

Statistical Releases

■ Highlights of “June 2009”

The total assets under management by investment management firms (219 firms) was ¥156 trillion as of June 30, 2009, increased by ¥14,576 billion (+ 10.3%) from March 31, 2009.

The discretionary and non-discretionary assets under management increased by ¥13,789 billion (+ 12.1%) and by ¥794 billion (+ 2.9%) respectively.

The discretionary assets under management of domestic clients increased by 8.9%, while that of overseas clients increased by 11.8%.

The assets under management of wrap accounts was ¥502 billion, showing the increase of ¥43 billion with the decrease in the number of the contracts to 37,295 by 260 from March 31, 2009. The average amount per contract reported was ¥13.5 million.

The statistics about real estate investment management members are as follows: the amount of the assets under management was ¥3,369 billion with 405 contracts, while the amount of assets under advice was ¥4,391 with 477 contracts.

Assets Under Management by Investment Management Firms

Discretionary Investment Advisory Contracts and Pooled Investment Vehicles

(Unit : ¥ 100million)

	Domestic Clients						Overseas Clients				Real Estate Related Securities		Total		Pooled Investment Vehicles		Total	
	Sub-total		Public Pension Funds		Private Pension Funds		Sub-total		Pension Funds		No. of Contracts	Amount	No. of Contracts	Amount	No. of Vehicles	Amount	Number	Amount
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount								
93/03	4,865	131,806	90	9,358	182	5,923	380	20,202	169	5,430			5,245	152,008			5,245	152,008
94/03	4,480	137,345	111	13,433	286	9,586	407	24,078	167	7,445			4,887	161,423			4,887	161,423
95/03	4,202	138,180	133	18,205	537	15,052	387	19,301	166	6,433			4,589	157,481			4,589	157,481
96/03	3,997	149,285	178	26,751	792	22,503	429	26,420	185	10,075			4,426	175,705			4,426	175,705
97/03	4,558	213,970	244	64,904	1,615	50,951	576	30,934	253	11,296			5,134	244,904			5,134	244,904
98/03	4,857	260,005	256	82,046	2,323	81,939	530	25,372	215	9,282			5,387	285,377			5,387	285,377
99/03	5,015	300,680	248	100,875	3,031	125,399	473	26,889	182	9,714			5,488	327,569			5,488	327,569
00/03	5,517	368,787	231	119,231	3,775	175,187	500	60,201	182	16,288			6,017	428,988			6,017	428,988
01/03	5,863	423,331	235	133,184	4,365	219,945	632	79,150	172	18,120			6,495	502,481			6,495	502,481
02/03	6,166	473,348	234	145,864	4,869	232,015	747	78,331	153	15,638			6,913	551,679			6,913	551,679
03/03	5,993	462,862	222	131,143	4,829	210,292	901	74,177	222	18,059			6,894	537,039			6,894	537,039
04/03	5,370	520,741	225	178,076	4,245	229,643	959	115,951	228	25,971			6,329	636,692			6,329	636,692
05/03	6,935	646,255	226	204,468	4,257	242,997	964	150,658	209	28,332			7,899	796,913			7,899	796,913
06/03	28,615	840,519	219	264,020	4,553	309,905	1,130	262,708	248	49,993			29,745	1,103,228			29,745	1,103,228
07/03	31,439	944,337	217	300,980	4,635	314,421	1,143	263,815	252	53,193			32,582	1,208,152			32,582	1,208,152
08/03	48,173	994,142	251	422,319	4,980	300,102	1,226	174,156	267	35,366			49,399	1,168,298	37	1,602	49,436	1,169,900
09/03	43,957	1,029,429	277	563,660	4,991	228,318	1,147	106,924	251	18,411			45,104	1,136,353	597	2,396	45,701	1,138,750
09/06	43,221	1,121,132	276	654,640	4,915	248,699	1,128	119,504	247	22,160	402	33,610	44,751	1,274,245	147	2,322	44,898	1,276,567

Note: Assets under management are based on the contract amount until 01/03, whereas from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

