## Statistical Releases

## Highlights of "December 2009"

The total assets under management by investment management firms (226 firms) was $¥ 157$ trillion as of December 31,2009 , increased by $¥ 2,755$ billion (+1.8\%) from September 30, 2009.

The discretionary and the non-discretionary assets under management increased by $¥ 2,488$ billion ( $+2.0 \%$ ) and by $¥ 280$ billion ( $+1.0 \%$ ) respectively.

The discretionary assets under management of domestic clients increased by $2.0 \%$, while that of overseas clients increased by $1.4 \%$.

The assets under management of wrap accounts was $¥ 554$ billion, showing the increase of $¥ 10$ billion with the increase in the number of the contracts to 41,314 by 631 from September 30, 2009. The average amount per contract reported was $¥ 13.4$ million.

The statistics about real estate investment management members are as follows: the amount of the assets under management was $¥ 3,588$ billion with 459 contracts, while the amount of assets under advice was $¥ 4,854$ billion with 486 contracts.

|  | Domestic Clients |  |  |  |  |  | Overseas Clients |  |  |  | Real Estate Related Securities |  | Total |  | Pooled Investment Vehicles |  | (Unit: $¥ 100$ million) |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Sub-total |  | Public Pension Funds |  | Private Pension Funds |  | Sub-total |  | Pension Funds |  |  |  | Total |  |  |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |  |  | No. of Contracts | Amount | No. of Vehicles | Amount | Number | Amount |
| 93/03 | 4,865 | 131,806 | 90 | 9,358 | 182 | 5,923 | 380 | 20,202 | 169 | 5,430 |  |  | 5,245 | 152,008 |  |  | 5,245 | 152,008 |
| 94/03 | 4,480 | 137,345 | 111 | 13,433 | 286 | 9,586 | 407 | 24,078 | 167 | 7,445 |  |  | 4,887 | 161,423 |  |  | 4,887 | 161,423 |
| 95/03 | 4,202 | 138,180 | 133 | 18,205 | 537 | 15,052 | 387 | 19,301 | 166 | 6,433 |  |  | 4,589 | 157,481 |  |  | 4,589 | 157,481 |
| 96/03 | 3,997 | 149,285 | 178 | 26,751 | 792 | 22,503 | 429 | 26,420 | 185 | 10,075 |  |  | 4,426 | 175,705 |  |  | 4,426 | 175,705 |
| 97/03 | 4,558 | 213,970 | 244 | 64,904 | 1,615 | 50,951 | 576 | 30,934 | 253 | 11,296 |  |  | 5,134 | 244,904 |  |  | 5,134 | 244,904 |
| 98/03 | 4,857 | 260,005 | 256 | 82,046 | 2,323 | 81,939 | 530 | 25,372 | 215 | 9,282 |  |  | 5,387 | 285,377 |  |  | 5,387 | 285,377 |
| 99/03 | 5,015 | 300,680 | 248 | 100,875 | 3,031 | 125,399 | 473 | 26,889 | 182 | 9,714 |  |  | 5,488 | 327,569 |  |  | 5,488 | 327,569 |
| 00/03 | 5,517 | 368,787 | 231 | 119,231 | 3,775 | 175,187 | 500 | 60,201 | 182 | 16,288 |  |  | 6,017 | 428,988 |  |  | 6,017 | 428,988 |
| 01/03 | 5,863 | 423,331 | 235 | 133,184 | 4,365 | 219,945 | 632 | 79,150 | 172 | 18,120 |  |  | 6,495 | 502,481 |  |  | 6,495 | 502,481 |
| 02/03 | 6,166 | 473,348 | 234 | 145,864 | 4,869 | 232,015 | 747 | 78,331 | 153 | 15,638 |  |  | 6,913 | 551,679 |  |  | 6,913 | 551,679 |
| 03/03 | 5,993 | 462,862 | 222 | 131,143 | 4,829 | 210,292 | 901 | 74,177 | 222 | 18,059 |  |  | 6,894 | 537,039 |  |  | 6,894 | 537,039 |
| 04/03 | 5,370 | 520,741 | 225 | 178,076 | 4,245 | 229,643 | 959 | 115,951 | 228 | 25,971 |  |  | 6,329 | 636,692 |  |  | 6,329 | 636,692 |
| 05/03 | 6,935 | 646,255 | 226 | 204,468 | 4,257 | 242,997 | 964 | 150,658 | 209 | 28,332 |  |  | 7,899 | 796,913 |  |  | 7,899 | 796,913 |
| 06/03 | 28,615 | 840,519 | 219 | 264,020 | 4,553 | 309,905 | 1,130 | 262,708 | 248 | 49,993 |  |  | 29,745 | 1,103,228 |  |  | 29,745 | 1,103,228 |
| 07/03 | 31,439 | 944,337 | 217 | 300,980 | 4,635 | 314,421 | 1,143 | 263,815 | 252 | 53,193 |  |  | 32,582 | 1,208,152 |  |  | 32,582 | 1,208,152 |
| 08/03 | 48,173 | 994,142 | 251 | 422,319 | 4,980 | 300,102 | 1,226 | 174,156 | 267 | 35,366 |  |  | 49,399 | 1,168,298 | 37 | 1,602 | 49,436 | 1,169,900 |
| 09/03 | 43,957 | 1,029,429 | 277 | 563,660 | 4,991 | 228,318 | 1,147 | 106,924 | 251 | 18,411 |  |  | 45,104 | 1,136,353 | 597 | 2,396 | 45,701 | 1,138,750 |
| 09/06 | 43,221 | 1,121,132 | 276 | 654,640 | 4,915 | 248,699 | 1,128 | 119,504 | 247 | 22,160 | 402 | 33,610 | 44,751 | 1,274,245 | 147 | 2,322 | 44,898 | 1,276,567 |
| 09/09 | 47,022 | 1,108,257 | 275 | 664,052 | 4,888 | 247,719 | 1,038 | 118,445 | 219 | 19,956 | 424 | 35,005 | 48,484 | 1,261,707 | 139 | 2,208 | 48,623 | 1,263,915 |
| 09/12 | 47,306 | 1,130,746 | 278 | 680,539 | 4,910 | 249,973 | 985 | 120,054 | 173 | 16,917 | 453 | 35,782 | 48,744 | 1,286,582 | 132 | 2,087 | 48,876 | 1,288,669 |

Note: Assets under management are based on the contract amount until 01/03,wheras from 02/03 based on the market value basis.
Percentage changes from the previous year (or term)

