

Statistical Releases

Highlights of “ September 2007 ”

The total assets under management by discretionary investment advisers (143 firms) was ¥172 trillion as of September 30, 2007. This is a decrease of ¥3,279 billion (1.9%) from June 30, 2007.

The discretionary and the non-discretionary assets decreased by ¥2,240 billion (1.8%) and ¥1,039 billion (2.1%) respectively.

The discretionary assets under management of domestic clients decreased by 0.2%, while those of overseas clients decreased by 7.4%.

The assets under management of wrap accounts, which have been disclosed since March 2006, reached ¥809 billion with the number of the contracts of 34,852, showing the increase of ¥119 billion in the amount, while the number of the contracts increased by 6,016 from June 2007. The average amount per contract reported was about ¥23.2 million.

Discretionary Assets Under Management by Discretionary Investment Advisers

(1) Discretionary Assets Under Management

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | Overseas Clients | | | | Total | |
|-------|------------------|---------|----------------------|---------|-----------------------|---------|------------------|---------|------------------|--------|------------------|-----------|
| | Sub-total | | Public Pension Funds | | Private Pension Funds | | Sub-total | | Pension Funds | | | |
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 92/03 | 5,643 | 140,773 | 86 | 7,307 | 90 | 3,327 | 322 | 18,716 | 144 | 4,909 | 5,965 | 159,489 |
| 93/03 | 4,865 | 131,806 | 90 | 9,358 | 182 | 5,923 | 380 | 20,202 | 169 | 5,430 | 5,245 | 152,008 |
| 94/03 | 4,480 | 137,345 | 111 | 13,433 | 286 | 9,586 | 407 | 24,078 | 167 | 7,445 | 4,887 | 161,423 |
| 95/03 | 4,202 | 138,180 | 133 | 18,205 | 537 | 15,052 | 387 | 19,301 | 166 | 6,433 | 4,589 | 157,481 |
| 96/03 | 3,997 | 149,285 | 178 | 26,751 | 792 | 22,503 | 429 | 26,420 | 185 | 10,075 | 4,426 | 175,705 |
| 97/03 | 4,558 | 213,970 | 244 | 64,904 | 1,615 | 50,951 | 576 | 30,934 | 253 | 11,296 | 5,134 | 244,904 |
| 98/03 | 4,857 | 260,005 | 256 | 82,046 | 2,323 | 81,939 | 530 | 25,372 | 215 | 9,282 | 5,387 | 285,377 |
| 99/03 | 5,015 | 300,680 | 248 | 100,875 | 3,031 | 125,399 | 473 | 26,889 | 182 | 9,714 | 5,488 | 327,569 |
| 00/03 | 5,517 | 368,787 | 231 | 119,231 | 3,775 | 175,187 | 500 | 60,201 | 182 | 16,288 | 6,017 | 428,988 |
| 01/03 | 5,863 | 423,331 | 235 | 133,184 | 4,365 | 219,945 | 632 | 79,150 | 172 | 18,120 | 6,495 | 502,481 |
| 02/03 | 6,166 | 473,348 | 234 | 145,864 | 4,869 | 232,015 | 747 | 78,331 | 153 | 15,638 | 6,913 | 551,679 |
| 03/03 | 5,993 | 462,862 | 222 | 131,143 | 4,829 | 210,292 | 901 | 74,177 | 222 | 18,059 | 6,894 | 537,039 |
| 04/03 | 5,370 | 520,741 | 225 | 178,076 | 4,245 | 229,643 | 959 | 115,951 | 228 | 25,971 | 6,329 | 636,692 |
| 05/03 | 6,935 | 646,255 | 226 | 204,468 | 4,257 | 242,997 | 964 | 150,658 | 209 | 28,332 | 7,899 | 796,913 |
| 06/03 | 28,615 | 840,519 | 219 | 264,020 | 4,553 | 309,905 | 1,130 | 262,708 | 248 | 49,993 | 29,745 | 1,103,228 |
| 07/03 | 31,439 | 944,337 | 217 | 300,980 | 4,635 | 314,421 | 1,143 | 263,815 | 252 | 53,193 | 32,582 | 1,208,152 |
| 07/06 | 34,580 | 990,056 | 224 | 322,751 | 4,701 | 327,841 | 1,139 | 269,557 | 251 | 55,452 | 35,719 | 1,259,613 |
| 07/09 | 40,712 | 987,658 | 233 | 335,143 | 4,762 | 319,704 | 1,148 | 249,556 | 253 | 50,205 | 41,860 | 1,237,214 |