	Domestic Clients						Overseas Clients				Real Estate Related Securities		Total		Pooled Investment Vehicles		Total	
	Sub-total		Public Pension Funds		Private Pension Funds		Sub-total		Pension Funds		No. of Contracts	Amount	No. of Contracts	Amount	No. of Vehicles	Amount	Number	Amount
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount								
94/03	-7.91%	4.20%	23.33%	43.55%	57.14%	61.85%	7.11%	19.19%	-1.18%	37.11%			-6.83%	6.19%			-6.83%	6.19%
95/03	-6.21%	0.61%	19.82%	35.53%	87.76%	57.02%	-4.91%	-19.84%	-0.60%	-13.59%			-6.10%	-2.44%			-6.10%	-2.44%
96/03	-4.88%	8.04%	33.83%	46.94%	47.49%	49.50%	10.85%	36.88%	11.45%	56.61%			-3.55%	11.57%			-3.55%	11.57%
97/03	14.04%	43.33%	37.08%	142.62%	103.91%	126.42%	34.27%	17.09%	36.76%	12.12%			16.00%	39.38%			16.00%	39.38%
98/03	6.56%	21.51%	4.92%	26.41%	43.84%	60.82%	-7.99%	-17.98%	-15.02%	-17.83%			4.93%	16.53%			4.93%	16.53%
99/03	3.25%	15.64%	-3.13%	22.95%	30.48%	53.04%	-10.75%	5.98%	-15.35%	4.65%			1.87%	14.78%			1.87%	14.78%
00/03	10.01%	22.65%	-6.85%	18.20%	24.55%	39.70%	5.71%	123.89%	0.00%	67.68%			9.64%	30.96%			9.64%	30.96%
01/03	6.27%	14.79%	1.73%	11.70%	15.63%	25.55%	26.40%	31.48%	-5.49%	11.25%			7.94%	17.13%			7.94%	17.13%
02/03	5.17%	(11.82%)	-0.43%	(9.52%)	11.55%	(5.49%)	18.20%	(-1.04%)	-11.05%	(-13.70%)			6.44%	(9.79%)			6.44%	(9.79%)
03/03	-2.81%	-2.22%	-5.13%	-10.09%	-0.82%	-9.36%	20.62%	-5.30%	45.10%	15.48%			-0.27%	-2.65%			-0.27%	-2.65%
04/03	-10.40%	12.50%	1.35%	35.79%	-12.09%	9.20%	6.44%	56.32%	2.70%	43.81%			-8.20%	18.56%			-8.20%	18.56%
05/03	29.14%	24.10%	0.44%	14.82%	0.28%	5.82%	0.52%	29.93%	-8.33%	9.09%			24.81%	25.16%			24.81%	25.16%
06/03	312.62%	30.06%	-3.10%	29.13%	6.95%	27.53%	17.22%	74.37%	18.66%	76.46%			276.57%	38.44%			276.57%	38.44%
07/03	9.87%	12.35%	-0.91%	14.00%	1.80%	1.46%	1.15%	0.42%	1.61%	6.40%			9.54%	9.51%			9.54%	9.51%
08/03	53.23%	5.27%	15.67%	40.31%	7.44%	-4.55%	7.26%	-33.99%	5.95%	-33.51%			51.61%	-3.30%			51.73%	-3.17%
09/03	-8.75%	3.55%	10.36%	33.47%	0.22%	-23.92%	-6.44%	-38.60%	-5.99%	-47.94%			-8.69%	-2.73%	1513.51%	49.62%	-7.56%	-2.66%
09/06	-1.67%	8.91%	-0.36%	16.14%	-1.52%	8.93%	-1.66%	11.76%	-1.59%	20.36%	---	---	-0.78%	12.13%	-75.38%	-3.10%	-1.76%	12.10%

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Assets Under Advice by Investment Management Firms

(Unit : ¥ 100million)

	Domestic Clients						Overseas Clients				Real Estate Related Securities		Total	
	Sub-total		Public Pension Funds		Private Pension Funds		Sub-total		Pension Funds		No. of Contracts	Amount	No. of Contracts	Amount
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount				
93/03	974	76,606	4	11,908	6	133	1,956	133,650	977	41,029			2,930	210,256
94/03	892	75,956	4	13,935	5	105	2,159	156,440	1,154	47,514			3,051	232,396
95/03	740	75,035	4	15,073	5	105	1,703	149,885	785	52,603			2,443	224,920
96/03	644	89,622	4	19,108	5	105	1,920	192,480	778	69,036			2,564	282,102
97/03	614	104,914	5	21,822	5	105	1,812	210,007	787	90,428			2,426	314,921
98/03	397	114,020	5	23,475	5	105	1,753	207,963	876	101,033			2,150	321,983
99/03	4,778	218,398	6	28,187	4	80	1,481	167,750	834	92,070			6,259	386,148
00/03	10,481	279,333	7	29,628	5	323	1,315	198,327	758	109,120			11,796	477,660
01/03	9,308	309,914	4	16,227	5	1,120	409	98,251	39	33,220			9,717	408,165
02/03	8,622	271,883	0	0	6	879	303	64,185	26	11,822			8,925	336,068
03/03	3,549	243,170	0	0	2	70	249	56,573	24	10,447			3,798	299,743
04/03	1,923	238,038	0	0	2	4	223	56,875	24	8,543			2,146	294,913
05/03	1,449	228,528	0	0	1	3	205	53,701	25	8,679			1,654	282,229
06/03	1,031	282,816	0	0	1	9,239	218	66,291	24	9,402			1,249	349,107
07/03	778	399,456	0	0	1	10,166	254	70,166	20	6,878			1,032	469,622
08/03	764	278,912	0	0	1	9,607	270	58,079	14	5,725			1,034	336,991
09/03	1,072	244,164	0	0	3	9,489	303	32,113	17	4,102			1,375	276,277
09/06	630	207,387	0	0	3	10,087	282	32,732	17	5,302	480	44,098	1,392	284,216

Note: Assets under management are based on the contract amount until 01/03, whereas from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