|  | Domestic Clients |  |  |  |  |  | Overseas Clients |  |  |  | Real Estate Related Securities |  | Total |  | Pooled Investment Vehicles |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Sub-total |  | Public Pension Funds |  | Private Pension |  | Sub-total |  | Pension Funds |  |  |  |  |  |  |  |  |  |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | unt | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Vehicles | Amout | Number | Amount |
| 94/03 | -7.91\% | 4.20\% | 23.33\% | 43.55\% | 57.14\% | 61.85\% | 7.11\% | 19.19\% | -1.18\% | 37.11\% |  | , | -6.83\% | 6.19\% |  |  | -6.83\% | 6.19\% |
| 95/03 | -6.21\% | 0.61\% | 19.82\% | 35.53\% | 87.76\% | 57.02\% | -4.91\% | -19.84\% | -0.60\% | -13.59\% |  |  | -6.10\% | -2.44\% |  |  | -6.10\% | -2.44\% |
| 96/03 | -4.88\% | 8.04\% | 33.83\% | 46.94\% | 47.49\% | 49.50\% | 10.85\% | 36.88\% | 11.45\% | $56.61 \%$ |  |  | -3.55\% | 11.57\% |  |  | -3.55\% | 11.57\% |
| 97/03 | 14.04\% | 43.33\% | 37.08\% | 142.62\% | 103.91\% | 126.42\% | 34.27\% | 17.09\% | 36.76\% | 12.12\% |  |  | 16.00\% | 39.38\% |  |  | 16.00 | 39.38\% |
| 98/03 | 6.56\% | 21.51\% | 4.92\% | 26.41\% | 43.84\% | 60.82\% | -7.99\% | -17.98\% | -15.02\% | -17.83\% |  |  | 4.93\% | 16.53\% |  |  | 4.93\% | 16.53\% |
| 99/03 | 3.25\% | 15.64\% | -3.13\% | 22.95\% | 30.48\% | 53.04\% | -10.75\% | 5.98\% | -15.35\% | 4.65\% |  |  | 1.87\% | 14.78\% |  |  | 1.87\% | 14.78\% |
| 00/03 | 10.01\% | 22.65\% | -6.85\% | 18.20\% | 24.55\% | 39.70\% | 5.71\% | 123.89\% | 0.00\% | 67.68\% |  |  | 9.64\% | 30.96\% |  |  | 9.64\% | 30.96\% |
| 01/03 | 6.27\% | 14.79\% | 1.73\% | 11.70\% | 15.63\% | 25.55\% | 26.40\% | 31.48\% | -5.49\% | 11.25\% |  |  | 7.94\% | 17.13\% |  |  | 7.94 | 17.13\% |
| 02/03 | 5.17\% | ( $11.82 \%$ ) | -0.43\% | ( 9.52\%) | 11.55\% | ( $5.49 \%$ ) | 18.20\% | ( $-1.04 \%$ ) | -11.05\% | (-13.70\%) |  |  | 6.44\% | ( 9.79\%) |  |  | 6.44\% | ( $9.79 \%$ ) |
| 03/03 | -2.81\% | -2.22\% | -5.13\% | -10.09\% | -0.82\% | -9.36\% | 20.62\% | -5.30\% | 45.10\% | 15.48\% |  |  | -0.27\% | -2.65\% |  |  | -0.27\% | -2.65\% |
| 04/03 | -10.40\% | 12.50\% | 1.35\% | 35.79\% | -12.09\% | 9.20\% | 6.44\% | 56.32\% | 2.70\% | 43.81\% |  |  | -8.20\% | 18.56\% |  |  | -8.20\% | 18.56\% |
| 05/03 | 29.14\% | 24.10\% | 0.44\% | 14.82\% | 0.28\% | 5.82\% | 0.52\% | 29.93\% | -8.33\% | 9.09\% |  |  | 24.81\% | 25.16\% |  |  | 24.81\% | 25.16\% |
| 06/03 | 312.62\% | 30.06\% | -3.10\% | 29.13\% | 6.95\% | 27.53\% | 17.22\% | 74.37\% | 18.66\% | 76.46\% |  |  | 276.57\% | 38.44\% |  |  | 276.57\% | 38.44\% |
| 07/03 | 9.87\% | 12.35\% | -0.91\% | 14.00\% | 1.80\% | 1.46\% | 1.15\% | 0.42\% | 1.61\% | 6.40\% |  |  | 9.54\% | 9.51\% |  |  | 9.54\% | 9.51\% |
| 08/03 | 53.23\% | 5.27\% | 15.67\% | 40.31\% | 7.44\% | -4.55\% | 7.26\% | -33.99\% | 5.95\% | -33.51\% |  |  | 51.61\% | -3.30\% |  |  | 51.73\% | -3.17\% |
| 09/03 | -8.75\% | 3.55\% | 10.36\% | 33.47\% | 0.22\% | -23.92\% | -6.44\% | -38.60\% | -5.99\% | -47.94\% |  |  | -8.69\% | -2.73\% | 1513.51\% | 49.62\% | -7.56\% | -2.66\% |
| 09/06 | -1.67\% | 8.91\% | -0.36\% | 16.14\% | -1.52\% | 8.93\% | -1.66\% | 11.76\% | -1.59\% | 20.36\% |  |  | -0.78\% | 12.13\% | -75.38\% | -3.10\% | -1.76\% | 12.10\% |
| 09/09 | 8.79\% | -1.15\% | -0.36\% | 1.44\% | -0.55\% | -0.39\% | -7.98\% | -0.89\% | -11.34\% | -9.95\% | 5.47\% | 4.15\% | 8.34\% | -0.98\% | -5.44\% | -4.91\% | 8.30\% | -0.99\% |
| 09/12 | 0.60\% | 2.03\% | 1.09\% | 2.48\% | 0.45\% | 0.91\% | -5.11\% | 1.36\% | -21.00\% | -15.23\% | 6.84\% | 2.22\% | 0.54\% | 1.97\% | -5.04\% | -5.49\% | 0.52\% | 1.96\% |


|  | Domestic Clients |  |  |  |  |  | Overseas Clients |  |  |  | Real Estate Related Securities |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Sub-total |  | Public Pension Funds |  | Private Pension Funds |  | Sub-total |  | Pension Funds |  |  |  |  |  |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 93/03 | 974 | 76,606 | 4 | 11,908 | 6 | 133 | 1,956 | 133,650 | 977 | 41,029 |  | 1 | 2,930 | 210,256 |
| 94/03 | 892 | 75,956 | 4 | 13,935 | 5 | 105 | 2,159 | 156,440 | 1,154 | 47,514 |  |  | 3,051 | 232,396 |
| 95/03 | 740 | 75,035 | 4 | 15,073 | 5 | 105 | 1,703 | 149,885 | 785 | 52,603 |  |  | 2,443 | 224,920 |
| 96/03 | 644 | 89,622 | 4 | 19,108 | 5 | 105 | 1,920 | 192,480 | 778 | 69,036 |  |  | 2,564 | 282,102 |
| 97/03 | 614 | 104,914 | 5 | 21,822 | 5 | 105 | 1,812 | 210,007 | 787 | 90,428 |  |  | 2,426 | 314,921 |
| 98/03 | 397 | 114,020 | 5 | 23,475 | 5 | 105 | 1,753 | 207,963 | 876 | 101,033 |  |  | 2,150 | 321,983 |
| 99/03 | 4,778 | 218,398 | 6 | 28,187 | 4 | 80 | 1,481 | 167,750 | 834 | 92,070 |  |  | 6,259 | 386,148 |
| 00/03 | 10,481 | 279,333 | 7 | 29,628 | 5 | 323 | 1,315 | 198,327 | 758 | 109,120 |  |  | 11,796 | 477,660 |
| 01/03 | 9,308 | 309,914 | 4 | 16,227 | 5 | 1,120 | 409 | 98,251 | 39 | 33,220 |  |  | 9,717 | 408,165 |
| 02/03 | 8,622 | 271,883 | 0 | 0 | 6 | 879 | 303 | 64,185 | 26 | 11,822 |  |  | 8,925 | 336,068 |
| 03/03 | 3,549 | 243,170 | 0 | 0 | 2 | 70 | 249 | 56,573 | 24 | 10,447 |  |  | 3,798 | 299,743 |
| 04/03 | 1,923 | 238,038 | 0 | 0 | 2 | 4 | 223 | 56,875 | 24 | 8,543 |  |  | 2,146 | 294,913 |
| 05/03 | 1,449 | 228,528 | 0 | 0 | 1 | 3 | 205 | 53,701 | 25 | 8,679 |  |  | 1,654 | 282,229 |
| 06/03 | 1,031 | 282,816 | 0 | 0 | 1 | 9,239 | 218 | 66,291 | 24 | 9,402 |  |  | 1,249 | 349,107 |
| 07/03 | 778 | 399,456 | 0 | 0 | 1 | 10,166 | 254 | 70,166 | 20 | 6,878 |  |  | 1,032 | 469,622 |
| 08/03 | 764 | 278,912 | 0 | 0 | 1 | 9,607 | 270 | 58,079 | 14 | 5,725 |  |  | 1,034 | 336,991 |
| 09/03 | 1,072 | 244,164 | 0 | 0 | 3 | 9,489 | 303 | 32,113 | 17 | 4,102 |  |  | 1,375 | 276,277 |
| 09/06 | 630 | 207,387 | 0 | 0 | 3 | 10,087 | 282 | 32,732 | 17 | 5,302 | 480 | 44,098 | 1,392 | 284,216 |
| 09/09 | 631 | 208,120 | 0 | 0 | 3 | 10,259 | 258 | 31,666 | 15 | 5,760 | 485 | 44,635 | 1,374 | 284,421 |
| 09/12 | 585 | 208,809 | 0 | 0 | 3 | 10,352 | 250 | 32,002 | 12 | 6,003 | 468 | 46,408 | 1,303 | 287,218 |

Note:Assets under management are based on the contract amount until 01/03, wheras from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