Note: Assets under management are based on the contract amount until 01/03, whereas from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | Overseas Clients | | | | Total | |
|-------|------------------|------------|----------------------|-----------|-----------------------|-----------|------------------|------------|------------------|-------------|------------------|-----------|
| | Sub-total | | Public Pension Funds | | Private Pension Funds | | Sub-total | | Pension Funds | | | |
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 93/03 | -13.79% | -6.37% | 4.65% | 28.06% | 102.22% | 78.04% | 18.01% | 7.94% | 17.36% | 10.61% | -12.07% | -4.69% |
| 94/03 | -7.91% | 4.20% | 23.33% | 43.55% | 57.14% | 61.85% | 7.11% | 19.19% | -1.18% | 37.11% | -6.83% | 6.19% |
| 95/03 | -6.21% | 0.61% | 19.82% | 35.53% | 87.76% | 57.02% | -4.91% | -19.84% | -0.60% | -13.59% | -6.10% | -2.44% |
| 96/03 | -4.88% | 8.04% | 33.83% | 46.94% | 47.49% | 49.50% | 10.85% | 36.88% | 11.45% | 56.61% | -3.55% | 11.57% |
| 97/03 | 14.04% | 43.33% | 37.08% | 142.62% | 103.91% | 126.42% | 34.27% | 17.09% | 36.76% | 12.12% | 16.00% | 39.38% |
| 98/03 | 6.56% | 21.51% | 4.92% | 26.41% | 43.84% | 60.82% | -7.99% | -17.98% | -15.02% | -17.83% | 4.93% | 16.53% |
| 99/03 | 3.25% | 15.64% | -3.13% | 22.95% | 30.48% | 53.04% | -10.75% | 5.98% | -15.35% | 4.65% | 1.87% | 14.78% |
| 00/03 | 10.01% | 22.65% | -6.85% | 18.20% | 24.55% | 39.70% | 5.71% | 123.89% | 0.00% | 67.68% | 9.64% | 30.96% |
| 01/03 | 6.27% | 14.79% | 1.73% | 11.70% | 15.63% | 25.55% | 26.40% | 31.48% | -5.49% | 11.25% | 7.94% | 17.13% |
| 02/03 | 5.17% | (11.82%) | -0.43% | (9.52%) | 11.55% | (5.49%) | 18.20% | (-1.04%) | -11.05% | (-13.70%) | 6.44% | (9.79%) |
| 03/03 | -2.81% | -2.22% | -5.13% | -10.09% | -0.82% | -9.36% | 20.62% | -5.30% | 45.10% | 15.48% | -0.27% | -2.65% |
| 04/03 | -10.40% | 12.50% | 1.35% | 35.79% | -12.09% | 9.20% | 6.44% | 56.32% | 2.70% | 43.81% | -8.20% | 18.56% |
| 05/03 | 29.14% | 24.10% | 0.44% | 14.82% | 0.28% | 5.82% | 0.52% | 29.93% | -8.33% | 9.09% | 24.81% | 25.16% |
| 06/03 | 312.62% | 30.06% | -3.10% | 29.13% | 6.95% | 27.53% | 17.22% | 74.37% | 18.66% | 76.46% | 276.57% | 38.44% |
| 07/03 | 9.87% | 12.35% | -0.91% | 14.00% | 1.80% | 1.46% | 1.15% | 0.42% | 1.61% | 6.40% | 9.54% | 9.51% |
| 07/06 | 9.99% | 4.84% | 3.23% | 7.23% | 1.42% | 4.27% | -0.35% | 2.18% | -0.40% | 4.25% | 9.63% | 4.26% |
| 07/09 | 17.73% | -0.24% | 4.02% | 3.84% | 1.30% | -2.48% | 0.79% | -7.42% | 0.80% | -9.46% | 17.19% | -1.78% |

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Non-discretionary Assets Under Management by Discretionary Investment Advisers

(2) Non-discretionary Assets Under Management

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | Overseas Clients | | | | Total | |
|-------|------------------|---------|----------------------|--------|-----------------------|--------|------------------|---------|------------------|---------|------------------|---------|
| | Sub-total | | Public Pension Funds | | Private Pension Funds | | Sub-total | | Pension Funds | | | |
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 92/03 | 999 | 75,802 | 4 | 9,908 | 7 | 126 | 1,470 | 112,805 | 575 | 32,537 | 2,469 | 188,607 |
| 93/03 | 974 | 76,606 | 4 | 11,908 | 6 | 133 | 1,956 | 133,650 | 977 | 41,029 | 2,930 | 210,256 |
| 94/03 | 892 | 75,956 | 4 | 13,935 | 5 | 105 | 2,159 | 156,440 | 1,154 | 47,514 | 3,051 | 232,396 |
| 95/03 | 740 | 75,035 | 4 | 15,073 | 5 | 105 | 1,703 | 149,885 | 785 | 52,603 | 2,443 | 224,920 |
| 96/03 | 644 | 89,622 | 4 | 19,108 | 5 | 105 | 1,920 | 192,480 | 778 | 69,036 | 2,564 | 282,102 |
| 97/03 | 614 | 104,914 | 5 | 21,822 | 5 | 105 | 1,812 | 210,007 | 787 | 90,428 | 2,426 | 314,921 |
| 98/03 | 397 | 114,020 | 5 | 23,475 | 5 | 105 | 1,753 | 207,963 | 876 | 101,033 | 2,150 | 321,983 |
| 99/03 | 4,778 | 218,398 | 6 | 28,187 | 4 | 80 | 1,481 | 167,750 | 834 | 92,070 | 6,259 | 386,148 |
| 00/03 | 10,481 | 279,333 | 7 | 29,628 | 5 | 323 | 1,315 | 198,327 | 758 | 109,120 | 11,796 | 477,660 |
| 01/03 | 9,308 | 309,914 | 4 | 16,227 | 5 | 1,120 | 409 | 98,251 | 39 | 33,220 | 9,717 | 408,165 |
| 02/03 | 8,622 | 271,883 | 0 | 0 | 6 | 879 | 303 | 64,185 | 26 | 11,822 | 8,925 | 336,068 |
| 03/03 | 3,549 | 243,170 | 0 | 0 | 2 | 70 | 249 | 56,573 | 24 | 10,447 | 3,798 | 299,743 |
| 04/03 | 1,923 | 238,038 | 0 | 0 | 2 | 4 | 223 | 56,875 | 24 | 8,543 | 2,146 | 294,913 |
| 05/03 | 1,449 | 228,528 | 0 | 0 | 1 | 3 | 205 | 53,701 | 25 | 8,679 | 1,654 | 282,229 |
| 06/03 | 1,031 | 282,816 | 0 | 0 | 1 | 9,239 | 218 | 66,291 | 24 | 9,402 | 1,249 | 349,107 |
| 07/03 | 778 | 399,456 | 0 | 0 | 1 | 10,166 | 254 | 70,166 | 20 | 6,878 | 1,032 | 469,622 |
| 07/06 | 740 | 419,529 | 0 | 0 | 1 | 10,354 | 262 | 74,080 | 16 | 7,175 | 1,002 | 493,609 |
| 07/09 | 725 | 411,804 | 0 | 0 | 1 | 10,307 | 273 | 71,418 | 15 | 7,152 | 998 | 483,223 |