	Domestic Clients						Overseas Clients				Real Estate Related Securities		Total	
	Sub-total		Public Pension Funds		Private Pension Funds		Sub-total		Pension Funds		No. of Contracts	Amount	No. of Contracts	Amount
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount				
94/03	-8.42%	-0.85%	0.00%	17.02%	-16.67%	-21.11%	10.38%	17.05%	18.12%	15.81%			4.13%	10.53%
95/03	-17.04%	-1.21%	0.00%	8.17%	0.00%	0.00%	-21.12%	-4.19%	-31.98%	10.71%			-19.93%	-3.22%
96/03	-12.97%	19.44%	0.00%	26.77%	0.00%	0.00%	12.74%	28.42%	-0.89%	31.24%			4.95%	25.42%
97/03	-4.66%	17.06%	25.00%	14.20%	0.00%	0.00%	-5.63%	9.11%	1.16%	30.99%			-5.38%	11.63%
98/03	-35.34%	8.68%	0.00%	7.58%	0.00%	0.00%	-3.26%	-0.97%	11.31%	11.73%			-11.38%	2.24%
99/03	1103.53%	91.54%	20.00%	20.07%	-20.00%	-23.81%	-15.52%	-19.34%	-4.79%	-8.87%			191.12%	19.93%
00/03	119.36%	27.90%	16.67%	5.11%	25.00%	303.13%	-11.21%	18.23%	-9.11%	18.52%			88.46%	23.70%
01/03	-11.19%	10.95%	-42.86%	-45.23%	0.00%	247.13%	-68.90%	-50.46%	-94.85%	-69.56%			-17.62%	-14.55%
02/03	-7.37%	(-12.27%)	-100.00%	(-100.00%)	20.00%	(-21.51%)	-25.92%	(-34.67%)	-33.33%	(-64.41%)			-8.15%	(-17.66%)
03/03	-58.84%	-10.56%	---	---	-66.67%	-92.00%	-17.82%	-11.86%	-7.69%	-11.63%			-57.45%	-10.81%
04/03	-45.82%	-2.11%	---	---	0.00%	-94.82%	-10.44%	0.54%	0.00%	-18.22%			-43.50%	-1.61%
05/03	-24.65%	-4.00%	---	---	-50.00%	-29.67%	-8.07%	-5.58%	4.17%	1.59%			-22.93%	-4.30%
06/03	-28.85%	23.76%	---	---	0.00%	360817.58%	6.34%	23.44%	-4.00%	8.32%			-24.49%	23.70%
07/03	-24.54%	41.24%	---	---	0.00%	10.03%	16.51%	5.84%	-16.67%	-26.84%			-17.37%	34.52%
08/03	-1.80%	-30.18%	---	---	0.00%	-5.50%	6.30%	-17.23%	-30.00%	-16.77%			0.19%	-28.24%
09/03	40.31%	-12.46%	---	---	200.00%	-1.22%	12.22%	-44.71%	21.43%	-28.34%			32.98%	-18.02%
09/06	-41.23%	-15.06%	---	---	0.00%	6.30%	-6.93%	1.93%	0.00%	29.24%	---	---	1.24%	2.87%

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Total Assets Under Management and Advice by Investment Management Firms

(Unit : ¥ 100million)

	Domestic Clients						Overseas Clients				Real Estate Related Securities		Total		Pooled Investment Vehicles		Total	
	Sub-total		Public Pension Funds		Private Pension Funds		Sub-total		Pension Funds		No. of Contracts	Amount	No. of Contracts	Amount	No. of Vehicles	Amount	Number	Amount
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount								
93/03	5,839	208,412	94	21,266	188	6,056	2,336	153,852	1,146	46,459			8,175	362,264		8,175	362,264	
94/03	5,372	213,301	115	27,368	291	9,691	2,566	180,518	1,321	54,959			7,938	393,819		7,938	393,819	
95/03	4,942	213,215	137	33,278	542	15,157	2,090	169,186	951	59,036			7,032	382,401		7,032	382,401	
96/03	4,641	238,907	182	45,859	797	22,608	2,349	218,900	963	79,111			6,990	457,807		6,990	457,807	
97/03	5,172	318,884	249	86,726	1,620	51,056	2,388	240,941	1,040	101,724			7,560	559,825		7,560	559,825	
98/03	5,254	374,025	261	105,521	2,328	82,044	2,283	233,335	1,091	110,315			7,537	607,360		7,537	607,360	
99/03	9,793	519,078	254	129,062	3,035	125,479	1,954	194,639	1,016	101,784			11,747	713,717		11,747	713,717	
00/03	15,998	648,120	238	148,859	3,780	175,509	1,815	258,528	940	125,408			17,813	906,648		17,813	906,648	
01/03	15,171	733,245	239	149,411	4,370	221,064	1,041	177,401	211	51,340			16,212	910,646		16,212	910,646	
02/03	14,788	745,231	234	145,864	4,875	232,894	1,050	142,515	179	27,460			15,838	887,747		15,838	887,747	
03/03	9,542	706,032	222	131,143	4,831	210,362	1,150	130,750	246	28,506			10,692	836,781		10,692	836,781	
04/03	7,293	758,779	225	178,076	4,247	229,646	1,182	172,827	252	34,514			8,475	931,605		8,475	931,605	
05/03	8,384	874,783	226	204,468	4,258	242,999	1,169	204,359	234	37,011			9,553	1,079,142		9,553	1,079,142	
06/03	29,646	1,123,335	219	264,020	4,554	319,145	1,348	329,000	272	59,394			30,994	1,452,335		30,994	1,452,335	
07/03	32,217	1,343,793	217	300,980	4,636	324,587	1,397	333,981	272	60,071			33,614	1,677,774		33,614	1,677,774	
08/03	48,937	1,273,054	251	422,319	4,981	309,709	1,496	232,235	281	41,091			50,433	1,505,289	37	1,602	50,470	1,506,891
09/03	45,029	1,273,593	277	563,660	4,994	237,807	1,450	139,037	268	22,514			46,479	1,412,630	597	2,396	47,076	1,415,027
09/06	43,851	1,328,518	276	654,640	4,918	258,786	1,410	152,235	264	27,462	882	77,707	46,143	1,558,461	147	2,322	46,290	1,560,783