|  | Domestic Clients |  |  |  |  |  | Overseas Clients |  |  |  | Real Estate Related Securities |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Sub-total |  | Public Pension Funds |  | Private Pension Funds |  | Sub-total |  | Pension Funds |  |  |  |  |  |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 94/03 | -8.42\% | -0.85\% | 0.00\% | 17.02\% | -16.67\% | -21.11\% | 10.38\% | 17.05\% | 18.12\% | 15.81\% |  |  | 4.13\% | 10.53\% |
| 95/03 | -17.04\% | -1.21\% | 0.00\% | 8.17\% | 0.00\% | 0.00\% | -21.12\% | -4.19\% | -31.98\% | 10.71\% |  |  | -19.93\% | -3.22\% |
| 96/03 | -12.97\% | 19.44\% | 0.00\% | 26.77\% | 0.00\% | 0.00\% | 12.74\% | 28.42\% | -0.89\% | 31.24\% |  |  | 4.95\% | 25.42\% |
| 97/03 | -4.66\% | 17.06\% | 25.00\% | 14.20\% | 0.00\% | 0.00\% | -5.63\% | 9.11\% | 1.16\% | 30.99\% |  |  | -5.38\% | 11.63\% |
| 98/03 | -35.34\% | 8.68\% | 0.00\% | 7.58\% | 0.00\% | 0.00\% | -3.26\% | -0.97\% | 11.31\% | 11.73\% |  |  | -11.38\% | 2.24\% |
| 99/03 | 1103.53\% | 91.54\% | 20.00\% | 20.07\% | -20.00\% | -23.81\% | -15.52\% | -19.34\% | -4.79\% | -8.87\% |  |  | 191.12\% | 19.93\% |
| 00/03 | 119.36\% | 27.90\% | 16.67\% | 5.11\% | 25.00\% | 303.13\% | -11.21\% | 18.23\% | -9.11\% | 18.52\% |  |  | 88.46\% | 23.70\% |
| 01/03 | -11.19\% | 10.95\% | -42.86\% | -45.23\% | 0.00\% | 247.13\% | -68.90\% | -50.46\% | -94.85\% | -69.56\% |  |  | -17.62\% | -14.55\% |
| 02/03 | -7.37\% | ( $-12.27 \%$ ) | -100.00\% | ( $-100.00 \%$ ) | 20.00\% | ( $-21.51 \%$ ) | -25.92\% | -34.67\% ) | -33.33\% | ( $-64.41 \%$ ) |  |  | -8.15\% | ( $-17.66 \%$ ) |
| 03/03 | -58.84\% | -10.56\% | --- | --- | -66.67\% | -92.00\% | -17.82\% | -11.86\% | -7.69\% | -11.63\% |  |  | -57.45\% | -10.81\% |
| 04/03 | -45.82\% | -2.11\% | --- | --- | 0.00\% | -94.82\% | -10.44\% | 0.54\% | 0.00\% | -18.22\% |  |  | -43.50\% | -1.61\% |
| 05/03 | -24.65\% | -4.00\% | --- | --- | -50.00\% | -29.67\% | -8.07\% | -5.58\% | 4.17\% | 1.59\% |  |  | -22.93\% | -4.30\% |
| 06/03 | -28.85\% | 23.76\% | --- | --- | 0.00\% | 360817.58\% | 6.34\% | 23.44\% | -4.00\% | 8.32\% |  |  | -24.49\% | 23.70\% |
| 07/03 | -24.54\% | 41.24\% | --- | --- | 0.00\% | 10.03\% | 16.51\% | 5.84\% | -16.67\% | -26.84\% |  |  | -17.37\% | 34.52\% |
| 08/03 | -1.80\% | -30.18\% | --- | --- | 0.00\% | -5.50\% | 6.30\% | -17.23\% | -30.00\% | -16.77\% |  |  | 0.19\% | -28.24\% |
| 09/03 | 40.31\% | -12.46\% | --- | --- | 200.00\% | -1.22\% | 12.22\% | -44.71\% | 21.43\% | -28.34\% |  |  | 32.98\% | -18.02\% |
| 09/06 | -41.23\% | -15.06\% | --- | --- | 0.00\% | 6.30\% | -6.93\% | 1.93\% | 0.00\% | 29.24\% |  |  | 1.24\% | 2.87\% |
| 09/09 | 0.16\% | 0.35\% | --- | --- | 0.00\% | 1.71\% | -8.51\% | -3.26\% | -11.76\% | 8.64\% | 1.04\% | 1.22\% | -1.29\% | 0.07\% |
| 09/12 | -7.29\% | 0.33\% | --- | --- | 0.00\% | 0.91\% | -3.10\% | 1.06\% | -20.00\% | 4.22\% | -3.51\% | 3.97\% | -5.17\% | 0.98\% |

Note:Figures in the parenthesis indicate the above-mentioned change in calculation standard

|  | Domestic Clients |  |  |  |  |  | Overseas Clients |  |  |  | Real Estate RelatedSecurities |  | Total |  | Pooled Investment Vehicles |  | (Unit: $¥ 100 \mathrm{million}$ ) |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Sub-total |  | Public Pension Funds |  | Private Pension Funds |  | Sub-total |  | Pension Funds |  |  |  | Total |  |  |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |  |  | No. of Contracts | Amount | No. of Vehicles | Amount | Number | Amount |
| 93/03 | 5,839 | 208,412 | 94 | 21,266 | 188 | 6,056 | 2,336 | 153,852 | 1,146 | 46,459 |  | / | 8,175 | 362,264 |  | $\square$ | 8,175 | 362,264 |
| 94/03 | 5,372 | 213,301 | 115 | 27,368 | 291 | 9,691 | 2,566 | 180,518 | 1,321 | 54,959 |  |  | 7,938 | 393,819 |  |  | 7,938 | 393,819 |
| 95/03 | 4,942 | 213,215 | 137 | 33,278 | 542 | 15,157 | 2,090 | 169,186 | 951 | 59,036 |  |  | 7,032 | 382,401 |  |  | 7,032 | 382,401 |
| 96/03 | 4,641 | 238,907 | 182 | 45,859 | 797 | 22,608 | 2,349 | 218,900 | 963 | 79,111 |  |  | 6,990 | 457,807 |  |  | 6,990 | 457,807 |
| 97/03 | 5,172 | 318,884 | 249 | 86,726 | 1,620 | 51,056 | 2,388 | 240,941 | 1,040 | 101,724 |  |  | 7,560 | 559,825 |  |  | 7,560 | 559,825 |
| 98/03 | 5,254 | 374,025 | 261 | 105,521 | 2,328 | 82,044 | 2,283 | 233,335 | 1,091 | 110,315 |  |  | 7,537 | 607,360 |  |  | 7,537 | 607,360 |
| 99/03 | 9,793 | 519,078 | 254 | 129,062 | 3,035 | 125,479 | 1,954 | 194,639 | 1,016 | 101,784 |  |  | 11,747 | 713,717 |  |  | 11,747 | 713,717 |
| 00/03 | 15,998 | 648,120 | 238 | 148,859 | 3,780 | 175,509 | 1,815 | 258,528 | 940 | 125,408 |  |  | 17,813 | 906,648 |  |  | 17,813 | 906,648 |
| 01/03 | 15,171 | 733,245 | 239 | 149,411 | 4,370 | 221,064 | 1,041 | 177,401 | 211 | 51,340 |  |  | 16,212 | 910,646 |  |  | 16,212 | 910,646 |
| 02/03 | 14,788 | 745,231 | 234 | 145,864 | 4,875 | 232,894 | 1,050 | 142,515 | 179 | 27,460 |  |  | 15,838 | 887,747 |  |  | 15,838 | 887,747 |
| 03/03 | 9,542 | 706,032 | 222 | 131,143 | 4,831 | 210,362 | 1,150 | 130,750 | 246 | 28,506 |  |  | 10,692 | 836,781 |  |  | 10,692 | 836,781 |
| 04/03 | 7,293 | 758,779 | 225 | 178,076 | 4,247 | 229,646 | 1,182 | 172,827 | 252 | 34,514 |  |  | 8,475 | 931,605 |  |  | 8,475 | 931,605 |
| 05/03 | 8,384 | 874,783 | 226 | 204,468 | 4,258 | 242,999 | 1,169 | 204,359 | 234 | 37,011 |  |  | 9,553 | 1,079,142 |  |  | 9,553 | 1,079,142 |
| 06/03 | 29,646 | 1,123,335 | 219 | 264,020 | 4,554 | 319,145 | 1,348 | 329,000 | 272 | 59,394 |  |  | 30,994 | 1,452,335 |  |  | 30,994 | 1,452,335 |
| 07/03 | 32,217 | 1,343,793 | 217 | 300,980 | 4,636 | 324,587 | 1,397 | 333,981 | 272 | 60,071 |  |  | 33,614 | 1,677,774 |  |  | 33,614 | 1,677,774 |
| 08/03 | 48,937 | 1,273,054 | 251 | 422,319 | 4,981 | 309,709 | 1,496 | 232,235 | 281 | 41,091 |  |  | 50,433 | 1,505,289 | 37 | 1,602 | 50,470 | 1,506,891 |
| 09/03 | 45,029 | 1,273,593 | 277 | 563,660 | 4,994 | 237,807 | 1,450 | 139,037 | 268 | 22,514 |  |  | 46,479 | 1,412,630 | 597 | 2,396 | 47,076 | 1,415,027 |
| 09/06 | 43,851 | 1,328,518 | 276 | 654,640 | 4,918 | 258,786 | 1,410 | 152,235 | 264 | 27,462 | 882 | 77,707 | 46,143 | 1,558,461 | 147 | 2,322 | 46,290 | 1,560,783 |
| 09/09 | 47,653 | 1,316,377 | 275 | 664,052 | 4,891 | 257,979 | 1,296 | 150,110 | 234 | 25,716 | 909 | 79,640 | 49,858 | 1,546,128 | 139 | 2,208 | 49,997 | 1,548,336 |
| 09/12 | 47,891 | 1,339,555 | 278 | 680,539 | 4,913 | 260,325 | 1,235 | 152,055 | 185 | 22,920 | 921 | 82,190 | 50,047 | 1,573,801 | 132 | 2,087 | 50,179 | 1,575,888 |