Note: Assets under management are based on the contract amount until 01/03, whereas from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | Overseas Clients | | | | Total | |
|-------|------------------|-----------|----------------------|------------|-----------------------|------------|------------------|-----------|------------------|-----------|------------------|-----------|
| | Sub-total | | Public Pension Funds | | Private Pension Funds | | Sub-total | | Pension Funds | | | |
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 93/03 | -2.50% | 1.06% | 0.00% | 20.18% | -14.29% | 6.06% | 33.06% | 18.48% | 69.91% | 26.10% | 18.67% | 11.48% |
| 94/03 | -8.42% | -0.85% | 0.00% | 17.02% | -16.67% | -21.11% | 10.38% | 17.05% | 18.12% | 15.81% | 4.13% | 10.53% |
| 95/03 | -17.04% | -1.21% | 0.00% | 8.17% | 0.00% | 0.00% | -21.12% | -4.19% | -31.98% | 10.71% | -19.93% | -3.22% |
| 96/03 | -12.97% | 19.44% | 0.00% | 26.77% | 0.00% | 0.00% | 12.74% | 28.42% | -0.89% | 31.24% | 4.95% | 25.42% |
| 97/03 | -4.66% | 17.06% | 25.00% | 14.20% | 0.00% | 0.00% | -5.63% | 9.11% | 1.16% | 30.99% | -5.38% | 11.63% |
| 98/03 | -35.34% | 8.68% | 0.00% | 7.58% | 0.00% | 0.00% | -3.26% | -0.97% | 11.31% | 11.73% | -11.38% | 2.24% |
| 99/03 | 1103.53% | 91.54% | 20.00% | 20.07% | -20.00% | -23.81% | -15.52% | -19.34% | -4.79% | -8.87% | 191.12% | 19.93% |
| 00/03 | 119.36% | 27.90% | 16.67% | 5.11% | 25.00% | 303.13% | -11.21% | 18.23% | -9.11% | 18.52% | 88.46% | 23.70% |
| 01/03 | -11.19% | 10.95% | -42.86% | -45.23% | 0.00% | 247.13% | -68.90% | -50.46% | -94.85% | -69.56% | -17.62% | -14.55% |
| 02/03 | -7.37% | (-12.27%) | -100.00% | (-100.00%) | 20.00% | (-21.51%) | -25.92% | (-34.67%) | -33.33% | (-64.41%) | -8.15% | (-17.66%) |
| 03/03 | -58.84% | -10.56% | --- | --- | -66.67% | -92.00% | -17.82% | -11.86% | -7.69% | -11.63% | -57.45% | -10.81% |
| 04/03 | -45.82% | -2.11% | --- | --- | 0.00% | -94.82% | -10.44% | 0.54% | 0.00% | -18.22% | -43.50% | -1.61% |
| 05/03 | -24.65% | -4.00% | --- | --- | -50.00% | -29.67% | -8.07% | -5.58% | 4.17% | 1.59% | -22.93% | -4.30% |
| 06/03 | -28.85% | 23.76% | --- | --- | 0.00% | 360817.58% | 6.34% | 23.44% | -4.00% | 8.32% | -24.49% | 23.70% |
| 07/03 | -24.54% | 41.24% | --- | --- | 0.00% | 10.03% | 16.51% | 5.84% | -16.67% | -26.84% | -17.37% | 34.52% |
| 07/06 | -4.88% | 5.02% | --- | --- | 0.00% | 1.85% | 3.15% | 5.58% | -20.00% | 4.32% | -2.91% | 5.11% |
| 07/09 | -2.03% | -1.84% | --- | --- | 0.00% | -0.46% | 4.20% | -3.59% | -6.25% | -0.32% | -0.40% | -2.10% |

Note: Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Total Assets Under Management by Discretionary Investment Advisers

(3) Discretionary and Non-discretionary Assets Under Management

(Unit : ¥ 100million)

| | Domestic Clients | | | | | | Overseas Clients | | | | Total | |
|-------|------------------|-----------|----------------------|---------|-----------------------|---------|------------------|---------|------------------|---------|------------------|-----------|
| | Sub-total | | Public Pension Funds | | Private Pension Funds | | Sub-total | | Pension Funds | | | |
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 92/03 | 6,642 | 216,575 | 90 | 17,216 | 97 | 3,452 | 1,792 | 131,521 | 719 | 37,446 | 8,434 | 348,096 |
| 93/03 | 5,839 | 208,412 | 94 | 21,266 | 188 | 6,056 | 2,336 | 153,852 | 1,146 | 46,459 | 8,175 | 362,264 |
| 94/03 | 5,372 | 213,301 | 115 | 27,368 | 291 | 9,691 | 2,566 | 180,518 | 1,321 | 54,959 | 7,938 | 393,819 |
| 95/03 | 4,942 | 213,215 | 137 | 33,278 | 542 | 15,157 | 2,090 | 169,186 | 951 | 59,036 | 7,032 | 382,401 |
| 96/03 | 4,641 | 238,907 | 182 | 45,859 | 797 | 22,608 | 2,349 | 218,900 | 963 | 79,111 | 6,990 | 457,807 |
| 97/03 | 5,172 | 318,884 | 249 | 86,726 | 1,620 | 51,056 | 2,388 | 240,941 | 1,040 | 101,724 | 7,560 | 559,825 |
| 98/03 | 5,254 | 374,025 | 261 | 105,521 | 2,328 | 82,044 | 2,283 | 233,335 | 1,091 | 110,315 | 7,537 | 607,360 |
| 99/03 | 9,793 | 519,078 | 254 | 129,062 | 3,035 | 125,479 | 1,954 | 194,639 | 1,016 | 101,784 | 11,747 | 713,717 |
| 00/03 | 15,998 | 648,120 | 238 | 148,859 | 3,780 | 175,509 | 1,815 | 258,528 | 940 | 125,408 | 17,813 | 906,648 |
| 01/03 | 15,171 | 733,245 | 239 | 149,411 | 4,370 | 221,064 | 1,041 | 177,401 | 211 | 51,340 | 16,212 | 910,646 |
| 02/03 | 14,788 | 745,231 | 234 | 145,864 | 4,875 | 232,894 | 1,050 | 142,515 | 179 | 27,460 | 15,838 | 887,747 |
| 03/03 | 9,542 | 706,032 | 222 | 131,143 | 4,831 | 210,362 | 1,150 | 130,750 | 246 | 28,506 | 10,692 | 836,781 |
| 04/03 | 7,293 | 758,779 | 225 | 178,076 | 4,247 | 229,646 | 1,182 | 172,827 | 252 | 34,514 | 8,475 | 931,605 |
| 05/03 | 8,384 | 874,783 | 226 | 204,468 | 4,258 | 242,999 | 1,169 | 204,359 | 234 | 37,011 | 9,553 | 1,079,142 |
| 06/03 | 29,646 | 1,123,335 | 219 | 264,020 | 4,554 | 319,145 | 1,348 | 329,000 | 272 | 59,394 | 30,994 | 1,452,335 |
| 07/03 | 32,217 | 1,343,793 | 217 | 300,980 | 4,636 | 324,587 | 1,397 | 333,981 | 272 | 60,071 | 33,614 | 1,677,774 |
| 07/06 | 35,320 | 1,409,585 | 224 | 322,751 | 4,702 | 338,195 | 1,401 | 343,637 | 267 | 62,627 | 36,721 | 1,753,222 |
| 07/09 | 41,437 | 1,399,462 | 233 | 335,143 | 4,763 | 330,012 | 1,421 | 320,974 | 268 | 57,357 | 42,858 | 1,720,436 |

Note : Assets under management are based on the contract amount until 01/03, whereas from 02/03 based on the market value basis.