Note: Assets under management are based on the contract amount until 01/03, whereas from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

	Domestic Clients						Overseas Clients				Real Estate Related Securities		Total		Pooled Investment Vehicles		Total	
	Sub-total		Public Pension Funds		Private Pension Funds		Sub-total		Pension Funds		No. of Contracts	Amount	No. of Contracts	Amount	No. of Vehicles	Amount	Number	Amount
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount								
94/03	-8.00%	2.35%	22.34%	28.69%	54.79%	60.03%	9.85%	17.33%	15.27%	18.30%			-2.90%	8.71%			-2.90%	8.71%
95/03	-8.00%	-0.04%	19.13%	21.60%	86.25%	56.40%	-18.55%	-6.28%	-28.01%	7.42%			-11.41%	-2.90%			-11.41%	-2.90%
96/03	-6.09%	12.05%	32.85%	37.81%	47.05%	49.16%	12.39%	29.38%	1.26%	34.00%			-0.60%	19.72%			-0.60%	19.72%
97/03	11.44%	33.48%	36.81%	89.12%	103.26%	125.83%	1.66%	10.07%	8.00%	28.58%			8.15%	22.28%			8.15%	22.28%
98/03	1.59%	17.29%	4.82%	21.67%	43.70%	60.69%	-4.40%	-3.16%	4.90%	8.45%			-0.30%	8.49%			-0.30%	8.49%
99/03	86.39%	38.78%	-2.68%	22.31%	30.37%	52.94%	-14.41%	-16.58%	-6.87%	-7.73%			55.86%	17.51%			55.86%	17.51%
00/03	63.36%	24.86%	-6.30%	15.34%	24.55%	39.87%	-7.11%	32.82%	-7.48%	23.21%			51.64%	27.03%			51.64%	27.03%
01/03	-5.17%	13.13%	0.42%	0.37%	15.61%	25.96%	-42.64%	-31.38%	-77.55%	-59.06%			-8.99%	0.44%			-8.99%	0.44%
02/03	-2.52%	(1.63%)	-2.09%	(-2.37%)	11.56%	(5.35%)	0.86%	(-19.66%)	-15.17%	(-46.51%)			-2.31%	(-2.51%)			-2.31%	(-2.51%)
03/03	-35.47%	-5.26%	-5.13%	-10.09%	-0.90%	-9.67%	9.52%	-8.26%	37.43%	3.81%			-32.49%	-5.74%			-32.49%	-5.74%
04/03	-23.57%	7.47%	1.35%	35.79%	-12.09%	9.17%	2.78%	32.18%	2.44%	21.08%			-20.74%	11.33%			-20.74%	11.33%
05/03	14.96%	15.29%	0.44%	14.82%	0.26%	5.81%	-1.10%	18.25%	-7.14%	7.23%			12.72%	15.84%			12.72%	15.84%
06/03	253.60%	28.41%	-3.10%	29.13%	6.95%	31.34%	15.31%	60.99%	16.24%	60.48%			224.44%	34.58%			224.44%	34.58%
07/03	8.67%	19.63%	-0.91%	14.00%	1.80%	1.71%	3.64%	1.51%	0.00%	1.14%			8.45%	15.52%			8.45%	15.52%
08/03	51.90%	-5.26%	15.67%	40.31%	7.44%	-4.58%	7.09%	-30.46%	3.31%	-31.60%			50.04%	-10.28%			50.15%	-10.19%
09/03	-7.99%	0.04%	10.36%	33.47%	0.26%	-23.22%	-3.07%	-40.13%	-4.63%	-45.21%			-7.84%	-6.16%	1513.51%	49.62%	-6.72%	-6.10%
09/06	-2.62%	4.31%	-0.36%	16.14%	-1.52%	8.82%	-2.76%	9.49%	-1.49%	21.98%	---	---	-0.72%	10.32%	-75.38%	-3.10%	-1.67%	10.30%

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Assets Under Management of Wrap Accounts by Investment Management Firms

(Unit : ¥ 100million)

	Discretionary Assets		Non-discretionary Assets		Total	
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount
06/03	22,689	3,364	861	77	23,550	3,441
07/03	25,286	5,636	580	52	25,866	5,688
08/03	41,615	7,469	496	40	42,111	7,508
09/03	37,138	4,571	417	22	37,555	4,593
09/06	36,902	4,998	393	24	37,295	5,022

Note: Above figures are included in those of the previous pages.

※ “Wrap Accounts” mean those accounts in which clients pay one comprehensive asset-based fee which includes management fees, commissions for the execution of transactions, administrative fees, etc.