Note : Assets under management are based on the contract amount until 01/03,wheras from 02/03 based on the market value basis.
Percentage changes from the previous year (or term)

|  | Domestic Clients |  |  |  |  |  | Overseas Clients |  |  |  | Real Estate Related <br> Securities |  | Total |  | Pooled Investment Vehicles |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Sub-total |  | Public Pension Funds |  | Private Pension Funds |  | Sub-total |  | Pension Funds |  |  |  |  |  |  |  |  |  |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Vehicles | Amount | Number | Amount |
| 94/03 | -8.00\% | 2.35\% | 22.34\% | 28.69\% | 54.79\% | 60.03\% | 9.85\% | 17.33\% | 15.27\% | 18.30\% |  |  | -2.90\% | 8.71\% |  |  | -2.90\% | 8.71\% |
| 95/03 | -8.00\% | -0.04\% | 19.13\% | 21.60\% | 86.25\% | 56.40\% | -18.55\% | -6.28\% | -28.01\% | 7.42\% |  |  | -11.41\% | -2.90\% |  |  | -11.41\% | -2.90\% |
| 96/03 | -6.09\% | 12.05\% | 32.85\% | 37.81\% | 47.05\% | 49.16\% | 12.39\% | 29.38\% | 1.26\% | 34.00\% |  |  | -0.60\% | 19.72\% |  |  | -0.60\% | 19.72\% |
| 97/03 | 11.44\% | 33.48\% | 36.81\% | 89.12\% | 103.26\% | 125.83\% | 1.66\% | 10.07\% | 8.00\% | 28.58\% |  |  | 8.15\% | 22.28\% |  |  | 8.15\% | 22.28\% |
| 98/03 | 1.59\% | 17.29\% | 4.82\% | 21.67\% | 43.70\% | 60.69\% | -4.40\% | -3.16\% | 4.90\% | 8.45\% |  |  | -0.30\% | 8.49\% |  |  | -0.30\% | 8.49\% |
| 99/03 | 86.39\% | 38.78\% | -2.68\% | 22.31\% | 30.37\% | 52.94\% | -14.41\% | -16.58\% | -6.87\% | -7.73\% |  |  | 55.86\% | 17.51\% |  |  | 55.86\% | 17.51\% |
| 00/03 | 63.36\% | 24.86\% | -6.30\% | 15.34\% | 24.55\% | 39.87\% | -7.11\% | 32.82\% | -7.48\% | 23.21\% |  |  | 51.64\% | 27.03\% |  |  | 51.64\% | 27.03\% |
| 01/03 | -5.17\% | 13.13\% | 0.42\% | 0.37\% | 15.61\% | 25.96\% | -42.64\% | -31.38\% | -77.55\% | -59.06\% |  |  | -8.99\% | 0.44\% |  |  | -8.99\% | 0.44\% |
| 02/03 | -2.52\% | ( $1.63 \%$ ) | -2.09\% | ( $-2.37 \%$ ) | 11.56\% | ( $5.35 \%)$ | 0.86\% ( | -19.66\%) | -15.17\% | -46.51\%) |  |  | -2.31\% | ( $-2.51 \%$ ) |  |  | -2.31\% | ( $-2.51 \%$ ) |
| 03/03 | -35.47\% | -5.26\% | -5.13\% | -10.09\% | -0.90\% | -9.67\% | 9.52\% | -8.26\% | 37.43\% | 3.81\% |  |  | -32.49\% | -5.74\% |  |  | -32.49\% | -5.74\% |
| 04/03 | -23.57\% | 7.47\% | 1.35\% | 35.79\% | -12.09\% | 9.17\% | 2.78\% | 32.18\% | 2.44\% | 21.08\% |  |  | -20.74\% | 11.33\% |  |  | -20.74\% | 11.33\% |
| 05/03 | 14.96\% | 15.29\% | 0.44\% | 14.82\% | 0.26\% | 5.81\% | -1.10\% | 18.25\% | -7.14\% | 7.23\% |  |  | 12.72\% | 15.84\% |  |  | 12.72\% | 15.84\% |
| 06/03 | 253.60\% | 28.41\% | -3.10\% | 29.13\% | 6.95\% | 31.34\% | 15.31\% | 60.99\% | 16.24\% | 60.48\% |  |  | 224.44\% | 34.58\% |  |  | 224.44\% | 34.58\% |
| 07/03 | 8.67\% | 19.63\% | -0.91\% | 14.00\% | 1.80\% | 1.71\% | 3.64\% | 1.51\% | 0.00\% | 1.14\% |  |  | 8.45\% | 15.52\% |  |  | 8.45\% | 15.52\% |
| 08/03 | 51.90\% | -5.26\% | 15.67\% | 40.31\% | 7.44\% | -4.58\% | 7.09\% | -30.46\% | 3.31\% | -31.60\% |  |  | 50.04\% | -10.28\% |  |  | 50.15\% | -10.19\% |
| 09/03 | -7.99\% | 0.04\% | 10.36\% | 33.47\% | 0.26\% | -23.22\% | -3.07\% | -40.13\% | -4.63\% | -45.21\% |  |  | -7.84\% | -6.16\% | 1513.51\% | 49.62\% | -6.72\% | -6.10\% |
| 09/06 | -2.62\% | 4.31\% | -0.36\% | 16.14\% | -1.52\% | 8.82\% | -2.76\% | 9.49\% | -1.49\% | 21.98\% |  |  | -0.72\% | 10.32\% | -75.38\% | -3.10\% | -1.67\% | 10.30\% |
| 09/09 | 8.67\% | -0.91\% | -0.36\% | 1.44\% | -0.55\% | -0.31\% | -8.09\% | -1.40\% | -11.36\% | -6.36\% | 3.06\% | 2.49\% | 8.05\% | -0.79\% | -5.44\% | -4.91\% | 8.01\% | -0.80\% |
| 09/12 | 0.50\% | 1.76\% | 1.09\% | 2.48\% | 0.45\% | 0.91\% | -4.71\% | 1.30\% | -20.94\% | -10.87\% | 1.32\% | 3.20\% | 0.38\% | 1.79\% | -5.04\% | -5.49\% | 0.36\% | 1.78\% |

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

## Assets Under Management of Wrap Accounts by Investment Management Firms

|  | Discretionary Assets |  | Non-discretionary Assets |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 06/03 | 22,689 | 3,364 | 861 | 77 | 23,550 | 3,441 |
| 07/03 | 25,286 | 5,636 | 580 | 52 | 25,866 | 5,688 |
| 08/03 | 41,615 | 7,469 | 496 | 40 | 42,111 | 7,508 |
| 09/03 | 37,138 | 4,571 | 417 | 22 | 37,555 | 4,593 |
| 09/06 | 36,902 | 4,998 | 393 | 24 | 37,295 | 5,022 |
| 09/09 | 40,315 | 5,420 | 368 | 24 | 40,683 | 5,444 |
| 09/12 | 40,978 | 5,522 | 336 | 22 | 41,314 | 5,544 |

Note:Above figures are included in those of the previous pages.
"Accounts" mean those accounts in which clients pay one co which includes management fees, commissions for the execution of transactions, administrative fees, etc.

Percentage changes from the previous year (or term)

|  | Discretionary Assets |  | Non-discretionary Assets |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 07/03 | 11.45\% | 67.57\% | -32.64\% | -32.61\% | 9.83\% | 65.32\% |
| 08/03 | 64.58\% | 32.51\% | -14.48\% | -24.19\% | 62.80\% | 31.99\% |
| 09/03 | -10.76\% | -38.80\% | -15.93\% | -44.19\% | -10.82\% | -38.83\% |
| 09/06 | -0.64\% | 9.35\% | -5.76\% | 10.07\% | -0.69\% | 9.35\% |
| 09/09 | 9.25\% | 8.45\% | -6.36\% | -0.41\% | 9.08\% | 8.41\% |
| 09/12 | 1.64\% | 1.88\% | -8.70\% | -8.03\% | 1.55\% | 1.84\% |

Assets Under Management of Real Estate Investment Management Firms

|  | Discretionary Assets |  | Non-discretionary Assets |  | Total |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 08/03 | 31 | 2,353 | 47 | 3,438 | 78 | 5,791 |
| 09/03 | 406 | 32,283 | 414 | 43,824 | 820 | 76,107 |
| 09/06 | 405 | 33,693 | 477 | 43,908 | 882 | 77,601 |
| 09/09 | 428 | 35,089 | 517 | 46,257 | 945 | 81,346 |
| 09/12 | 459 | 35,875 | 486 | 48,537 | 945 | 84,412 |

