		3,112					43,202	43,977		62,767
2008/3	313,860	349,092	1,179	29,891	72,102	112,182	0	1,265	54,247	87,852	0	1,470					47,579	44,654	0	54,528
2009/3	213,836	413,308	31,137	35,017	73,150	116,792	5	293	46,102	94,241	8	2,837					33,729	34,712	8	43,575
2010/3	260,368	453,913	36,579	22,429	99,702	132,213	200	7,792	62,121	95,249	9	2,203					50,231	46,239	2	53,139
2011/3	227,564	467,875	43,024	26,497	74,103	118,239	6	14,591	51,826	76,530	8	3,209	9,817	2,935	3	779	33,873	89,078	2	65,528
2011/6	222,061	502,117	42,821	27,740	70,461	118,053	6	12,880	48,917	78,702	25	2,956	10,863	7,006	3	1,187	33,086	86,951	1	69,141

Percentage of	hanges from	the previous	year (or ter	m)																
		Jap	an			U	SA			E	urope				Asia			C)thers	
	Stocks	Bonds	Real Estate Related Securities	Short term investments including others		Bonds	Real Estate Related Securities	Short term investments including others		Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others
2005/3	14.17%	25.46%		4.48%	19.66%	36.97%		170.08%	31.62%	42.76%		67.75%				$\overline{}$	12.58%	43.44%		115.29%
2006/3	65.54%	13.32%		15.17%	33.01%	24.97%		41.31%	32.89%	20.89%		-5.21%					55.28%	47.99%		18.18%
2007/3	-0.96%	14.16%		-5.11%	10.03%	16.62%		-25.14%	20.22%	24.86%		122.64%			/		27.08%	36.53%		26.15%
2008/3	-33.08%	58.55%		-2.31%	-9.52%	-2.69%		-62.79%	-3.36%	8.74%		-52.78%					10.13%	1.54%		-13.13%
2009/3	-31.87%	18.40%	2541.94%	17.15%	1.45%	4.11%		-76.80%	-15.01%	7.27%		93.04%					-29.11%	-22.26%		-20.09%
2010/3	21.76%	9.82%	17.48%	-35.95%	36.30%	13.20%	3809.77%	2554.88%	34.75%	1.07%	14.06%	-22.35%					48.93%	33.21%	-69.86%	21.95%
2011/3	-12.60%	3.08%	17.62%	18.14%	-25.68%	-10.57%	-96.78%	87.26%	-16.57%	-19.65%	-10.81%	45.66%					-32.57%	92.64%	-31.82%	23.31%
2011/6	-2.42%	7.32%	-0.47%	4.69%	-4.92%	-0.16%	-2.80%	-11.73%	-5.61%	2.84%	222.03%	-7.88%	0	1	-0	1	-2.32%	-2.39%	-37.58%	5.51%

Percentage																				
		Jap	an			U	SA			E	urope				Asia			C)thers	
	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others
2004/3	39.35%	21.30%		4.21%	7.15%	9.07%		0.19%	4.19%	5.89%		0.14%					3.05%	2.38%		3.07%
2005/3	35.90%	21.36%		3.51%	6.83%	9.93%		0.40%	4.41%	6.72%		0.19%					2.75%	2.73%		5.28%
2006/3	42.92%	17.48%		2.92%	6.56%	8.96%		0.41%	4.23%	5.86%		0.13%			/		3.08%	2.92%		4.51%
2007/3	38.82%	18.22%		2.53%	6.60%	9.54%		0.28%	4.65%	6.69%		0.26%		_			3.58%	3.64%		5.20%
2008/3	26.83%	29.84%	0.10%	2.56%	6.16%	9.59%	0.00%	0.11%	4.64%	7.51%	0.00%	0.13%					4.07%	3.82%	0.00%	4.66%
2009/3	18.78%	36.29%	2.73%	3.08%	6.42%	10.26%	0.00%	0.03%	4.05%	8.28%	0.00%	0.25%					2.96%	3.05%	0.00%	3.83%
2010/3	19.69%	34.33%	2.77%	1.70%	7.54%	10.00%	0.02%	0.59%	4.70%	7.20%	0.00%	0.17%					3.80%	3.50%	0.00%	4.02%
2011/3	17.43%	35.84%	3.30%	2.03%	5.68%	9.06%	0.00%	1.12%	3.97%	5.86%	0.00%	0.25%	0.75%	0.22%	0.00%	0.06%	2.59%	6.82%	0.00%	5.02%
2011/6	16.63%	37.61%	3.21%	2.08%	5.28%	8.84%	0.00%	0.96%	3.66%	5.90%	0.00%	0.22%	0.81%	0.52%	0.00%	0.09%	2.48%	6.51%	0.00%	5.18%

<u>Derivative Transactions</u>

Exchange traded derivatives

(Unit		Y 1	nn	mil	lian)
(Unit	- :	Ŧ.	UU	mıı	IIOn)

	Futi	ıres	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2008/3	6,935	5,465	71	14	1,862
2009/3	5,810	1,996	1,864	279	2,001
2010/3	7,170	3,250	772	0	391
2011/3	7,890	2,383	873	0	30,290
2011/6	8,532	3,130	1,245	1,380	321

Over-the-counter derivatives

/				,
(Unit	٠	¥100	million	า

	Forwards	Options	Swaps	Others
2008/3	65,390	368	76,422	5,656
2009/3	68,906	3,065	98,463	1,939
2010/3	89,273	5,169	81,825	13,617
2011/3	163,838	11,269	84,449	4,341
2011/6	138,497	19,703	86,573	4,045

Overseas exchange traded derivatives

(Unit: ¥100 million)