Percentage changes from the previous year (or term)

	Discretionary Assets		Non-discretionary Assets		Total	
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount
07/03	11.45%	67.57%	-32.64%	-32.61%	9.83%	65.32%
08/03	64.58%	32.51%	-14.48%	-24.19%	62.80%	31.99%
09/03	-10.76%	-38.80%	-15.93%	-44.19%	-10.82%	-38.83%
09/06	-0.64%	9.35%	-5.76%	10.07%	-0.69%	9.35%

Assets Under Management of Real Estate Investment Management Firms

(Unit : ¥ 100million)

	Discretionary Assets		Non-discretionary Assets		Total	
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount
08/03	31	2,353	47	3,438	78	5,791
09/03	406	32,283	414	43,824	820	76,107
09/06	405	33,693	477	43,908	882	77,601

Note: Above figures are included in those of the previous pages.

Percentage changes from the previous year (or term)

	Discretionary Assets		Non-discretionary Assets		Total	
	No. of Contracts	Amount	No. of Contracts	Amount	No. of Contracts	Amount
09/03	1209.68%	1272.00%	780.85%	1174.70%	951.28%	1214.24%
09/06	-0.25%	4.37%	15.22%	0.19%	7.56%	1.96%

Assets Under Management by Investment Type

Number of Contracts

	Domestic			Overseas			Global			Real Estate Related Securities	Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others			
03/03	2,302	384	350	706	290	122	422	179	2,139	/	6,894	
04/03	2,278	362	259	660	410	175	338	211	1,636		6,329	
05/03	3,735	373	263	633	418	263	310	216	1,688		7,899	
06/03	22,301	411	349	657	494	356	309	389	4,479		29,745	
07/03	14,417	431	562	701	514	445	305	356	14,851		32,582	
08/03	7,280	455	7,145	780	506	435	359	461	32,015		49,436	
09/03	4,047	484	11,473	746	529	1,028	334	371	26,689		45,701	
09/06	3,999	507	12,620	725	554	584	331	347	24,766		465	44,898

Percentage changes from the previous year (or term)

	Domestic			Overseas			Global			Real Estate Related Securities	Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others			
04/03	-1.04%	-5.73%	-26.00%	-6.52%	41.38%	43.44%	-19.91%	17.88%	-23.52%	/	-8.20%	
05/03	63.96%	3.04%	1.54%	-4.09%	1.95%	50.29%	-8.28%	2.37%	3.18%		24.81%	
06/03	497.08%	10.19%	32.70%	3.79%	18.18%	35.36%	-0.32%	80.09%	165.34%		276.57%	
07/03	-35.35%	4.87%	61.03%	6.70%	4.05%	25.00%	-1.29%	-8.48%	231.57%		9.54%	
08/03	-49.50%	5.57%	1171.35%	11.27%	-1.56%	-2.25%	17.70%	29.49%	115.57%		51.73%	
09/03	-44.41%	6.37%	60.57%	-4.36%	4.55%	136.32%	-6.96%	-19.52%	-16.64%		-7.56%	
09/06	-1.19%	4.75%	10.00%	-2.82%	4.73%	-43.19%	-0.90%	-6.47%	-7.21%		---	-1.76%

Amount of Assets

(Unit : ¥100 million)

	Domestic			Overseas			Global			Real Estate Related Securities	Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others			
03/03	127,097	87,889	20,060	44,153	60,410	7,465	25,646	28,026	136,293	/	537,039	
04/03	206,020	82,761	16,243	61,434	92,407	7,579	29,832	17,751	122,664		636,692	
05/03	252,155	102,189	17,229	79,718	91,578	10,682	31,541	81,071	130,751		796,913	
06/03	420,137	110,502	27,757	118,513	115,815	14,723	38,023	104,742	153,015		1,103,228	
07/03	406,225	131,631	35,698	136,261	127,842	20,287	41,104	124,037	185,066		1,208,152	
08/03	265,668	255,282	32,630	130,557	147,692	16,859	30,264	126,789	164,159		1,169,899	
09/03	184,831	333,624	60,844	114,362	173,111	13,502	20,123	115,031	123,321		1,138,750	
09/06	219,373	406,991	19,825	133,681	183,638	12,524	21,607	116,588	127,968		34,372	1,276,567

Percentage changes from the previous year (or term)

	Domestic			Overseas			Global			Real Estate Related Securities	Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others			
04/03	62.10%	-5.83%	-19.03%	39.14%	52.97%	1.54%	16.32%	-36.66%	-10.00%	/	18.56%	
05/03	22.39%	23.47%	6.07%	29.76%	-0.90%	40.94%	5.73%	356.71%	6.59%		25.16%	
06/03	66.62%	8.13%	61.11%	48.67%	26.47%	37.83%	20.55%	29.20%	17.03%		38.44%	
07/03	-3.31%	19.12%	28.61%	14.98%	10.38%	37.79%	8.10%	18.42%	20.95%		9.51%	
08/03	-34.60%	93.94%	-8.60%	-4.19%	15.53%	-16.90%	-26.37%	2.22%	-11.30%		-3.17%	
09/03	-30.43%	30.69%	86.47%	-12.40%	17.21%	-19.92%	-33.51%	-9.27%	-24.88%		-2.66%	
09/06	18.69%	21.99%	-67.42%	16.89%	6.08%	-7.24%	7.37%	1.35%	3.77%		---	12.10%