Note:Above figures are included in those of the previous pages.
Percentage changes from the previous year (or term)

|  | Discretionary Assets |  | Non-discretionary Assets |  | Total |  |
| ---: | ---: | ---: | ---: | ---: | ---: | :---: |
|  | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts |  |
| Amount |  |  |  |  |  |  |
| $09 / 03$ | $1209.68 \%$ | $1272.00 \%$ | $780.85 \%$ | $1174.70 \%$ | $951.28 \%$ |  |
| $09 / 06$ | $-0.25 \%$ | $4.37 \%$ | $15.22 \%$ | $0.19 \%$ | $7.56 \%$ |  |
| $09 / 09$ | $5.68 \%$ | $4.14 \%$ | $8.39 \%$ | $5.35 \%$ | $7.14 \%$ |  |
| $09 / 12$ | $7.24 \%$ | $2.24 \%$ | $-6.00 \%$ | $4.93 \%$ | $0.00 \%$ |  |


|  | Domestic |  |  | Overseas |  |  | Global |  |  | $\begin{array}{\|c\|} \hline \begin{array}{c} \text { Real Estate Related } \\ \text { Securities } \end{array} \\ \hline \hline \end{array}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others |  |  |
| 03/03 | 2,302 | 384 | 350 | 706 | 290 | 122 | 422 | 179 | 2,139 |  | 6,894 |
| 04/03 | 2,278 | 362 | 259 | 660 | 410 | 175 | 338 | 211 | 1,636 |  | 6,329 |
| 05/03 | 3,735 | 373 | 263 | 633 | 418 | 263 | 310 | 216 | 1,688 |  | 7,899 |
| 06/03 | 22,301 | 411 | 349 | 657 | 494 | 356 | 309 | 389 | 4,479 |  | 29,745 |
| 07/03 | 14,417 | 431 | 562 | 701 | 514 | 445 | 305 | 356 | 14,851 |  | 32,582 |
| 08/03 | 7,280 | 455 | 7,145 | 780 | 506 | 435 | 359 | 461 | 32,015 |  | 49,436 |
| 09/03 | 4,047 | 484 | 11,473 | 746 | 529 | 1,028 | 334 | 371 | 26,689 |  | 45,701 |
| 09/06 | 3,999 | 507 | 12,620 | 725 | 554 | 584 | 331 | 347 | 24,766 | 465 | 44,898 |
| 09/09 | 3,843 | 492 | 16,398 | 712 | 592 | 587 | 327 | 336 | 24,853 | 483 | 48,623 |
| 09/12 | 3,761 | 492 | 17,261 | 734 | 604 | 605 | 342 | 279 | 24,340 | 458 | 48,876 |


|  | Domestic |  |  | Overseas |  |  | Global |  |  | $\begin{aligned} & \text { Real Estate Related } \\ & \text { Securities } \end{aligned}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others |  |  |
| 04/03 | -1.04\% | -5.73\% | -26.00\% | -6.52\% | 41.38\% | 43.44\% | -19.91\% | 17.88\% | -23.52\% |  | -8.20\% |
| 05/03 | 63.96\% | 3.04\% | 1.54\% | -4.09\% | 1.95\% | 50.29\% | -8.28\% | 2.37\% | 3.18\% |  | 24.81\% |
| 06/03 | 497.08\% | 10.19\% | 32.70\% | 3.79\% | 18.18\% | 35.36\% | -0.32\% | 80.09\% | 165.34\% |  | 276.57\% |
| 07/03 | -35.35\% | 4.87\% | 61.03\% | 6.70\% | 4.05\% | 25.00\% | -1.29\% | -8.48\% | 231.57\% |  | 9.54\% |
| 08/03 | -49.50\% | 5.57\% | 1171.35\% | 11.27\% | -1.56\% | -2.25\% | 17.70\% | 29.49\% | 115.57\% |  | 51.73\% |
| 09/03 | -44.41\% | 6.37\% | 60.57\% | -4.36\% | 4.55\% | 136.32\% | -6.96\% | -19.52\% | -16.64\% |  | -7.56\% |
| 09/06 | -1.19\% | 4.75\% | 10.00\% | -2.82\% | 4.73\% | -43.19\% | -0.90\% | -6.47\% | -7.21\% |  | -1.76\% |
| 09/09 | -3.90\% | -2.96\% | 29.94\% | -1.79\% | 6.86\% | 0.51\% | -1.21\% | -3.17\% | 0.35\% | 3.87\% | 8.30\% |
| 09/12 | -2.13\% | 0.00\% | 5.26\% | 3.09\% | 2.03\% | 3.07\% | 4.59\% | -16.96\% | -2.06\% | -5.18 | 0.52\% |


| Amount of Assets |  |  |  | Overseas |  |  | Global |  |  | (Unit: $¥ 100$ million) |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Estate Related | Total |  |  |  |
|  | Stocks | Bonds | Others |  |  |  | Stocks | Bonds | Others | Stocks | Bonds | Others | Securities |  |
| 03/03 | 127,097 | 87,889 | 20,060 | 44,153 | 60,410 | 7,465 | 25,646 | 28,026 | 136,293 |  | 537,039 |
| 04/03 | 206,020 | 82,761 | 16,243 | 61,434 | 92,407 | 7,579 | 29,832 | 17,751 | 122,664 |  | 636,692 |
| 05/03 | 252,155 | 102,189 | 17,229 | 79,718 | 91,578 | 10,682 | 31,541 | 81,071 | 130,751 |  | 796,913 |
| 06/03 | 420,137 | 110,502 | 27,757 | 118,513 | 115,815 | 14,723 | 38,023 | 104,742 | 153,015 |  | 1,103,228 |
| 07/03 | 406,225 | 131,631 | 35,698 | 136,261 | 127,842 | 20,287 | 41,104 | 124,037 | 185,066 |  | 1,208,152 |
| 08/03 | 265,668 | 255,282 | 32,630 | 130,557 | 147,692 | 16,859 | 30,264 | 126,789 | 164,159 |  | 1,169,899 |
| 09/03 | 184,831 | 333,624 | 60,844 | 114,362 | 173,111 | 13,502 | 20,123 | 115,031 | 123,321 |  | 1,138,750 |
| 09/06 | 219,373 | 406,991 | 19,825 | 133,681 | 183,638 | 12,524 | 21,607 | 116,588 | 127,968 | 34,372 | 1,276,567 |
| 09/09 | 213,445 | 407,764 | 17,791 | 147,826 | 197,683 | 12,405 | 21,070 | 82,220 | 127,954 | 35,757 | 1,263,915 |
| 09/12 | 213,047 | 409,098 | 15,268 | 160,246 | 200,855 | 15,781 | 23,643 | 86,800 | 128,019 | 35,911 | 1,288,669 |


|  | Domestic |  |  | Overseas |  |  | Global |  |  | $\begin{array}{\|l\|} \hline \text { Real Estate Related } \\ \text { Securities } \end{array}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others |  |  |
| 04/03 | 62.10\% | -5.83\% | -19.03\% | 39.14\% | 52.97\% | 1.54\% | 16.32\% | -36.66\% | -10.00\% |  | 18.56\% |
| 05/03 | 22.39\% | 23.47\% | 6.07\% | 29.76\% | -0.90\% | 40.94\% | 5.73\% | 356.71\% | 6.59\% |  | 25.16\% |
| 06/03 | 66.62\% | 8.13\% | 61.11\% | 48.67\% | 26.47\% | 37.83\% | 20.55\% | 29.20\% | 17.03\% |  | 38.44\% |
| 07/03 | -3.31\% | 19.12\% | 28.61\% | 14.98\% | 10.38\% | 37.79\% | 8.10\% | 18.42\% | 20.95\% |  | 9.51\% |
| 08/03 | -34.60\% | 93.94\% | -8.60\% | -4.19\% | 15.53\% | -16.90\% | -26.37\% | 2.22\% | -11.30\% |  | -3.17\% |
| 09/03 | -30.43\% | 30.69\% | 86.47\% | -12.40\% | 17.21\% | -19.92\% | -33.51\% | -9.27\% | -24.88\% |  | -2.66\% |
| 09/06 | 18.69\% | 21.99\% | -67.42\% | 16.89\% | 6.08\% | -7.24\% | 7.37\% | 1.35\% | 3.77\% |  | 12.10\% |
| 09/09 | -2.70\% | 0.19\% | -10.26\% | 10.58\% | 7.65\% | -0.95\% | -2.49\% | -29.48\% | -0.01\% | 4.03\% | -0.99\% |
| 09/12 | -0.19\% | 0.33\% | -14.18\% | 8.40\% | 1.60\% | 27.21\% | 12.22\% | 5.57\% | 0.05\% | 0.43\% | 1.96\% |


|  | Domestic |  |  | Overseas |  |  | Global |  |  | Real Estate RelatedSecurities | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others |  |  |
| 03/03 | 23.67\% | 16.37\% | 3.74\% | 8.22\% | 11.25\% | 1.39\% | 4.78\% | 5.22\% | 25.38\% |  | 100.00\% |
| 04/03 | 32.36\% | 13.00\% | 2.55\% | 9.65\% | 14.51\% | 1.19\% | 4.69\% | 2.79\% | 19.27\% |  | 100.00\% |
| 05/03 | 31.64\% | 12.82\% | 2.16\% | 10.00\% | 11.49\% | 1.34\% | 3.96\% | 10.17\% | 16.41\% |  | 100.00\% |
| 06/03 | 38.08\% | 10.02\% | 2.52\% | 10.74\% | 10.50\% | 1.33\% | 3.45\% | 9.49\% | 13.87\% |  | 100.00\% |
| 07/03 | 33.62\% | 10.90\% | 2.95\% | 11.28\% | 10.58\% | 1.68\% | 3.40\% | 10.27\% | 15.32\% |  | 100.00\% |
| 08/03 | 22.71\% | 21.82\% | 2.79\% | 11.16\% | 12.62\% | 1.44\% | 2.59\% | 10.84\% | 14.03\% |  | 100.00\% |
| 09/03 | 16.23\% | 29.30\% | 5.34\% | 10.04\% | 15.20\% | 1.19\% | 1.77\% | 10.10\% | 10.83\% |  | 100.00\% |
| 09/06 | 17.18\% | 31.88\% | 1.55\% | 10.47\% | 14.39\% | 0.98\% | 1.69\% | 9.13\% | 10.02\% | 2.69\% | 100.00\% |
| 09/09 | 16.89\% | 32.26\% | 1.41\% | 11.70\% | 15.64\% | 0.98\% | 1.67\% | 6.51\% | 10.12\% | 2.83\% | 100.00\% |
| 09/12 | 16.53 | 31.7 | 1.1 | 12.4 | 15. | 1.22 | 1.8 | 6.74 | 9.93\% | 2.79\% | 100. |