	Futi	ures	Opt	ions	Others
	Stocks	Bonds	Stocks	Bonds	Others
2008/3	2,842	10,744	218	5	707
2009/3	2,725	6,375	0	2	461
2010/3	4,162	4,600	1	0	600
2011/3	3,553	6,566	5	117	2,330
2011/6	3,383	7,895	5	195	2,789

Employees of Investment Management Members

(1)Discretionary Investment Advisory Business

		stment igement	Fund Mar	nagement	Rese	earch	Tra	ding	Mark	keting	Comp	liance	Ot	hers	To	otal	(CMA
	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(investmen t advisory functions)	members)
2004/3			1,396	987	629	452	305	206	1,193	594	324	192	3,509	1,768	7,355	4,200	2,342
2005/3			1,360	976	592	430	303	200	1,272	624	323	191	3,585	1,819	7,436	4,240	2,491
2006/3			1,451	1,073	650	523	335	221	1,528	796	357	224	3,996	2,108	8,316	4,945	2,769
2007/3	/		1,565	1,181	701	606	356	239	1,840	1,001	396	263	4,451	2,342	9,309	5,632	2,958
2008/3			1,852	1,321	779	620	364	243	2,315	1,137	484	333	5,318	2,808	11,112	6,462	3,182
2009/3	V		2,130	1,616	1,053	837	392	260	2,553	1,318	629	418	6,257	3,358	13,014	7,806	3,366
2010/3	3,783	2,884	2,125	1,598	1,024	852	406	273	2,477	1,247	626	423	6,402	3,425	13,288	7,980	3,452
2011/3	3,089	2,337	1,902	1,395	794	656	392	286	2,529	1,106	652	375	5,599	3,084	11,868	6,901	3,695

Tempora	ary Staff
Total	(investmen t advisory functions)
630	344
653	376
800	458
842	490
966	529
861	507
744	436
619	375

Average Years of Experience

	Fund Ma	anagement	Rese	arch	Trading			
	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)		
2004/3	11.2	11.7	9.7	10.4	9.2	9.8		
2005/3	11.9	12.5	10.0	10.7	9.5	10.1		
2006/3	12.3	12.7	9.9	11.1	10.0	10.2		
2007/3	12.3	12.7	10.4	10.7	9.9	10.1		
2008/3	11.9	12.4	10.6	10.9	10.3	10.6		
2009/3	11.4	11.5	9.6	9.7	9.8	9.8		
2010/3	11.9 12.0		9.9	9.9	10.7	10.5		
2011/3	13.0	13.4	11.9	12.2	11.3	11.4		

Note1:The number of employees engaging in real estate related securities investment management business and fund management business are included until 2010/3. Note2:Employees of the members who engage in both securities business and trust business are counted only in investment advisory functions.

(2)Real Estate Related Securities Investment management Business

	Investment Management			Others	Total	The number of ARES Certified Master holders	
2011/3	674	271	121	675	1,740	353	



(3)Fund Management Business

Ī		Inve	stment						Marketing		Compliance		Others		Total	
		Management		Fund Management Research		earch	Marketing		Compliance		Others		Total		(CMA	
		Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(investmen t advisory functions)	members)
I	2011/3	130	66	83	53	47	13	15	11	10	8	138	69	292	153	22

Tempor	ary Staff	
Total	(investment advisory functions)	
12	9	

Average Years of Experience

	Fund Ma	anagement	Research			
	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)		
2011/3	7.3	6.8	7.2	7.3		

Note: Employees who are deemed to be engaged in providing services with regard to clients' assets are counted.

Members of JSIAA

	Investment Management Firms	(those who carry out investment trust management business)	Investment Advisory Firms
1994/3	150		150
1995/3	151		145
1996/3	147	12	142
1997/3	145	21	138
1998/3	137	35	121
1999/3	130	48	98
2000/3	125	58	90
2001/3	126	68	90
2002/3	129	65	86
2003/3	121	65	77
2004/3	118	64	76
2005/3	121	62	86
2006/3	133	68	116
2007/3	138	69	123
2008/3	155	72	145
2009/3	214	72	122
2010/3	228	74	123
2011/3	247	73	502
2011/6	245	73	527

Complaints and Consultations

		Complaints			Consultation	S		Mediation			Total	
	about members' conducts and services	about non- members' conducts and services	Total	about members' conducts and services	about non- members' conducts and services	Total	about members' conducts and services	about non- members' conducts and services	Total	about members' conducts and services	about non- members' conducts and services	Total
1994	9	4	13	5	3	8			/	14	7	21
1995	12	4	16	11	7	18				23	11	34
1996	15	12	27	3	0	3				18	12	30
1997	21	5	26	0	0	0				21	5	26
1998	10	13	23	1	1	2				11	14	25
1999	6	4	10	4	5	9		/	/	10	9	19
2000	8	16	24	7	13	20				15	29	44
2001	15	6	21	13	27	40	1			28	33	61
2002	33	11	44	13	12	25	1			46	23	69
2003	31	15	46	21	13	34	1			52	28	80
2004	29	7	36	17	16	33] ,			46	23	69
2005	19	6	25	14	13	27] /			33	19	52
2006	32	9	41	15	8	23				47	17	64
2007	22	8	30	6	9	15				28	17	45
2008	30	5	35	5	6	11				35	11	46
2009	15	1	16	18	0	18				33	1	34
2010	16	0	16	42	9	51	5	0	5	63	9	72
2011/6	4	0	4	20	4	24	0	0	0	24	4	28

^{*}The activities concerning complaints and consultations have been outsourced to Financial Instruments Mediation Assistance Center (FINMAC) since February 2010.