Percentage changes from the previous year (or term)

| | Domestic Clients | | | | | | Overseas Clients | | | | Total | |
|-------|------------------|-----------|----------------------|------------|-----------------------|-----------|------------------|-------------|------------------|-------------|------------------|------------|
| | Sub-total | | Public Pension Funds | | Private Pension Funds | | Sub-total | | Pension Funds | | | |
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 93/03 | -12.09% | -3.77% | 4.44% | 23.52% | 93.81% | 75.42% | 30.36% | 16.98% | 59.39% | 24.07% | -3.07% | 4.07% |
| 94/03 | -8.00% | 2.35% | 22.34% | 28.69% | 54.79% | 60.03% | 9.85% | 17.33% | 15.27% | 18.30% | -2.90% | 8.71% |
| 95/03 | -8.00% | -0.04% | 19.13% | 21.60% | 86.25% | 56.40% | -18.55% | -6.28% | -28.01% | 7.42% | -11.41% | -2.90% |
| 96/03 | -6.09% | 12.05% | 32.85% | 37.81% | 47.05% | 49.16% | 12.39% | 29.38% | 1.26% | 34.00% | -0.60% | 19.72% |
| 97/03 | 11.44% | 33.48% | 36.81% | 89.12% | 103.26% | 125.83% | 1.66% | 10.07% | 8.00% | 28.58% | 8.15% | 22.28% |
| 98/03 | 1.59% | 17.29% | 4.82% | 21.67% | 43.70% | 60.69% | -4.40% | -3.16% | 4.90% | 8.45% | -0.30% | 8.49% |
| 99/03 | 86.39% | 38.78% | -2.68% | 22.31% | 30.37% | 52.94% | -14.41% | -16.58% | -6.87% | -7.73% | 55.86% | 17.51% |
| 00/03 | 63.36% | 24.86% | -6.30% | 15.34% | 24.55% | 39.87% | -7.11% | 32.82% | -7.48% | 23.21% | 51.64% | 27.03% |
| 01/03 | -5.17% | 13.13% | 0.42% | 0.37% | 15.61% | 25.96% | -42.64% | -31.38% | -77.55% | -59.06% | -8.99% | 0.44% |
| 02/03 | -2.52% | (1.63%) | -2.09% | (-2.37%) | 11.56% | (5.35%) | 0.86% | (-19.66%) | -15.17% | (-46.51%) | -2.31% | (-2.51%) |
| 03/03 | -35.47% | -5.26% | -5.13% | -10.09% | -0.90% | -9.67% | 9.52% | -8.26% | 37.43% | 3.81% | -32.49% | -5.74% |
| 04/03 | -23.57% | 7.47% | 1.35% | 35.79% | -12.09% | 9.17% | 2.78% | 32.18% | 2.44% | 21.08% | -20.74% | 11.33% |
| 05/03 | 14.96% | 15.29% | 0.44% | 14.82% | 0.26% | 5.81% | -1.10% | 18.25% | -7.14% | 7.23% | 12.72% | 15.84% |
| 06/03 | 253.60% | 28.41% | -3.10% | 29.13% | 6.95% | 31.34% | 15.31% | 60.99% | 16.24% | 60.48% | 224.44% | 34.58% |
| 07/03 | 8.67% | 19.63% | -0.91% | 14.00% | 1.80% | 1.71% | 3.64% | 1.51% | 0.00% | 1.14% | 8.45% | 15.52% |
| 07/06 | 9.63% | 4.90% | 3.23% | 7.23% | 1.42% | 4.19% | 0.29% | 2.89% | -1.84% | 4.25% | 9.24% | 4.50% |
| 07/09 | 17.32% | -0.72% | 4.02% | 3.84% | 1.30% | -2.42% | 1.43% | -6.60% | 0.37% | -8.41% | 16.71% | -1.87% |

Note : Figures in the parenthesis indicate the above-mentioned change in calculation standard.

Assets Under Management of Wrap Accounts

(Unit : ¥ 100million)

| | Discretionary Assets | | Non-discretionary Assets | | Total | |
|-------|----------------------|--------|--------------------------|--------|------------------|--------|
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 06/03 | 22,689 | 3,364 | 861 | 77 | 23,550 | 3,441 |
| 07/03 | 25,286 | 5,636 | 580 | 52 | 25,866 | 5,688 |
| 07/06 | 28,298 | 6,856 | 538 | 51 | 28,836 | 6,906 |
| 07/09 | 34,337 | 8,045 | 515 | 46 | 34,852 | 8,091 |

Note: Above figures are included in those of the previous pages.

“Wrap Accounts” mean those accounts in which clients pay one comprehensive asset-based fee which includes management fees, commissions for the execution of transactions, administrative fees, etc.

Percentage changes from the previous year (or term)

| | Discretionary Assets | | Non-discretionary Assets | | Total | |
|-------|----------------------|--------|--------------------------|---------|------------------|--------|
| | No. of Contracts | Amount | No. of Contracts | Amount | No. of Contracts | Amount |
| 07/03 | 11.45% | 67.57% | -32.64% | -32.61% | 9.83% | 65.32% |
| 07/06 | 11.91% | 21.63% | -7.24% | -2.78% | 11.48% | 21.41% |
| 07/09 | 21.34% | 17.35% | -4.28% | -8.51% | 20.86% | 17.16% |