## Assets Under Management by Size

|  | $\begin{aligned} & \hline \text { Less than } ¥ 1 \\ & \text { billion } \end{aligned}$ | Over $¥ 1$ billion | Over $¥ 5$ billion | Over $¥ 10$ billion | Over ${ }^{*} 50$ | $\begin{gathered} \text { Over } ¥ 100 \\ \text { billion } \end{gathered}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 03/03 | 2,191 | 3,209 | 685 | 638 | 92 | 79 | 6,894 |
| 04/03 | 1,576 | 2,958 | 834 | 748 | 110 | 103 | 6,329 |
| 05/03 | 3,012 | 2,931 | 874 | 849 | 110 | 123 | 7,899 |
| 06/03 | 24,298 | 3,080 | 962 | 1,066 | 166 | 174 | 29,746 |
| 07/03 | 27,019 | 3,087 | 995 | 1,134 | 163 | 184 | 32,582 |
| 08/03 | 43,802 | 3,388 | 948 | 1,023 | 122 | 153 | 49,436 |
| 09/03 | 40,682 | 3,204 | 754 | 822 | 118 | 121 | 45,701 |
| 09/06 | 39,719 | 3,240 | 818 | 869 | 115 | 137 | 44,898 |
| 09/09 | 43,445 | 3,236 | 802 | 890 | 110 | 140 | 48,623 |
| 09/12 | 43,629 | 3,286 | 824 | 884 | 101 | 152 | 48,876 |


|  | Less than $¥ 1$ | Over $¥ 1$ billion | Over $¥ 5$ billion | $\begin{gathered} \hline \text { Over } ¥ 1010 \\ \text { billion } \\ \hline \end{gathered}$ | Over $¥ 50$ billion | Over billion | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 04/03 | -28.07\% | -7.82\% | 21.75\% | 17.24\% | 19.57\% | 30.38\% | -8.20\% |
| 05/03 | 91.12\% | -0.91\% | 4.80\% | 13.50\% | 0.00\% | 19.42\% | 24.81\% |
| 06/03 | 706.71\% | 5.08\% | 10.07\% | 25.56\% | 50.91\% | 41.46\% | 276.58\% |
| 07/03 | 11.20\% | 0.23\% | 3.43\% | 6.38\% | -1.81\% | 5.75\% | 9.53\% |
| 08/03 | 62.12\% | 9.75\% | -4.72\% | -9.79\% | -25.15\% | -16.85\% | 51.73\% |
| 09/03 | -7.12\% | -5.43\% | -20.46\% | -19.65\% | -3.28\% | -20.92\% | -7.56\% |
| 09/06 | -2.37\% | 1.12\% | 8.49\% | 5.72\% | -2.54\% | 13.22\% | -1.76\% |
| 09/09 | 9.38\% | -0.12\% | -1.96\% | 2.42\% | -4.35\% | 2.19\% | 8.30\% |
| 09/12 | 0.42\% | 1.55\% | 2.74\% | -0.67\% | -8.18\% | 8.57\% | $0.52^{\circ}$ |

Amount of Assets


|  | $\begin{array}{\|c} \text { Less than } ¥ 1 \\ \text { billion } \\ \hline \end{array}$ | Over $¥ 1$ billion | Over $¥ 5$ billion | Over $¥ 10$ billion | $\begin{gathered} \hline \begin{array}{c} \text { ver } ¥ 50 \\ \text { billion } \end{array} \\ \hline \end{gathered}$ | Over $¥ 100$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 04/03 | -38.92\% | -4.33\% | 23.39\% | 20.67\% | 23.05\% | 25.88\% | 18.56\% |
| 05/03 | -8.70\% | -1.20\% | 1.74\% | 14.36\% | -0.91\% | 52.22\% | 25.16\% |
| 06/03 | 46.87\% | 9.65\% | 12.13\% | 28.00\% | 45.91\% | 50.37\% | 38.44\% |
| 07/03 | 30.38\% | -0.77\% | 2.16\% | 7.75\% | -2.00\% | 14.12\% | 9.51\% |
| 08/03 | 36.55\% | 8.40\% | -4.33\% | -10.26\% | -23.47\% | 0.72\% | -3.17\% |
| 09/03 | 7.55\% | -9.82\% | -20.97\% | -15.85\% | -3.06\% | 3.84\% | -2.66\% |
| 09/06 | -4.38\% | 1.49\% | 8.70\% | 0.06\% | 1.95\% | 18.02\% | 12.10\% |
| 09/09 | 9.31\% | -1.56\% | -1.42\% | 2.23\% | 11.73\% | -3.04\% | -0.99\% |
| 09/12 | -5.55\% | 1.79\% | 3.62\% | 0.04\% | -23.07\% | 5.27\% | 1.96\% |


|  | Less than $¥ 1$ billion | Over \#1 billion | Over $¥ 5$ billion | $\text { Over } ¥ 10$ billion | $\begin{gathered} \text { Over } ¥ 50 \\ \text { billion } \\ \hline \hline \end{gathered}$ | $\begin{gathered} \hline \begin{array}{c} \text { Over } ¥ 100 \\ \text { billion } \end{array} \\ \hline \hline \end{gathered}$ | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 03/03 | 2.01\% | 13.97\% | 8.94\% | 23.80\% | 11.84\% | 39.43\% | 100.00\% |
| 04/03 | 1.04\% | 11.27\% | 9.31\% | 24.23\% | 12.29\% | 41.86\% | 100.00\% |
| 05/03 | 0.76\% | 8.90\% | 7.57\% | 22.13\% | 9.73\% | 50.91\% | 100.00\% |
| 06/03 | 0.80\% | 7.05\% | 6.13\% | 20.46\% | 10.26\% | 55.30\% | 100.00\% |
| 07/03 | 0.96\% | 6.39\% | 5.72\% | 20.14\% | 9.18\% | 57.63\% | 100.00\% |
| 08/03 | 1.35\% | 7.15\% | 5.65\% | 18.66\% | 7.25\% | 59.94\% | 100.00\% |
| 09/03 | 1.49\% | 6.62\% | 4.59\% | 16.13\% | 7.22\% | 63.95\% | 100.00\% |
| 09/06 | 1.27\% | 6.00\% | 4.45\% | 14.40\% | 6.57\% | 67.32\% | 100.00\% |
| 09/09 | 1.40\% | 5.96\% | 4.43\% | 14.87\% | 7.41\% | 65.93\% | 100.00\% |
| 09/12 | 1.30\% | 5.95\% | 4.50\% | 14.59\% | 5.59\% | 68.07\% | 100.00\% |


|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | - Japan |  |  |  | USA |  |  |  | UK |  |  |  | Europe (except UK) |  |  |  | Other overseas |  |  |  | Others |  |  |  |
|  | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment s including others | Stocks | Bonds | Real Estate Related Securities | Short term investment s including others | Stocks | Bonds | Real Estate Related Securities | Short term investment s including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment s including others | Stocks | Bonds | Real Estate Related Securitie | Short term investment s including others | Stocks | Bonds | Real Estate Related Securitie | Short term investment s including others |
| 03/03 | 168,234 | 151,690 |  | 27,542 | 37,837 | 58,941 |  | 1,028 | 7,413 | 3,310 |  | 426 | 11,438 | 27,813 |  | 213 | 4,681 | 4,064 |  | 961 | 12,441 | 8,397 |  | 10,610 |
| 04/03 | 250,563 | 135,647 |  | 26,798 | 45,502 | 57,753 |  | 1,190 | 9,350 | 4,005 |  | 50 | 17,343 | 33,486 |  | 829 | 9,242 | 5,980 |  | 2,313 | 10,205 | 9,194 |  | 17,243 |
| 05/03 | 286,072 | 170,186 |  | 27,998 | 54,448 | 79,103 |  | 3,213 | 12,088 | 5,674 |  | 215 | 23,046 | 47,849 |  | 1,260 | 10,182 | 9,488 |  | 3,432 | 11,710 | 12,277 |  | 38,671 |
| 06/03 | 473,552 | 192,863 |  | 32,245 | 72,421 | 98,853 |  | 4,541 | 15,219 | 9,551 |  | 140 | 31,469 | 55,153 |  | 1,257 | 18,842 | 16,385 |  | 4,665 | 15,153 | 15,825 |  | 45,092 |
| 07/03 | 469,027 | 220,173 |  | 30,599 | 79,687 | 115,286 |  | 3,400 | 17,819 | 11,462 |  | 342 | 38,312 | 69,330 |  | 2,771 | 25,330 | 22,147 |  | 4,998 | 17,872 | 21,830 |  | 57,768 |
| 08/03 | 313,860 | 349,092 | 1,179 | 29,891 | 72,102 | 112,182 | 0 | 1,265 | 16,189 | 12,202 | 0 | 196 | 38,058 | 75,651 | 0 | 1,274 | 22,579 | 24,400 | 0 | 2,042 | 25,000 | 20,254 | 0 | 52,487 |
| 09/03 | 213,836 | 413,308 | 31,137 | 35,017 | 73,150 | 116,792 | 5 | 293 | 14,571 | 15,753 | 0 | 458 | 31,531 | 78,488 | 8 | 2,379 | 17,092 | 19,443 | 8 | 2,487 | 16,637 | 15,269 | 0 | 41,088 |
| 09/06 | 250,404 | 478,251 | 33,005 | 31,965 | 81,788 | 120,467 | 8 | 469 | 17,344 | 15,705 | 0 | 1,244 | 35,505 | 81,201 | 11 | 3,595 | 22,397 | 21,520 | 0 | 3,268 | 18,837 | 16,673 | 0 | 42,911 |
| 09/09 | 241,636 | 455,311 | 34,481 | 25,306 | 85,735 | 121,140 | 53 | 8,515 | 18,507 | 14,006 | 0 | 644 | 39,858 | 80,767 | 9 | 3,265 | 24,935 | 21,888 | 0 | 3,749 | 19,437 | 16,760 | 11 | 47,902 |
| 09/12 | 242,469 | 454,377 | 35,075 | 20,184 | 96,854 | 127,891 | 53 | 7,129 | 20,418 | 14,596 | 0 | 311 | 42,708 | 83,665 | 9 | 1,613 | 27,599 | 24,970 | 0 | 3,937 | 20,406 | 16,923 | 0 | 47,484 |