Percentage

	Domestic			Overseas			Global			Real Estate Related Securities	Total	
	Stocks	Bonds	Others	Stocks	Bonds	Others	Stocks	Bonds	Others			
03/03	23.67%	16.37%	3.74%	8.22%	11.25%	1.39%	4.78%	5.22%	25.38%	/	100.00%	
04/03	32.36%	13.00%	2.55%	9.65%	14.51%	1.19%	4.69%	2.79%	19.27%		100.00%	
05/03	31.64%	12.82%	2.16%	10.00%	11.49%	1.34%	3.96%	10.17%	16.41%		100.00%	
06/03	38.08%	10.02%	2.52%	10.74%	10.50%	1.33%	3.45%	9.49%	13.87%		100.00%	
07/03	33.62%	10.90%	2.95%	11.28%	10.58%	1.68%	3.40%	10.27%	15.32%		100.00%	
08/03	22.71%	21.82%	2.79%	11.16%	12.62%	1.44%	2.59%	10.84%	14.03%		100.00%	
09/03	16.23%	29.30%	5.34%	10.04%	15.20%	1.19%	1.77%	10.10%	10.83%		100.00%	
09/06	17.18%	31.88%	1.55%	10.47%	14.39%	0.98%	1.69%	9.13%	10.02%		2.69%	100.00%

Assets Under Management by Size

Number of Contracts

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
03/03	2,191	3,209	685	638	92	79	6,894
04/03	1,576	2,958	834	748	110	103	6,329
05/03	3,012	2,931	874	849	110	123	7,899
06/03	24,298	3,080	962	1,066	166	174	29,746
07/03	27,019	3,087	995	1,134	163	184	32,582
08/03	43,802	3,388	948	1,023	122	153	49,436
09/03	40,682	3,204	754	822	118	121	45,701
09/06	39,719	3,240	818	869	115	137	44,898

Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
04/03	-28.07%	-7.82%	21.75%	17.24%	19.57%	30.38%	-8.20%
05/03	91.12%	-0.91%	4.80%	13.50%	0.00%	19.42%	24.81%
06/03	706.71%	5.08%	10.07%	25.56%	50.91%	41.46%	276.58%
07/03	11.20%	0.23%	3.43%	6.38%	-1.81%	5.75%	9.53%
08/03	62.12%	9.75%	-4.72%	-9.79%	-25.15%	-16.85%	51.73%
09/03	-7.12%	-5.43%	-20.46%	-19.65%	-3.28%	-20.92%	-7.56%
09/06	-2.37%	1.12%	8.49%	5.72%	-2.54%	13.22%	-1.76%

Amount of Assets

(Unit : ¥100 million)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
03/03	10,809	75,023	48,038	127,824	63,593	211,753	537,039
04/03	6,602	71,773	59,274	154,245	78,250	266,549	636,692
05/03	6,027	70,913	60,306	176,392	77,541	405,734	796,913
06/03	8,852	77,754	67,620	225,773	113,138	610,090	1,103,228
07/03	11,542	77,154	69,082	243,264	110,873	696,237	1,208,152
08/03	15,760	83,637	66,092	218,314	84,849	701,247	1,169,900
09/03	16,951	75,420	52,230	183,703	82,255	728,190	1,138,750
09/06	16,208	76,545	56,772	183,805	83,858	859,378	1,276,567

Percentage changes from the previous year (or term)

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
04/03	-38.92%	-4.33%	23.39%	20.67%	23.05%	25.88%	18.56%
05/03	-8.70%	-1.20%	1.74%	14.36%	-0.91%	52.22%	25.16%
06/03	46.87%	9.65%	12.13%	28.00%	45.91%	50.37%	38.44%
07/03	30.38%	-0.77%	2.16%	7.75%	-2.00%	14.12%	9.51%
08/03	36.55%	8.40%	-4.33%	-10.26%	-23.47%	0.72%	-3.17%
09/03	7.55%	-9.82%	-20.97%	-15.85%	-3.06%	3.84%	-2.66%
09/06	-4.38%	1.49%	8.70%	0.06%	1.95%	18.02%	12.10%

Percentage

	Less than ¥1 billion	Over ¥1 billion	Over ¥5 billion	Over ¥10 billion	Over ¥50 billion	Over ¥100 billion	Total
03/03	2.01%	13.97%	8.94%	23.80%	11.84%	39.43%	100.00%
04/03	1.04%	11.27%	9.31%	24.23%	12.29%	41.86%	100.00%
05/03	0.76%	8.90%	7.57%	22.13%	9.73%	50.91%	100.00%
06/03	0.80%	7.05%	6.13%	20.46%	10.26%	55.30%	100.00%
07/03	0.96%	6.39%	5.72%	20.14%	9.18%	57.63%	100.00%
08/03	1.35%	7.15%	5.65%	18.66%	7.25%	59.94%	100.00%
09/03	1.49%	6.62%	4.59%	16.13%	7.22%	63.95%	100.00%
09/06	1.27%	6.00%	4.45%	14.40%	6.57%	67.32%	100.00%