Assets Under Management by types of investment

Number of Contracts

| | Domestic | | | Overseas | | | Global | | | Total |
|-------|----------|-------|--------|----------|-------|--------|--------|-------|--------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 02/03 | 2,221 | 320 | 565 | 607 | 218 | 122 | 405 | 96 | 2,359 | 6,913 |
| 03/03 | 2,302 | 384 | 350 | 706 | 290 | 122 | 422 | 179 | 2,139 | 6,894 |
| 04/03 | 2,278 | 362 | 259 | 660 | 410 | 175 | 338 | 211 | 1,636 | 6,329 |
| 05/03 | 3,735 | 373 | 263 | 633 | 418 | 263 | 310 | 216 | 1,688 | 7,899 |
| 06/03 | 22,301 | 411 | 349 | 657 | 494 | 356 | 309 | 389 | 4,479 | 29,745 |
| 07/03 | 14,417 | 431 | 562 | 701 | 514 | 445 | 305 | 356 | 14,851 | 32,582 |
| 07/06 | 11,933 | 426 | 661 | 720 | 510 | 470 | 308 | 369 | 20,322 | 35,719 |
| 07/09 | 10,244 | 450 | 697 | 769 | 518 | 495 | 276 | 392 | 28,019 | 41,860 |

Percentage changes from the previous year (or term)

| | Domestic | | | Overseas | | | Global | | | Total |
|-------|----------|--------|---------|----------|--------|--------|---------|--------|---------|---------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 03/03 | 3.65% | 20.00% | -38.05% | 16.31% | 33.03% | 0.00% | 4.20% | 86.46% | -9.33% | -0.27% |
| 04/03 | -1.04% | -5.73% | -26.00% | -6.52% | 41.38% | 43.44% | -19.91% | 17.88% | -23.52% | -8.20% |
| 05/03 | 63.96% | 3.04% | 1.54% | -4.09% | 1.95% | 50.29% | -8.28% | 2.37% | 3.18% | 24.81% |
| 06/03 | 497.08% | 10.19% | 32.70% | 3.79% | 18.18% | 35.36% | -0.32% | 80.09% | 165.34% | 276.57% |
| 07/03 | -35.35% | 4.87% | 61.03% | 6.70% | 4.05% | 25.00% | -1.29% | -8.48% | 231.57% | 9.54% |
| 07/06 | -17.23% | -1.16% | 17.62% | 2.71% | -0.78% | 5.62% | 0.98% | 3.65% | 36.84% | 9.63% |
| 07/09 | -14.15% | 5.63% | 5.45% | 6.81% | 1.57% | 5.32% | -10.39% | 6.23% | 37.88% | 17.19% |

Amount of Assets

(Unit : ¥100 million)

| | Domestic | | | Overseas | | | Global | | | Total |
|-------|----------|---------|--------|----------|---------|--------|--------|---------|---------|-----------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 02/03 | 152,644 | 85,313 | 33,057 | 41,628 | 27,664 | 9,875 | 27,607 | 9,618 | 164,272 | 551,679 |
| 03/03 | 127,097 | 87,889 | 20,060 | 44,153 | 60,410 | 7,465 | 25,646 | 28,026 | 136,293 | 537,039 |
| 04/03 | 206,020 | 82,761 | 16,243 | 61,434 | 92,407 | 7,579 | 29,832 | 17,751 | 122,664 | 636,692 |
| 05/03 | 252,155 | 102,189 | 17,229 | 79,718 | 91,578 | 10,682 | 31,541 | 81,071 | 130,751 | 796,913 |
| 06/03 | 420,137 | 110,502 | 27,757 | 118,513 | 115,815 | 14,723 | 38,023 | 104,742 | 153,015 | 1,103,228 |
| 07/03 | 406,225 | 131,631 | 35,698 | 136,261 | 127,842 | 20,287 | 41,104 | 124,037 | 185,066 | 1,208,152 |
| 07/06 | 416,264 | 135,854 | 37,419 | 151,410 | 136,337 | 21,093 | 42,262 | 131,560 | 187,413 | 1,259,613 |
| 07/09 | 373,894 | 172,194 | 34,711 | 149,162 | 143,162 | 23,003 | 34,645 | 132,233 | 174,210 | 1,237,214 |

Percentage changes from the previous year (or term)

| | Domestic | | | Overseas | | | Global | | | Total |
|-------|----------|--------|---------|----------|---------|---------|---------|---------|---------|--------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 03/03 | -16.74% | 3.02% | -39.32% | 6.07% | 118.37% | -24.41% | -7.10% | 191.38% | -17.03% | -2.65% |
| 04/03 | 62.10% | -5.83% | -19.03% | 39.14% | 52.97% | 1.54% | 16.32% | -36.66% | -10.00% | 18.56% |
| 05/03 | 22.39% | 23.47% | 6.07% | 29.76% | -0.90% | 40.94% | 5.73% | 356.71% | 6.59% | 25.16% |
| 06/03 | 66.62% | 8.13% | 61.11% | 48.67% | 26.47% | 37.83% | 20.55% | 29.20% | 17.03% | 38.44% |
| 07/03 | -3.31% | 19.12% | 28.61% | 14.98% | 10.38% | 37.79% | 8.10% | 18.42% | 20.95% | 9.51% |
| 07/06 | 2.47% | 3.21% | 4.82% | 11.12% | 6.65% | 3.97% | 2.82% | 6.07% | 1.27% | 4.26% |
| 07/09 | -10.18% | 26.75% | -7.24% | -1.48% | 5.01% | 9.05% | -18.02% | 0.51% | -7.04% | -1.78% |

Percentage

| | Domestic | | | Overseas | | | Global | | | Total |
|-------|----------|--------|--------|----------|--------|--------|--------|--------|--------|---------|
| | Stocks | Bonds | Others | Stocks | Bonds | Others | Stocks | Bonds | Others | |
| 02/03 | 27.67% | 15.46% | 5.99% | 7.55% | 5.01% | 1.79% | 5.00% | 1.74% | 29.78% | 100.00% |
| 03/03 | 23.67% | 16.37% | 3.74% | 8.22% | 11.25% | 1.39% | 4.78% | 5.22% | 25.38% | 100.00% |
| 04/03 | 32.36% | 13.00% | 2.55% | 9.65% | 14.51% | 1.19% | 4.69% | 2.79% | 19.27% | 100.00% |
| 05/03 | 31.64% | 12.82% | 2.16% | 10.00% | 11.49% | 1.34% | 3.96% | 10.17% | 16.41% | 100.00% |
| 06/03 | 38.08% | 10.02% | 2.52% | 10.74% | 10.50% | 1.33% | 3.45% | 9.49% | 13.87% | 100.00% |
| 07/03 | 33.62% | 10.90% | 2.95% | 11.28% | 10.58% | 1.68% | 3.40% | 10.27% | 15.32% | 100.00% |
| 07/06 | 33.05% | 10.79% | 2.97% | 12.02% | 10.82% | 1.67% | 3.36% | 10.44% | 14.88% | 100.00% |
| 07/09 | 30.22% | 13.92% | 2.81% | 12.06% | 11.57% | 1.86% | 2.80% | 10.69% | 14.08% | 100.00% |