Percentage changes from the previous year (or term)

|  | Japan |  |  |  | USA |  |  |  | UK |  |  |  | Europe (except UK) |  |  |  | Other overseas |  |  |  | Others |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment s including others | Stocks | Bonds | Real Estate Related Securitie | Short term investment s including others | Stocks | Bonds |  | Short term investment $s$ including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment $s$ including others | Stocks | Bonds | Real Estate Related Securities | Short term investment s including others | Stocks | Bonds | Real Estate Related Securities Securitie | Short term investment s including others |
| 04/03 | 48.94\% | -10.58\% |  | -2.70\% | 20.26\% | -2.02\% |  | 15.76\% | 26.12\% | 21.02\% |  | -88.16\% | 51.63\% | 20.40\% |  | 288.70\% | 97.41\% | 47.17\% |  | 140.71\% | -17.97\% | 9.48\% |  | 62.51\% |
| 05/03 | 14.17\% | 25.46\% |  | 4.48\% | 19.66\% | 36.97\% |  | 170.08\% | 29.29\% | 41.66\% |  | 325.60\% | 32.89\% | 42.89\% |  | 52.05\% | 10.18\% | 58.65\% |  | 48.37\% | 14.75\% | 33.54\% |  | 124.27\% |
| 06/03 | 65.54\% | 13.32\% |  | 15.17\% | 33.01\% | 24.97\% |  | 41.31\% | 25.91\% | 68.32\% |  | -34.59\% | 36.55\% | 15.26\% |  | -0.20\% | 85.04\% | 72.69\% |  | 35.94\% | 29.40\% | 28.90\% |  | 16.60\% |
| 07/03 | -0.96\% | 14.16\% |  | -5.11\% | 10.03\% | 16.62 |  | -25.14\% | 17.08\% | 20.01\% |  | 143.25\% | 21.74\% | 25.70\% |  | 120.34\% | 34.43\% | 5.17\% |  | 7.14\% | 17.94\% | 37.94 |  | 28.11\% |
| 08/03 | -33.08\% | 58.55\% |  | -2.31\% | -9.52\% | -2.69\% |  | -62.79\% | -9.15\% | $6.46 \%$ |  | -42.74\% | -0.66\% | 9.12\% |  | -54.02\% | -10.86\% | 10.17\% |  | -59.16\% | 39.89\% | -7.22\% |  | -9.14\% |
| 09/03 | -31.87\% | 18.40\% | 2541.94\% | 17.15\% | 1.45\% | 4.11\% | --- | -76.80\% | -9.99\% | 29.10\% | --- | 133.97\% | -17.15\% | 3.75\% | --- | 86.75\% | -24.30\% | -20.31\% | --- | 21.81\% | -33.45\% | $-24.61 \%$ | --- | -21.72\% |
| 09/06 | 17.10\% | 15.71\% | 6.00\% | -8.71\% | 11.81\% | 3.15\% | 48.44\% | 59.72\% | 19.03\% | -0.31\% | --- | 171.67\% | 12.61\% | 3.46\% | 42.71\% | 51.12\% | 31.03\% | 10.68\% | -100.00\% | 31.40\% | 13.23\% | 9.19\% | --- | 4.44\% |
| 09/09 | -3.50\% | -4.80\% | 4.47\% | -20.83\% | 4.83\% | 0.56\% | 592.76\% | 1716.46\% | 6.70\% | $-10.82 \%$ | --- | -48.21\% | 12.26\% | -0.53\% | -19.70\% | -9.19\% | 11.34\% | 1.71\% | --- | 14.72\% | 3.18\% | 0.52\% | --- | 11.63\% |
| 09/12 | 0.34\% | -0.21\% | 1.72\% | -20.24\% | 12.97\% | 5.57\% | 0.68\% | -16.28\% | 10.33\% | 4.21\% | --- | -51.75\% | 7.15\% | 3.59\% | -1.50\% | $-50.61 \%$ | 10.68\% | 14.08\% | --- | 5.03\% | 4.98\% | 0.98\% | -100.00\% | -0.87\% |


| Percentage | Japan |  |  |  | USA |  |  |  | UK |  |  |  | Europe (except UK) |  |  |  | Other overseas |  |  |  | Others |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment $s$ including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment s including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment $s$ including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment $s$ including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment $s$ including others | Stocks | Bonds | Real <br> Estate <br> Related <br> Securities | Short term investment s including others |
| 03/03 | 31.33\% | 28.25\% |  | 5.13\% | 7.05\% | 10.98\% |  | 0.19\% | 1.38\% | 0.62\% |  | 0.08\% | 2.13\% | 5.18\% |  | 0.04\% | 0.87\% | 0.76\% |  | 0.18\% | 2.32\% | 1.56\% |  | 1.98\% |
| 04/03 | 39.35\% | 21.30\% |  | 4.21\% | 7.15\% | 9.07\% |  | 0.19\% | 1.47\% | 0.63\% |  | 0.01\% | 2.72\% | 5.26\% |  | 0.13\% | 1.45\% | 0.94\% |  | 0.36\% | 1.60\% | 1.44\% |  | 2.71\% |
| 05/03 | 35.90\% | 21.36\% |  | 3.51\% | 6.83\% | 9.93\% |  | 0.40\% | 1.52\% | 0.71\% |  | 0.03\% | 2.89\% | 6.00\% |  | 0.16\% | 1.28\% | 1.19\% |  | 0.43\% | 1.47\% | 1.54\% |  | 4.85\% |
| 06/03 | 42.92\% | 17.48\% |  | 2.92\% | 6.56\% | 8.96\% |  | 0.41\% | 1.38\% | 0.87\% |  | 0.01\% | 2.85\% | 5.00\% |  | 0.11\% | 1.71\% | 1.49\% |  | 0.42\% | 1.37\% | 1.43\% |  | 4.09\% |
| 07/03 | 38.82\% | 18.22\% |  | 2.53\% | 6.60\% | 9.54\% |  | 0.28\% | 1.47\% | 0.95\% |  | 0.03\% | 3.17\% | 5.74\% |  | 0.23\% | 2.10\% | 1.83\% |  | 0.41\% | 1.48\% | 1.81\% |  | 4.78\% |
| 08/03 | 26.83\% | 29.84\% | 0.10\% | 2.56\% | 6.16\% | 9.59\% | 0.00\% | 0.11\% | 1.38\% | 1.04\% | 0.00\% | 0.02\% | 3.25\% | 6.47\% | 0.00\% | 0.11\% | 1.93\% | 2.09\% | 0.00\% | 0.17\% | 2.14\% | 1.73\% | 0.00\% | 4.49\% |
| 09/03 | 18.78\% | 36.29\% | 2.73\% | 3.08\% | 6.42\% | 10.26\% | 0.00\% | 0.03\% | 1.28\% | 1.38\% | 0.00\% | 0.04\% | 2.77\% | 6.89\% | 0.00\% | 0.21\% | 1.50\% | 1.71\% | 0.00\% | 0.22\% | 1.46\% | 1.34\% | 0.00\% | 3.61\% |
| 09/06 | 19.62\% | 37.46\% | 2.59\% | 2.50\% | 6.41\% | 9.44\% | 0.00\% | 0.04\% | 1.36\% | 1.23\% | 0.00\% | 0.10\% | 2.78\% | 6.36\% | 0.00\% | 0.28\% | 1.75\% | 1.69\% | 0.00\% | 0.26\% | 1.48\% | 1.31\% | 0.00\% | 3.36\% |
| 09/09 | 19.12\% | 36.02\% | 2.73\% | 2.00\% | 6.78\% | 9.58\% | 0.00\% | 0.67\% | 1.46\% | 1.11\% | 0.00\% | 0.05\% | 3.15\% | 6.39\% | 0.00\% | 0.26\% | 1.97\% | 1.73\% | 0.00\% | 0.30\% | 1.54\% | 1.33\% | 0.00\% | 3.79\% |
| 09/12 | 18.82\% | 35.26\% | 2.72\% | 1.57\% | 7.52\% | 9.92\% | 0.00\% | 0.55\% | 1.58\% | 1.13\% | 0.00\% | 0.02\% | 3.31\% | 6.49\% | 0.00\% | 0.13\% | 2.14\% | 1.94\% | 0.00\% | 0.31\% | 1.58\% | 1.31\% | 0.00\% | 3.68\% |