Assets Under Management by Country and Area

(Unit : ¥100 million)

Amount of Assets

	Japan				USA				UK				Europe (except UK)				Other overseas				Others			
	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others
03/03	168,234	151,690		27,542	37,837	58,941		1,028	7,413	3,310		426	11,438	27,813		213	4,681	4,064		961	12,441	8,397		10,610
04/03	250,563	135,647		26,798	45,502	57,753		1,190	9,350	4,005		50	17,343	33,486		829	9,242	5,980		2,313	10,205	9,194		17,243
05/03	286,072	170,186		27,998	54,448	79,103		3,213	12,088	5,674		215	23,046	47,849		1,260	10,182	9,488		3,432	11,710	12,277		38,671
06/03	473,552	192,863		32,245	72,421	98,853		4,541	15,219	9,551		140	31,469	55,153		1,257	18,842	16,385		4,665	15,153	15,825		45,092
07/03	469,027	220,173		30,599	79,687	115,286		3,400	17,819	11,462		342	38,312	69,330		2,771	25,330	22,147		4,998	17,872	21,830		57,768
08/03	313,860	349,092	1,179	29,891	72,102	112,182	0	1,265	16,189	12,202	0	196	38,058	75,651	0	1,274	22,579	24,400	0	2,042	25,000	20,254	0	52,487
09/03	213,836	413,308	31,137	35,017	73,150	116,792	5	293	14,571	15,753	0	458	31,531	78,488	8	2,379	17,092	19,443	8	2,487	16,637	15,269	0	41,088
09/06	250,404	478,251	33,005	31,965	81,788	120,467	8	469	17,344	15,705	0	1,244	35,505	81,201	11	3,595	22,397	21,520	0	3,268	18,837	16,673	0	42,911

Percentage changes from the previous year (or term)

	Japan				USA				UK				Europe (except UK)				Other overseas				Others			
	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others
04/03	48.94%	-10.58%		-2.70%	20.26%	-2.02%		15.76%	26.12%	21.02%		-88.16%	51.63%	20.40%		288.70%	97.41%	47.17%		140.71%	-17.97%	9.48%		62.51%
05/03	14.17%	25.46%		4.48%	19.66%	36.97%		170.08%	29.29%	41.66%		325.60%	32.89%	42.89%		52.05%	10.18%	58.65%		48.37%	14.75%	33.54%		124.27%
06/03	65.54%	13.32%		15.17%	33.01%	24.97%		41.31%	25.91%	68.32%		-34.59%	36.55%	15.26%		-0.20%	85.04%	72.69%		35.94%	29.40%	28.90%		16.60%
07/03	-0.96%	14.16%		-5.11%	10.03%	16.62%		-25.14%	17.08%	20.01%		143.25%	21.74%	25.70%		120.34%	34.43%	35.17%		7.14%	17.94%	37.94%		28.11%
08/03	-33.08%	58.55%		-2.31%	-9.52%	-2.69%		-62.79%	-9.15%	6.46%		-42.74%	-0.66%	9.12%		-54.02%	-10.86%	10.17%		-59.16%	39.89%	-7.22%		-9.14%
09/03	-31.87%	18.40%	2541.94%	17.15%	1.45%	4.11%	---	-76.80%	-9.99%	29.10%	---	133.97%	-17.15%	3.75%	---	86.75%	-24.30%	-20.31%	---	21.81%	-33.45%	-24.61%	---	-21.72%
09/06	17.10%	15.71%	6.00%	-8.71%	11.81%	3.15%	48.44%	59.72%	19.03%	-0.31%	---	171.67%	12.61%	3.46%	42.71%	51.12%	31.03%	10.68%	-100.00%	31.40%	13.23%	9.19%	---	4.44%

Percentage

	Japan				USA				UK				Europe (except UK)				Other overseas				Others			
	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others	Stocks	Bonds	Real Estate Related Securities	Short term investments including others
03/03	31.33%	28.25%		5.13%	7.05%	10.98%		0.19%	1.38%	0.62%		0.08%	2.13%	5.18%		0.04%	0.87%	0.76%		0.18%	2.32%	1.56%		1.98%
04/03	39.35%	21.30%		4.21%	7.15%	9.07%		0.19%	1.47%	0.63%		0.01%	2.72%	5.26%		0.13%	1.45%	0.94%		0.36%	1.60%	1.44%		2.71%
05/03	35.90%	21.36%		3.51%	6.83%	9.93%		0.40%	1.52%	0.71%		0.03%	2.89%	6.00%		0.16%	1.28%	1.19%		0.43%	1.47%	1.54%		4.85%
06/03	42.92%	17.48%		2.92%	6.56%	8.96%		0.41%	1.38%	0.87%		0.01%	2.85%	5.00%		0.11%	1.71%	1.49%		0.42%	1.37%	1.43%		4.09%
07/03	38.82%	18.22%		2.53%	6.60%	9.54%		0.28%	1.47%	0.95%		0.03%	3.17%	5.74%		0.23%	2.10%	1.83%		0.41%	1.48%	1.81%		4.78%
08/03	26.83%	29.84%	0.10%	2.56%	6.16%	9.59%	0.00%	0.11%	1.38%	1.04%	0.00%	0.02%	3.25%	6.47%	0.00%	0.11%	1.93%	2.09%	0.00%	0.17%	2.14%	1.73%	0.00%	4.49%
09/03	18.78%	36.29%	2.73%	3.08%	6.42%	10.26%	0.00%	0.03%	1.28%	1.38%	0.00%	0.04%	2.77%	6.89%	0.00%	0.21%	1.50%	1.71%	0.00%	0.22%	1.46%	1.34%	0.00%	3.61%
09/06	19.62%	37.46%	2.59%	2.50%	6.41%	9.44%	0.00%	0.04%	1.36%	1.23%	0.00%	0.10%	2.78%	6.36%	0.00%	0.28%	1.75%	1.69%	0.00%	0.26%	1.48%	1.31%	0.00%	3.36%