Discretionary Contracts by size of Assets Under Management

Number of Contracts

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|-------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|--------|
| 02/03 | 1,825 | 3,380 | 785 | 746 | 100 | 77 | 6,913 |
| 03/03 | 2,191 | 3,209 | 685 | 638 | 92 | 79 | 6,894 |
| 04/03 | 1,576 | 2,958 | 834 | 748 | 110 | 103 | 6,329 |
| 05/03 | 3,012 | 2,931 | 874 | 849 | 110 | 123 | 7,899 |
| 06/03 | 24,298 | 3,080 | 962 | 1,066 | 166 | 174 | 29,746 |
| 07/03 | 27,019 | 3,087 | 995 | 1,134 | 163 | 184 | 32,582 |
| 07/06 | 30,012 | 3,157 | 1,019 | 1,167 | 177 | 187 | 35,719 |
| 07/09 | 36,212 | 3,152 | 1,004 | 1,146 | 162 | 184 | 41,860 |

Percentage changes from the previous year (or term)

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|-------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|---------|
| 03/03 | 20.05% | -5.06% | -12.74% | -14.48% | -8.00% | 2.60% | -0.27% |
| 04/03 | -28.07% | -7.82% | 21.75% | 17.24% | 19.57% | 30.38% | -8.20% |
| 05/03 | 91.12% | -0.91% | 4.80% | 13.50% | 0.00% | 19.42% | 24.81% |
| 06/03 | 706.71% | 5.08% | 10.07% | 25.56% | 50.91% | 41.46% | 276.58% |
| 07/03 | 11.20% | 0.23% | 3.43% | 6.36% | -1.81% | 5.75% | 9.53% |
| 07/06 | 11.08% | 2.27% | 2.41% | 2.91% | 8.59% | 1.63% | 9.63% |
| 07/09 | 20.66% | -0.16% | -1.47% | -1.80% | -8.47% | -1.60% | 17.19% |

Amount of Assets

(Unit : ¥100 million)

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|-------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|-----------|
| 02/03 | 8,881 | 80,201 | 57,630 | 149,657 | 69,759 | 185,551 | 551,679 |
| 03/03 | 10,809 | 75,023 | 48,038 | 127,824 | 63,593 | 211,753 | 537,039 |
| 04/03 | 6,602 | 71,773 | 59,274 | 154,245 | 78,250 | 266,549 | 636,692 |
| 05/03 | 6,027 | 70,913 | 60,306 | 176,392 | 77,541 | 405,734 | 796,913 |
| 06/03 | 8,852 | 77,754 | 67,620 | 225,773 | 113,138 | 610,090 | 1,103,228 |
| 07/03 | 11,542 | 77,154 | 69,082 | 243,264 | 110,873 | 696,237 | 1,208,152 |
| 07/06 | 12,417 | 79,250 | 71,378 | 247,698 | 119,170 | 729,702 | 1,259,613 |
| 07/09 | 14,511 | 79,002 | 68,745 | 239,330 | 108,135 | 727,489 | 1,237,214 |

Percentage changes from the previous year (or term)

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|-------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|--------|
| 03/03 | 21.71% | -6.46% | -16.64% | -14.59% | -8.84% | 14.12% | -2.65% |
| 04/03 | -38.92% | -4.33% | 23.39% | 20.67% | 23.05% | 25.88% | 18.56% |
| 05/03 | -8.70% | -1.20% | 1.74% | 14.36% | -0.91% | 52.22% | 25.16% |
| 06/03 | 46.87% | 9.65% | 12.13% | 28.00% | 45.91% | 50.37% | 38.44% |
| 07/03 | 30.38% | -0.77% | 2.16% | 7.75% | -2.00% | 14.12% | 9.51% |
| 07/06 | 7.58% | 2.72% | 3.32% | 1.82% | 7.48% | 4.81% | 4.26% |
| 07/09 | 16.87% | -0.31% | -3.69% | -3.38% | -9.26% | -0.30% | -1.78% |

Percentage

| | Less than ¥1 billion | Over ¥1 billion | Over ¥5 billion | Over ¥10 billion | Over ¥50 billion | Over ¥100 billion | Total |
|-------|----------------------|-----------------|-----------------|------------------|------------------|-------------------|---------|
| 02/03 | 1.61% | 14.54% | 10.45% | 27.13% | 12.64% | 33.63% | 100.00% |
| 03/03 | 2.01% | 13.97% | 8.94% | 23.80% | 11.84% | 39.43% | 100.00% |
| 04/03 | 1.04% | 11.27% | 9.31% | 24.23% | 12.29% | 41.86% | 100.00% |
| 05/03 | 0.76% | 8.90% | 7.57% | 22.13% | 9.73% | 50.91% | 100.00% |
| 06/03 | 0.80% | 7.05% | 6.13% | 20.46% | 10.26% | 55.30% | 100.00% |
| 07/03 | 0.96% | 6.39% | 5.72% | 20.14% | 9.18% | 57.63% | 100.00% |
| 07/06 | 0.99% | 6.29% | 5.67% | 19.66% | 9.46% | 57.93% | 100.00% |
| 07/09 | 1.17% | 6.39% | 5.56% | 19.34% | 8.74% | 58.80% | 100.00% |