## Derivative Transactions

| Exchange traded derivatives |  |  |  |  | (Unit : $¥ 100$ million) |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Futures |  | Options |  | Oth |  |
|  | Stocks | Bonds | Stocks | Bonds |  |  |
| 08/03 | 6,935 | 5,465 | 71 | 14 | 1,862 | 0 |
| 09/03 | 5,810 | 1,996 | 1,864 | 279 | 2,001 | 0 |
| 09/06 | 6,249 | 3,644 | 169 | 0 | 402 | 0 |
| 09/09 | 6,495 | 3,150 | 99 | 1 | 351 | 0 |
| 09/12 | 6,203 | 3,162 | 1,359 | 0 | 393 | 0 |

Over-the-counter derivatives

|  | Forwards | Options | Swaps | Others |
| :---: | ---: | ---: | ---: | ---: |
| $08 / 03$ | 65,390 | 368 | 76,422 | 5,656 |
| $09 / 03$ | 68,906 | 3,065 | 98,463 | 1,939 |
| $09 / 06$ | 78,179 | 3,340 | 94,890 | 2,205 |
| $09 / 09$ | 80,257 | 3,810 | 80,688 | 854 |
| $09 / 12$ | 82,577 | 3,861 | 79,817 | 3,894 |

Overseas exchange traded derivatives

|  | Futures |  | Options |  | Others |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Stocks | Bonds | Stocks | Bonds |  |  |
| 08/03 | 2,842 | 10,744 | 218 | 5 | 707 | 0 |
| 09/03 | 2,725 | 6,375 | 0 | 2 | 461 | 0 |
| 09/06 | 2,964 | 7,190 | 0 | 1 | 281 | 5 |
| 09/09 | 3,400 | 6,484 | 0 | 28 | 194 | 0 |
| 09/12 | 4,180 | 6,175 | 0 | 4 | 64 | 20 |

## Number of Employees

|  | Fund Management |  | Research |  | Trading |  | Marketing |  | Compliance |  | Others |  | Total |  | (CMA members) | Temporary Staff |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (investment advisory functions) |  | Total | (investment advisory functions) |
| 03/03 | 1,514 | 1,056 | 655 | 471 | 321 | 211 | 1,300 | 670 | 317 | 185 | 3,689 | 1,862 | 7,796 | 4,455 | 2,428 | 594 | 382 |
| 04/03 | 1,396 | 987 | 629 | 452 | 305 | 206 | 1,193 | 594 | 324 | 192 | 3,509 | 1,768 | 7,355 | 4,200 | 2,342 | 630 | 344 |
| 05/03 | 1,360 | 976 | 592 | 430 | 303 | 200 | 1,272 | 624 | 323 | 191 | 3,585 | 1,819 | 7,436 | 4,240 | 2,491 | 653 | 376 |
| 06/03 | 1,451 | 1,073 | 650 | 523 | 335 | 221 | 1,528 | 796 | 357 | 224 | 3,996 | 2,108 | 8,316 | 4,945 | 2,769 | 800 | 458 |
| 07/03 | 1,565 | 1,181 | 701 | 606 | 356 | 239 | 1,840 | 1,001 | 396 | 263 | 4,451 | 2,342 | 9,309 | 5,632 | 2,958 | 842 | 490 |
| 08/03 | 1,852 | 1,321 | 779 | 620 | 364 | 243 | 2,315 | 1,137 | 484 | 333 | 5,318 | 2,808 | 11,112 | 6,462 | 3,182 | 966 | 529 |
| 09/03 | 2,130 | 1,616 | 1,053 | 837 | 392 | 260 | 2,553 | 1,318 | 629 | 418 | 6,257 | 3,358 | 13,014 | 7,806 | 3,366 | 861 | 507 |

Average Years of Experience

|  | Fund Management |  | Research |  | Trading |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) |
| 03/03 | 11.1 | 11.7 | 9.5 | 10.1 | 8.9 | 9.2 |
| 04/03 | 11.2 | 11.7 | 9.7 | 10.4 | 9.2 | 9.8 |
| 05/03 | 11.9 | 12.5 | 10.0 | 10.7 | 9.5 | 10.1 |
| 06/03 | 12.3 | 12.7 | 9.9 | 11.1 | 10.0 | 10.2 |
| 07/03 | 12.3 | 12.7 | 10.4 | 10.7 | 9.9 | 10.1 |
| 08/03 | 11.9 | 12.4 | 10.6 | 10.9 | 10.3 | 10.6 |
| 09/03 | 11.4 | 11.5 | 9.6 | 9.7 | 9.8 | 9.8 |

## Members of JSIAA

|  |  |  |  |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
| $93 / 03$ | 154 |  | 164 |
| $94 / 03$ | 150 |  | 150 |
| $95 / 03$ | 151 |  | 145 |
| $96 / 03$ | 147 | 12 | 142 |
| $97 / 03$ | 145 | 21 | 138 |
| $98 / 03$ | 137 | 35 | 121 |
| $99 / 03$ | 130 | 48 | 98 |
| $00 / 03$ | 125 | 58 | 90 |
| $01 / 03$ | 126 | 68 | 90 |
| $02 / 03$ | 129 | 65 | 86 |
| $03 / 03$ | 121 | 65 | 77 |
| $04 / 03$ | 118 | 64 | 76 |
| $05 / 03$ | 121 | 62 | 86 |
| $06 / 03$ | 133 | 68 | 116 |
| $07 / 03$ | 138 | 69 | 123 |
| $08 / 03$ | 155 | 72 | 145 |
| $09 / 03$ | 214 | 72 | 122 |
| $09 / 06$ | 219 | 75 | 120 |
| $09 / 09$ | 220 | 75 | 123 |
| $09 / 12$ | 226 | 74 | 122 |

## Complaints and Consultations

|  | Complaints |  |  | Consultations |  |  | Total |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | about members' conducts and services | about nonmembers' conducts and services | Total | about members conducts and services | about nonmembers' conducts and services | Total | about members conducts and services | about nonmembers' conducts and services | Total |
| 93/03 | 12 | 10 | 22 | 4 | 1 | 5 | 16 | 11 | 27 |
| 94/03 | 9 | 7 | 16 | 3 | 2 | 5 | 12 | 9 | 21 |
| 95/03 | 9 | 4 | 13 | 5 | 3 | 8 | 14 | 7 | 21 |
| 96/03 | 12 | 4 | 16 | 11 | 7 | 18 | 23 | 11 | 34 |
| 97/03 | 15 | 12 | 27 | 3 | 0 | 3 | 18 | 12 | 30 |
| 98/03 | 21 | 5 | 26 | 0 | 0 | 0 | 21 | 5 | 26 |
| 99/03 | 10 | 13 | 23 | 1 | 1 | 2 | 11 | 14 | 25 |
| 00/03 | 6 | 4 | 10 | 4 | 5 | 9 | 10 | 9 | 19 |
| 01/03 | 8 | 16 | 24 | 7 | 13 | 20 | 15 | 29 | 44 |
| 02/03 | 15 | 6 | 21 | 13 | 27 | 40 | 28 | 33 | 61 |
| 03/03 | 33 | 11 | 44 | 13 | 12 | 25 | 46 | 23 | 69 |
| 04/03 | 31 | 15 | 46 | 21 | 13 | 34 | 52 | 28 | 80 |
| 05/03 | 29 | 7 | 36 | 17 | 16 | 33 | 46 | 23 | 69 |
| 06/03 | 19 | 6 | 25 | 14 | 13 | 27 | 33 | 19 | 52 |
| 07/03 | 32 | 9 | 41 | 15 | 8 | 23 | 47 | 17 | 64 |
| 08/03 | 22 | 8 | 30 | 6 | 9 | 15 | 28 | 17 | 45 |
| 09/03 | 30 | 5 | 35 | 5 | 6 | 11 | 35 | 11 | 46 |
| 09/06 | 4 | 1 | 5 | 1 | 0 | 1 | 5 | 1 | 6 |
| 09/09 | 7 | 0 | 7 | 0 | 0 | 0 | 7 | 0 | 7 |
| 09/12 | 1 | 0 | 1 | 3 | 0 | 3 | 4 | 0 | 4 |