Derivative Transactions

Exchange traded derivatives

(Unit : ¥100 million)

	Futures		Options		Others	
	Stocks	Bonds	Stocks	Bonds		
08/03	6,935	5,465	71	14	1,862	0
09/03	5,810	1,996	1,864	279	2,001	0
09/06	6,249	3,644	169	0	402	0

Over-the-counter derivatives

(Unit : ¥100 million)

	Forwards	Options	Swaps	Others
08/03	65,390	368	76,422	5,656
09/03	68,906	3,065	98,463	1,939
09/06	78,179	3,340	94,890	2,205

Overseas exchange traded derivatives

(Unit : ¥100 million)

	Futures		Options		Others	
	Stocks	Bonds	Stocks	Bonds		
08/03	2,842	10,744	218	5	707	0
09/03	2,725	6,375	0	2	461	0
09/06	2,964	7,190	0	1	281	5

Employees of Investment Management Firms

Number of Employees

	Fund Management		Research		Trading		Marketing		Compliance		Others		Total		(CMA members)	Temporary Staff	
	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(investment advisory functions)		Total	(investment advisory functions)
03/03	1,514	1,056	655	471	321	211	1,300	670	317	185	3,689	1,862	7,796	4,455	2,428	594	382
04/03	1,396	987	629	452	305	206	1,193	594	324	192	3,509	1,768	7,355	4,200	2,342	630	344
05/03	1,360	976	592	430	303	200	1,272	624	323	191	3,585	1,819	7,436	4,240	2,491	653	376
06/03	1,451	1,073	650	523	335	221	1,528	796	357	224	3,996	2,108	8,316	4,945	2,769	800	458
07/03	1,565	1,181	701	606	356	239	1,840	1,001	396	263	4,451	2,342	9,309	5,632	2,958	842	490
08/03	1,852	1,321	779	620	364	243	2,315	1,137	484	333	5,318	2,808	11,112	6,462	3,182	966	529
09/03	2,130	1,616	1,053	837	392	260	2,553	1,318	629	418	6,257	3,358	13,014	7,806	3,366	861	507

Average Years of Experience

	Fund Management		Research		Trading	
	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)	Total	(engaging in investment advisory functions)
03/03	11.1	11.7	9.5	10.1	8.9	9.2
04/03	11.2	11.7	9.7	10.4	9.2	9.8
05/03	11.9	12.5	10.0	10.7	9.5	10.1
06/03	12.3	12.7	9.9	11.1	10.0	10.2
07/03	12.3	12.7	10.4	10.7	9.9	10.1
08/03	11.9	12.4	10.6	10.9	10.3	10.6
09/03	11.4	11.5	9.6	9.7	9.8	9.8

Members of JSIAA

	Investment Management Firms	(those who carry out investment trust management business)	Investment Advisory Firms
93/03	154		164
94/03	150		150
95/03	151		145
96/03	147	12	142
97/03	145	21	138
98/03	137	35	121
99/03	130	48	98
00/03	125	58	90
01/03	126	68	90
02/03	129	65	86
03/03	121	65	77
04/03	118	64	76
05/03	121	62	86
06/03	133	68	116
07/03	138	69	123
08/03	155	72	145
09/03	214	72	122
09/06	219	75	120

Complaints and Consultations

	Complaints			Consultations			Total		
	about members' conducts and services	about non-members' conducts and services	Total	about members' conducts and services	about non-members' conducts and services	Total	about members' conducts and services	about non-members' conducts and services	Total
93/03	12	10	22	4	1	5	16	11	27
94/03	9	7	16	3	2	5	12	9	21
95/03	9	4	13	5	3	8	14	7	21
96/03	12	4	16	11	7	18	23	11	34
97/03	15	12	27	3	0	3	18	12	30
98/03	21	5	26	0	0	0	21	5	26
99/03	10	13	23	1	1	2	11	14	25
00/03	6	4	10	4	5	9	10	9	19
01/03	8	16	24	7	13	20	15	29	44
02/03	15	6	21	13	27	40	28	33	61
03/03	33	11	44	13	12	25	46	23	69
04/03	31	15	46	21	13	34	52	28	80
05/03	29	7	36	17	16	33	46	23	69
06/03	19	6	25	14	13	27	33	19	52
07/03	32	9	41	15	8	23	47	17	64
08/03	22	8	30	6	9	15	28	17	45
09/03	30	5	35	5	6	11	35	11	46
09/06	4	1	5	1	0	1	5	1	6