Discretionary Assets Under Management by area invested

Amount of Assets

(Unit : ¥100 million)

| | Domestic | | | USA | | | UK | | | Europe (except UK) | | | Other overseas | | | Others | | |
|-------|----------|---------|---|--------|---------|---|--------|--------|---|--------------------|--------|---|----------------|--------|---|--------|--------|---|
| | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others |
| 02/03 | 213,943 | 144,093 | 34,132 | 43,826 | 28,156 | 1,176 | 7,805 | 2,508 | 1,346 | 16,394 | 22,375 | 60 | 5,750 | 3,513 | 1,646 | 11,917 | 4,323 | 8,718 |
| 03/03 | 168,234 | 151,690 | 27,542 | 37,837 | 58,941 | 1,028 | 7,413 | 3,310 | 426 | 11,438 | 27,813 | 213 | 4,681 | 4,064 | 961 | 12,441 | 8,397 | 10,610 |
| 04/03 | 250,563 | 135,647 | 26,798 | 45,502 | 57,753 | 1,190 | 9,350 | 4,005 | 50 | 17,343 | 33,486 | 829 | 9,242 | 5,980 | 2,313 | 10,205 | 9,194 | 17,243 |
| 05/03 | 286,072 | 170,186 | 27,998 | 54,448 | 79,103 | 3,213 | 12,088 | 5,674 | 215 | 23,046 | 47,849 | 1,260 | 10,182 | 9,488 | 3,432 | 11,710 | 12,277 | 38,671 |
| 06/03 | 473,552 | 192,863 | 32,245 | 72,421 | 98,853 | 4,541 | 15,219 | 9,551 | 140 | 31,469 | 55,153 | 1,257 | 18,842 | 16,385 | 4,665 | 15,153 | 15,825 | 45,092 |
| 07/03 | 469,027 | 220,173 | 30,599 | 79,687 | 115,286 | 3,400 | 17,819 | 11,462 | 342 | 38,312 | 69,330 | 2,771 | 25,330 | 22,147 | 4,998 | 17,872 | 21,830 | 57,768 |
| 07/06 | 477,843 | 224,461 | 35,915 | 82,698 | 127,467 | 5,272 | 18,424 | 12,399 | 376 | 41,611 | 71,312 | -980 | 28,962 | 23,634 | 5,285 | 20,746 | 23,059 | 61,129 |
| 07/09 | 431,625 | 261,497 | 29,572 | 77,293 | 127,839 | 5,412 | 17,308 | 12,590 | 271 | 38,973 | 70,890 | 2,535 | 30,490 | 24,876 | 5,193 | 20,497 | 22,686 | 57,668 |

Percentage changes from the previous year (or term)

| | Domestic | | | USA | | | UK | | | Europe (except UK) | | | Other overseas | | | Others | | |
|-------|----------|---------|---|---------|---------|---|--------|--------|---|--------------------|--------|---|----------------|--------|---|---------|--------|---|
| | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others |
| 03/03 | -21.37% | 5.27% | -19.31% | -13.67% | 109.33% | -12.59% | -5.02% | 31.97% | -68.34% | -30.23% | 24.31% | 254.90% | -18.59% | 15.68% | -41.62% | 4.40% | 94.25% | 21.71% |
| 04/03 | 48.94% | -10.58% | -2.70% | 20.26% | -2.02% | 15.76% | 26.12% | 21.02% | -88.16% | 51.63% | 20.40% | 288.70% | 97.41% | 47.17% | 140.71% | -17.97% | 9.48% | 62.51% |
| 05/03 | 14.17% | 25.46% | 4.48% | 19.66% | 36.97% | 170.08% | 29.29% | 41.66% | 325.60% | 32.89% | 42.89% | 52.05% | 10.18% | 58.65% | 48.37% | 14.75% | 33.54% | 124.27% |
| 06/03 | 65.54% | 13.32% | 15.17% | 33.01% | 24.97% | 41.31% | 25.91% | 68.32% | -34.59% | 36.55% | 15.26% | -0.20% | 85.04% | 72.69% | 35.94% | 29.40% | 28.90% | 16.60% |
| 07/03 | -0.96% | 14.16% | -5.11% | 10.03% | 16.62% | -25.14% | 17.08% | 20.01% | 143.25% | 21.74% | 25.70% | 120.34% | 34.43% | 35.17% | 7.14% | 17.94% | 37.94% | 28.11% |
| 07/06 | 1.88% | 1.95% | 17.37% | 3.78% | 10.57% | 55.09% | 3.40% | 8.18% | 9.94% | 8.61% | 2.86% | -135.36% | 14.34% | 6.71% | 5.73% | 16.09% | 5.63% | 5.82% |
| 07/09 | -9.67% | 16.50% | -17.66% | -6.54% | 0.29% | 2.65% | -6.06% | 1.54% | -27.98% | -6.34% | -0.59% | -358.76% | 5.28% | 5.25% | -1.74% | -1.20% | -1.62% | -5.66% |

Percentage

| | Domestic | | | USA | | | UK | | | Europe (except UK) | | | Other overseas | | | Others | | |
|-------|----------|--------|---|--------|--------|---|--------|-------|---|--------------------|-------|---|----------------|-------|---|--------|-------|---|
| | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others | Stocks | Bonds | Short term investments including others |
| 02/03 | 38.78% | 26.12% | 6.19% | 7.94% | 5.10% | 0.21% | 1.41% | 0.45% | 0.24% | 2.97% | 4.06% | 0.01% | 1.04% | 0.64% | 0.30% | 2.16% | 0.78% | 1.58% |
| 03/03 | 31.33% | 28.25% | 5.13% | 7.05% | 10.98% | 0.19% | 1.38% | 0.62% | 0.08% | 2.13% | 5.18% | 0.04% | 0.87% | 0.76% | 0.18% | 2.32% | 1.56% | 1.98% |
| 04/03 | 39.35% | 21.30% | 4.21% | 7.15% | 9.07% | 0.19% | 1.47% | 0.63% | 0.01% | 2.72% | 5.26% | 0.13% | 1.45% | 0.94% | 0.36% | 1.60% | 1.44% | 2.71% |
| 05/03 | 35.90% | 21.36% | 3.51% | 6.83% | 9.93% | 0.40% | 1.52% | 0.71% | 0.03% | 2.89% | 6.00% | 0.16% | 1.28% | 1.19% | 0.43% | 1.47% | 1.54% | 4.85% |
| 06/03 | 42.92% | 17.48% | 2.92% | 6.56% | 8.96% | 0.41% | 1.38% | 0.87% | 0.01% | 2.85% | 5.00% | 0.11% | 1.71% | 1.49% | 0.42% | 1.37% | 1.43% | 4.09% |
| 07/03 | 38.82% | 18.22% | 2.53% | 6.60% | 9.54% | 0.28% | 1.47% | 0.95% | 0.03% | 3.17% | 5.74% | 0.23% | 2.10% | 1.83% | 0.41% | 1.48% | 1.81% | 4.78% |
| 07/06 | 37.94% | 17.82% | 2.85% | 6.57% | 10.12% | 0.42% | 1.46% | 0.98% | 0.03% | 3.30% | 5.66% | -0.08% | 2.30% | 1.88% | 0.42% | 1.65% | 1.83% | 4.85% |
| 07/09 | 34.89% | 21.14% | 2.39% | 6.25% | 10.33% | 0.44% | 1.40% | 1.02% | 0.02% | 3.15% | 5.73% | 0.20% | 2.46% | 2.01% | 0.42% | 1.66% | 1.83% | 4.66% |

Employees of Discretionary Investment Advisers

Number of Employees

| | Fund Manager | | Research | | Trader | | Marketing | | Compliance | | Others | | Total | | (CMA members) | Temporary Staff | |
|-------|--------------|---|----------|---|--------|---|-----------|---|------------|---|--------|---|-------|---------------------------------|---------------|-----------------|---------------------------------|
| | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (investment advisory functions) | | Total | (investment advisory functions) |
| 02/03 | 1,552 | 1,167 | 650 | 488 | 334 | 227 | 1,342 | 697 | 281 | 195 | 3,676 | 2,001 | 7,835 | 4,775 | 2,340 | 653 | 409 |
| 03/03 | 1,514 | 1,056 | 655 | 471 | 321 | 211 | 1,300 | 670 | 317 | 185 | 3,689 | 1,862 | 7,796 | 4,455 | 2,428 | 594 | 382 |
| 04/03 | 1,396 | 987 | 629 | 452 | 305 | 206 | 1,193 | 594 | 324 | 192 | 3,509 | 1,768 | 7,355 | 4,200 | 2,342 | 630 | 344 |
| 05/03 | 1,360 | 976 | 592 | 430 | 303 | 200 | 1,272 | 624 | 323 | 191 | 3,585 | 1,819 | 7,436 | 4,240 | 2,491 | 653 | 376 |
| 06/03 | 1,451 | 1,073 | 650 | 523 | 335 | 221 | 1,528 | 796 | 357 | 224 | 3,996 | 2,108 | 8,316 | 4,945 | 2,769 | 800 | 458 |
| 07/03 | 1,565 | 1,181 | 701 | 606 | 356 | 239 | 1,840 | 1,001 | 396 | 263 | 4,451 | 2,342 | 9,309 | 5,632 | 2,958 | 842 | 490 |

Average Years of Experience

| | Fund Manager | | Research | | Trader | |
|-------|--------------|---|----------|---|--------|---|
| | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) | Total | (engaging in investment advisory functions) |
| 02/03 | 10.5 | 11.2 | 8.7 | 9.5 | 8.8 | 9.0 |
| 03/03 | 11.1 | 11.7 | 9.5 | 10.1 | 8.9 | 9.2 |
| 04/03 | 11.2 | 11.7 | 9.7 | 10.4 | 9.2 | 9.8 |
| 05/03 | 11.9 | 12.5 | 10.0 | 10.7 | 9.5 | 10.1 |
| 06/03 | 12.3 | 12.7 | 9.9 | 11.1 | 10.0 | 10.2 |
| 07/03 | 12.3 | 12.7 | 10.4 | 10.7 | 9.9 | 10.1 |

Members of JSIAA

| | Discretionary Investment Advisers | (also carrying out investment trust management business) | Non-Discretionary Investment Advisers |
|-------|-----------------------------------|--|---------------------------------------|
| 92/03 | 152 | | 189 |
| 93/03 | 154 | | 164 |
| 94/03 | 150 | | 150 |
| 95/03 | 151 | | 145 |
| 96/03 | 147 | 12 | 142 |
| 97/03 | 145 | 21 | 138 |
| 98/03 | 137 | 35 | 121 |
| 99/03 | 130 | 48 | 98 |
| 00/03 | 125 | 58 | 90 |
| 01/03 | 126 | 68 | 90 |
| 02/03 | 129 | 65 | 86 |
| 03/03 | 121 | 65 | 77 |
| 04/03 | 118 | 64 | 76 |
| 05/03 | 121 | 62 | 86 |
| 06/03 | 133 | 68 | 116 |
| 07/03 | 138 | 69 | 123 |
| 07/06 | 138 | 69 | 125 |
| 07/09 | 143 | 69 | 128 |

Complaints and Consultations

| | Complaints | | | Consultations | | | Total | | |
|-------|--------------------------------------|--|-------|--------------------------------------|--|-------|--------------------------------------|--|-------|
| | about members' conducts and services | about non-members' conducts and services | Total | about members' conducts and services | about non-members' conducts and services | Total | about members' conducts and services | about non-members' conducts and services | Total |
| 92/03 | 17 | 9 | 26 | 7 | 6 | 13 | 24 | 15 | 39 |
| 93/03 | 12 | 10 | 22 | 4 | 1 | 5 | 16 | 11 | 27 |
| 94/03 | 9 | 7 | 16 | 3 | 2 | 5 | 12 | 9 | 21 |
| 95/03 | 9 | 4 | 13 | 5 | 3 | 8 | 14 | 7 | 21 |
| 96/03 | 12 | 4 | 16 | 11 | 7 | 18 | 23 | 11 | 34 |
| 97/03 | 15 | 12 | 27 | 3 | 0 | 3 | 18 | 12 | 30 |
| 98/03 | 21 | 5 | 26 | 0 | 0 | 0 | 21 | 5 | 26 |
| 99/03 | 10 | 13 | 23 | 1 | 1 | 2 | 11 | 14 | 25 |
| 00/03 | 6 | 4 | 10 | 4 | 5 | 9 | 10 | 9 | 19 |
| 01/03 | 8 | 16 | 24 | 7 | 13 | 20 | 15 | 29 | 44 |
| 02/03 | 15 | 6 | 21 | 13 | 27 | 40 | 28 | 33 | 61 |
| 03/03 | 33 | 11 | 44 | 13 | 12 | 25 | 46 | 23 | 69 |
| 04/03 | 31 | 15 | 46 | 21 | 13 | 34 | 52 | 28 | 80 |
| 05/03 | 29 | 7 | 36 | 17 | 16 | 33 | 46 | 23 | 69 |
| 06/03 | 19 | 6 | 25 | 14 | 13 | 27 | 33 | 19 | 52 |
| 07/03 | 32 | 9 | 41 | 15 | 8 | 23 | 47 | 17 | 64 |
| 07/06 | 6 | 3 | 9 | 1 | 5 | 6 | 7 | 8 | 15 |
| 07/09 | 5 | 2 | 7 | 1 | 1 | 2 | 6 | 3 | 9 |